#### Nathan Dahlin

CONTACT ETEC 133, 1220 Washington Ave

ndahlin@albany.edu (213)-631-6101

Albany, NY 12226

Website sites.google.com/view/nathan-dahlin

Research

**Networks:** power systems, network economics, game theory

Interests

Stochastic systems: control and optimization, risk aware optimization, modeling

Learning/AI: reinforcement learning (RL), multi-agent/non-stationary/offline RL, deep learning

Affiliation University at Albany

Fall 2023 - Present

Assistant Professor, Department of Electrical & Computer Engineering

EDUCATION Univers

University of Southern California

2015 - 2021

Ph.D. in Electrical Engineering

Advisor: Rahul Jain

Thesis: Smarter Markets for a Smarter Grid: Pricing Randomness, Flexibility and Risk

University of Southern California

2015 - 2020

M.A. in Applied Mathematics

University of Southern California

2015 - 2020

M.S. in Electrical Engineering

University of Southern California

2004 - 2008

B.S. in Electrical Engineering

RESEARCH EXPERIENCE

#### University of Illinois at Urbana-Champaign

2021 -2023

Postdoctoral Research Associate, Department of Electrical & Computer Engineering

Mentor: Subhonmesh Bose

- Established system operator revenue adequacy and generator incentive compatibility under a proposed CVaR sensitive security-constrained economic dispatch formulation and payment framework for simultaneous procurement of generation and reserve capacity in the presence of potential line outage scenarios
- Proposed a computationally tractable, data-driven approach to probabilistic rooftop solar hosting capacity analysis for distribution level power networks, based on the CVaR measure
- Derived a quickest change detection technique for Markovian environments, for use in optimal control problems with non-stationary dynamics and costs
- Developed a decentralized, multi-agent method for collaborative estimation of nonlinear system dynamics under sparse communication via kernel transfer operators in reproducing kernel Hilbert spaces

#### USC Viterbi School of Engineering, Los Angeles

2016 - 2021

Research Assistant

- Formulated an optimization-based approach to scheduling non-preemptive flexible loads and proved that optimal solutions under convex relaxation of the given mixed-integer linear program (MILP) scheduling problem yield a method for probabilistically generating optimal schedules in the large economy limit, respecting MILP constraints
- Demonstrated the effectiveness of proposed flexibility aware, optimization based non-preemptive load scheduling algorithm applied to electric vehicle (EV) charging, utilizing the ACN-Data EV charging dataset
- Proved the existence of efficient sequential competitive equilibria in a two-stage electricity market with both supply and demand side services and renewable generation, established sufficient conditions for the existence of efficient Nash equilibria, and detailed an associated market mechanism for implementation of the equilibria
- Specified a risk aware electricity market mechanism for use in a market where a risk averse social planner purchases energy from risk neutral thermal generators to offset shortfalls in renewable energy production

Nathan Dahlin Page 2

• Designed an incentive compatible, individually rational and efficient market mechanism for a renewable energy provider auctioning stochastic supply to strategic customers

Distilled knowledge embedded in a deep neural network into decision trees and kernel machines via imitation learning with TensorFlow and Sklearn, yielding controllers with fewer parameters, more transparent decisions, and comparable performance in benchmark OpenAI Gym environment case studies including CarRacing-vo, featuring image based inputs and a reference convolutional neural network trained via the Deep-Q Learning (DQN) algorithm

#### **Audyssey Laboratories, Los Angeles**

May 2008 - June 2015

Senior Research and Development Engineer

- Designed and experimentally verified audio signal processing algorithms for applications including vehicle speed dependent gain and equalization, video camera servo noise reduction and automated movie theater sound equipment testing
- Coded MATLAB based cataloguing and visualization tools for analysis of a database of hundreds of home theater installation acoustic measurements, utilized by THX founder Tomlinson Holman in 2010 Audio Engineering (AES) published study
- Tasked and advised summer interns on work in audio signal processing algorithm development

#### Journal Publications

#### Risk-Based Hosting Capacity Analysis in Distribution Systems

Avinash N. Madavan, *Nathan Dahlin*, Subhonmesh Bose, Lang Tong

IEEE Transactions on Power Systems (TPS), 2023 (link)

## Two-Stage Electricity Markets with Renewable Energy Integration: Market Mechanisms and Equilibrium Analysis

Nathan Dahlin, Rahul Jain

IEEE Transactions on Automatic Control of Network Systems (TCNS), 2022 (link)

#### Scheduling Flexible Nonpreemptive Loads in Smart-Grid Networks

Nathan Dahlin, Rahul Jain

IEEE Transactions on Automatic Control of Network Systems (TCNS), 2021 (link)

PEER-REVIEWED CONFERENCE PUBLICATIONS

### Exact and Cost-Effective Automated Transformation of Neural Network Controllers to Decision Tree Controllers

Kevin Chang, *Nathan Dahlin*, Rahul Jain, Pierluigi Nuzzo Accepted to the IEEE Conference on Decision and Control (CDC), 2023

#### Sparse Learning of Dynamical Systems in RKHS: An Operator-Theoretic Approach

Boya Hou, Sina Sanjari, Nathan Dahlin, Subhonmesh Bose, Umesh Vaidya

Accepted to the Fortieth International Conference on Machine Learning (ICML), 2023 (link)

#### Controlling a Markov Decision Process with an Abrupt Change in the Transition Kernel

Nathan Dahlin, Subhonmesh Bose, Venugopal V. Veeravalli

Accepted to American Control Conference (ACC), 2023 (link)

# Compressed Decentralized Learning of Conditional Mean Embedding Operators in Reproducing Kernel Hilbert Spaces

Boya Hou, Sina Sanjari, *Nathan Dahlin*, Subhonmesh Bose

37th Association for the Advancement of Artificial Intelligence Conference on Artificial Intelligence (AAAI), 2023 (link)

# Practical Control Design for the Deep Learning Age: Distillation of Deep RL-Based Controllers *Nathan Dahlin*, Krishna Kalagarla, Kevin Chang, Pierluigi Nuzzo, Rahul Jain

58th Allerton Conference, 2022 (link)

#### Scheduling of Flexible Non-Preemptive Loads

Nathan Dahlin, Rahul Jain

IEEE Conference on Decision and Control (CDC), 2020 (link)

# A Risk Aware Two-Stage Market Mechanism for Electricity with Renewable Generation *Nathan Dahlin*, Rahul Jain

Nathan Dahlin Page 3

American Control Conference (ACC), 2020 (link)

#### A Two-Stage Market Mechanism for Electricity with Renewable Generation

Nathan Dahlin, Rahul Jain

IEEE Conference on Decision and Control (CDC), 2019 (link)

#### A Two Stage Stochastic Mechanism for Selling Random Power

Nathan Dahlin, Rahul Jain

American Control Conference (ACC), 2019 (link)

#### Workshop Publications

#### Designing Interpretable Approximations to Deep Reinforcement Learning

Nathan Dahlin, Krishna Chaitanya Kalagarla, Nikhil Naik, Rahul Jain, Pierluigi Nuzzo

Reinforcement Learning for Real Life Workshop at ICML 2021 (link)

### A Dictionary Based Approach for Robust and Syllable-Independent Audio Input Transcription by Humming Systems

Erdem Unal, Shrikanth Narayanan, Elaine Chew, Panayiotis G Georgiou, *Nathan Dahlin* Proceedings of the 1st ACM workshop on Audio and music computing multimedia, 2006 (link)

### SUBMITTED PUBLICATIONS

#### Conditional Kernel Imitation Learning for Continuous State Environments

Rishabh Agarwal, *Nathan Dahlin*, Rahul Jain, Ashutosh Nayyar (link)

#### Awards & Honors

#### Deep Learning for Engineers Best Course Project

Spring 2020

Awarded Amazon Web Services (AWS) cash prize, together with two teammates, for work investigating distillation of deep neural network learning into soft decision trees.

#### **NSF Graduate Fellowships Program Honorable Mention**

2017

#### Annenberg Fellowship

USC Graduate School, 2015

#### Philip S. Biegler Memorial Award

EE Department, USC, 2008

Award honors the EE student with the highest GPA.

#### **National Merit Scholarship**

National Merit Scholarship Corporation (NMSC), 2004

Awarded to a selection of graduating high school students scoring in the top 1 percent on the PSAT.

#### Teaching Experience

#### IECE 481/581: Linear Control Theory

University at Albany, Fall 2023

Instructor

#### ECE 503: Probability for Electrical and Computer Engineers

USC, Spring 2019

Teaching Assistant

#### **ECE 510: Linear Algebra for Engineering**

USC, Spring, Fall 2018

Teaching Assistant

#### MENTORING Experience

#### Center for Undergraduate Research in Viterbi Engineering

USC, Spring-Summer 2021

Research Mentor

- Mentored two undergraduate students in reinforcement learning related research, co-advised with Prof. Rahul Jain and Prof. Pierluigi Nuzzo
- Undergraduate student Yifan Xue's poster Efficiency Evaluation of RL Training Models in Quadcopter Attitude Control selected as one of the winners in end of semester symposium, May 2021

#### ACADEMIC SERVICE

#### **External Reviewer for Conferences and Journals**

Control Theory: ACC 2018-2022, ACM-TCPS 2021, Automatica 2019, HSCC 2020, ICCPS 2020, IEEE-CCTA 2020-21, IEEE-CDC 2018-21, IEEE-TAC 2020, IEEE-TCCN 2016, IEEE-TCNS 2018-22, IEEE-TNSESI 2019, IEEE-TSTE 2021

Machine Learning: ICML 2019, NeurIPS 2019, AAAI 2022, NeurIPS Reinforcement Learning for Real Life Workshop 2022 Queueing Theory: Queueing Systems 2020-21

Power Systems: European Journal of Operational Research 2020, IEEE-TSG 2016, 2021, IEEE-TSTE 2021, NETGCOOP 2016, Renewable Energy Focus 2021, Utilities Policy 2021

Nathan Dahlin Page 4

#### **Conference Presentation Chair**

Power and Energy Conference at Illinois 2022

#### Patents System and method for performing voice activity detection

Sunil Bharitkar, Nathan Dahlin

US Patent 9,002,030, filed May 2012, issued April 2015

#### Audio content enhancement using bandwidth extension techniques

Sunil Bharitkar, Nathan Dahlin, Ismael Hamad Nawfal, Chris Kyriakakis

US Patent 8705764, filed October 2012, issued April 2014

#### System and method for performing automatic gain control in mobile phone environments

Sunil Bharitkar, *Nathan Dahlin*, Ismael Hamad Nawfal *US Patent 8,639,294, filed May 2012, issued January 2014* 

#### PRESENTATIONS Planning and Pricing for Uncertainty, Flexibility and Risk

Rigorous Systems Research Group (RSRG), Caltech, October 2022

#### Designing Interpretable Approximations to Deep RL with Soft Decision Trees

IMT Atlantique, March 2022

#### Pricing Randomness, Flexibility and Risk: Market Design for a Smarter Grid

Center for Cyber-Physical Systems and Internet of Things and Ming Hsieh Institute for Electrical & Computer Engineering Joint Seminar, University of Southern California, September 2021

#### Scheduling Flexible Non-Preemptive Loads in Smart-Grid Networks

Ohio State University, June 2021

TECHNICAL Programming: Python, Matlab, C/C++ (basic)

SKILLS Software/Packages: TensorFlow, Sklearn, OpenAI Gym, CVXPY, Simulink