

Volume 11, Issue 1 (Spring/Summer 2004) Pages 1-37

**JOURNAL OF CRIMINAL JUSTICE
AND POPULAR CULTURE**

ISSN 1070-8286

**Published by the School of Criminal Justice,
University at Albany.
© 2004. All rights reserved.**

Feature Articles

page

- 1 Perceptions of Peer-to-Peer File Sharing Among University Students
by Robert Moore & Elizabeth Corzine McMullan
- 20 Southern Newspaper Coverage of Exonerations from Death Row
by David Niven

Review Essays

- 32 Review of *Policing Contingencies*
by Simon Cole
- 35 Review of *The Biology of Horror: Gothic Literature and Film*
by Caroline Joan (Kay) Picart

Perceptions of Peer-to-Peer File Sharing Among University Students

By

**Robert Moore, Ph.D.
Delta State University**

**Elizabeth Corzine McMullan, M.S.
The University of Southern Mississippi**

ABSTRACT

In recent years the issue of digital file sharing has become a hotly debated topic among those in the fields of computer science, the music industry, and the movie industry. The purpose of this research was to examine the perceptions of peer-to-peer file sharing among university students. The results of the study revealed that a majority of respondents did in fact use peer-to-peer file sharing and generally perceived the use of the software to be neither illegal nor unethical. Male respondents were found to generally be more certain that the act of file sharing was ethical and legal, while female respondents were more uncertain about the legality and ethics of file sharing.

Within the last five years, the landscapes of the music and video industry have dramatically changed. Like many areas of life that have undergone change with improvements in technology, the acquisition of popular music and movies has moved to the Internet. Gone are the days where consumers wait anxiously in the streets for the release of the latest compact disc from their favorite singer, as are the days where moviegoers camp out over night to catch the latest release of a long-awaited movie. Today, consumers merely connect to the Internet and begin downloading their favorite movies and music without ever leaving the comfort of their homes.

According to a recent congressional report (2002), there are over 3 million users online at any given time swapping music at an incredible rate of 2.6 billion songs per month and movies at a rate of 12 to 18 million files per month. With such a massive amount of file sharing occurring via the Internet, the congressional report argues that songwriters are now losing \$240 million a month to Internet piracy, and if the problem persists, it is estimated that the annual costs to the performers could reach \$3.1 billion a year by the year 2005 (Gillen & Garrity, 2000). Unfortunately, the very nature of the retail industry makes estimating the impact of file sharing on CD sales difficult. According to the Recording Industry Association of America (RIAA; 2003), record sales have dropped drastically in the wake of advances in peer-to-peer file sharing. Personal experiences of these authors in researching file sharing and discussing the activity with file sharers would support this argument, as many individuals have indicated that they have not purchased a CD since they learned how to use file sharing programs.

Hollywood insiders also fear the impact that online file sharing will have on the future of box office sales. Many in the industry believe that the sharing of movies via the Internet is certain to lead to a decrease in sales at the box office. Others, however, argue that while file sharing is sure to impact the purchase of videos and digital versatile discs (DVDs), the box office will most likely see very little, if any, losses (“Lights! Camera! No Profits!,” 2003). Perhaps this view is due to the fact that outings to a movie theater are often more than a trip to see a movie, and are additionally an opportunity to engage in social outreach. The downloading of films is certainly cheaper, but it removes the face-to-face contact a consumer may have with their peers, and therefore the box office will not suffer the same consequences as the purchase of videos and DVDs that are used more for private, home viewing.

Over the last three years there has been a considerable amount of media coverage devoted to the issue of online file sharing through the use of peer-to-peer file sharing programs, which are commonly referred to as P2P programs. The vast majority of this coverage, however, has discussed theories and beliefs espoused by those in the music and movie industries, and as such, the reports have been one-sided and contained little in the way of actual empirical research. Additionally, many articles have claimed that universities have become breeding grounds for Internet piracy because of open access to computers and non-stringent computer security policies. However, there has been little research concerning the use of peer-to-peer software on university campuses, and how university students perceive file sharing. It was the intent of this article to provide an introductory examination of these issues through consideration of students’ perceptions at a mid-size state university in the South. Students’ perceptions concerning issues of traditional copyright violations were examined in conjunction with students’ perceptions of peer-to-peer file sharing, in an attempt to determine: (a) students’ feelings about ethical and legal issues surrounding file sharing, and (b) the extent of file sharing among university students. In an attempt to better facilitate this understanding, however, it is first necessary to briefly examine peer-to-peer file sharing and how the technology has developed.

The development of peer-to-peer networking

Peer-to-Peer networking, which is commonly denoted by the designation “P2P”, refers to the connecting of two or more computers via the Internet or another computer network in order to share files and resources more efficiently (Jacover, 2002). The concept behind peer-to-peer is certainly not new, as the networking protocols that make up the Internet were designed to allow for computers to share files and resources. In fact, it was this necessity of sharing resources and files that led researchers at the Massachusetts Institute of Technology (MIT) to develop the early forerunner of the Internet, the ARPANET (Advanced Research Projects Agency Network) (Segaller, 1998). Therefore, it should be noted that there are legitimate uses for peer-to-peer networking programs. However, in reality there are relatively few individuals who employ the technology for legitimate business uses. Today, peer-to-peer networking has become synonymous with the violation of copyright law in regards to transfers of music, movies, software, pornography, and text documents.

Recognition of this use for peer-to-peer software was brought to the attention of the public in the late 1990s with the release of the Napster file sharing program. Napster was developed by a college dropout, who at the age of 19 wrote the computer program that would

allow users to swap music files stored on their computer's hard drive. To facilitate faster transfers of these files, the software took advantage of the new MP3 file compression algorithm; an algorithm that allowed for significantly greater file compression of audio files (Carey & Wall, 2001).

MP3 is the abbreviation for motion pictures group audio layer 3, and is a compression algorithm that allows for compression of audio files at a rate of 10:1 or 12:1. The MP3 algorithm works by stripping away portions of an audio file that is inaudible to the human ear, in a process known as perceptual audio coding (Blackowicz, 2001; Golangelo, 2002; Greene, 2001). The value of MP3 compression became immediately apparent with the release of the first version of the Napster software. Prior to employing the MP3 compression algorithm, a music file stored on a computer could be as large as 40 to 45 megabytes in size, and would take around one and one-half hours to transfer over a phone line connection that was limited to a speed of 28.8 to 56.6 kilobytes a second. After using the MP3 algorithm, the same music file would be around 3 to 5 MB and would take around 8 to 15 minutes to transfer.

Users of the Napster software were required to download a program from the company's official website that would allow for access to the Napster music servers, a collection of central computers administered by the Napster company. Once users logged onto the Napster network they were asked which files they desired to share from their computer's hard drive. When a user established their shared folder, they were allowed to begin uploading and downloading music files. The one limitation to the file sharing allowed under the Napster software was that it only allowed for the sharing of audio files that were compressed with the MP3 algorithm (Jacover, 2002; Zepeda, 2002).

Napster became incredibly popular in a short time. With the increased awareness of the software's existence, hundreds of thousands of individuals began using the technology to transmit audio files that were copyright protected. At Napster's highest volume of file sharing, it has been estimated that 87% of the files on the network were believed to be in violation of copyright law (Berger, 2001). The RIAA, along with several high profile musical groups like the heavy metal band Metallica, began legal proceedings against the Napster Company in 2000 after repeated attempts to have copyrighted music files removed from the Napster network were disregarded. Napster immediately countered the lawsuit by attempting to argue that their situation was analogous to that of Sony in the case of *Sony Corp. of America v. Universal City Studios, Inc.* (1984). The situation in the Napster case was considered significantly different from that of Sony in that users of Sony were using the videocassette recorder (VCR) technology as a means of viewing non-commercial programs at a later date. Napster, on the other hand, was used as a means of circumventing copyright protections, and was costing artists considerable amounts of money as fewer people were purchasing music cassettes and compact discs (Greene, 2001).

When Napster began to realize they were more than likely going to lose their fight, they began a series of creative arguments as a means of stalling their demise until they could establish a pay-for-service program. One argument launched by Napster was that the record industry had failed to provide adequate proof of which copyrights they owned that were being violated through the use of the Napster software. Napster was aware that the RIAA did not maintain

these records in digital format, and would therefore have to take the necessary time and financial expense to transfer this information from paper format to digital format (Pitta, 2001).

The ploys were unsuccessful in saving Napster, but these attempts to stall did allow other peer-to-peer software manufacturers to begin developing new and improved versions of peer-to-peer software. Out of the ashes of Napster arose new file sharing programs like Morpheus, BearShare, Limewire, and Kazaa. These newer programs, however, differ from their predecessor in two primary ways. First, current programs do not require a central server to operate, which means that each user running the software is in essence running a miniature server and the software's designers are removed from the networking circuit (Gillen & Garrity, 2000). Second, newer peer-to-peer programs allow for users to swap more than music. Today, it is possible to transfer movies, software applications, pictures, and document files (Kazaa, 2003). Subsequent legal maneuvers against these software manufacturers have resulted in little progress, as the software's designers are constantly improving their technology to provide better pirating techniques (Congressional Report, 2002).

The role of the university

The original benefit of using the MP3 algorithm was the speed associated with downloading files compressed with the technology. Today, it could be questioned whether compression is as important to peer-to-peer file sharers, as high speed Internet access is more commonly available. Cable modems and Digital Subscriber Lines (DSLs), which are referred to as broadband Internet connections, allow for transfers of data at speeds greater than 50 times that of traditional phone modems. Both forms of broadband Internet access are becoming more commonplace in residential establishments, making file sharing an even faster activity. When discussing the issue of high-speed Internet, the university is normally mentioned because of the Internet connectivity offered by most institutions. Many, if not all, universities commonly use connection lines that are several times faster than Cable modems and DSL modems. However, the question here is whether college students increase their peer-to-peer file sharing when they have access to university high-speed Internet connections? Representatives of the RIAA claim this answer is yes, and further argue that allowing students easy access to such high-speed connections has led to the point where universities can be labeled as breeding grounds for Internet piracy (Ostrom, 2002).

In response to this criticism, some universities have begun implementing better policies concerning the use of university Internet access. These policies, however, have been implemented not as a means of regulating peer-to-peer usage but instead are being used because file-sharing has been cited as a cause of slow network traffic within the university setting (Carlson, 2001; Ostrom, 2002). The RIAA has also attempted to force universities to ban the use of peer-to-peer software on campus. Within the last two years, several larger universities have received letters from the RIAA indicating that they will be named as defendants in future lawsuits if they do not remove access to peer-to-peer programs (Carlson, 2003). It is believed that these letters resulted in one university seizing 100 computers that were believed to have been used in wide-scale file sharing activities. In response, the RIAA claims they do not desire for universities to seize computers, they merely desire for universities to block access to peer-to-peer programs. Citing their fear of becoming a "spy" for the RIAA, many universities have

refused this request (Ostrom, 2002). Should it be found that universities are truly becoming breeding grounds for Internet piracy, it would seem a logical step for universities to begin work on developing programs to possibly control the growth of the problem. These programs do not necessarily require regulation of Internet activities, but could instead instruct users of university services to better understand the proper use of university resources.

Responses by the criminal justice system to the problem

With increasing pressure from the RIAA, legislators have begun drafting legislation that deals specifically with the issue of copyright protection on the Internet. One such piece of legislation is that of the Digital Millennium Copyright Act (DMCA), which deals with copyrights of materials that are digital in nature (Ginsburg, 2000; Greene, 2001; Johnstone, 2001). While the DMCA has received some coverage because of its mention in several high-profile copyright infringement cases, it has only recently been used with frequency as a justification for obtaining subpoenas for users who have been accused of trading copyrighted materials.

Instead, the recording industry, while arguing for better legislation, has turned to creative means of responding to the problem of peer-to-peer file sharing. For example, the RIAA has recently applied the use of “spoofed” files, which are files that appear to be a popular song or movie but when opened contain no content (Snider, 2002). The use of these files does little to punish those who share files, but it does result in frustration for users who devote the necessary time to download the file, only to subsequently discover that the file is a fake. Other techniques involve the use of NetPD software that allows users to trace a copyright protected file that is being traded online. The creators of the software claim that the software is even capable of tracing the file back to the original individual that posted the file, but the software has received little attention and this feature has never been verified by an outside source (Masson, 2000).

Gillen and Garrity (2000) have long argued that attempts by the RIAA and programmers to develop copyright protections will be unsuccessful. Citing research conducted by Forrester Research, the two researchers have claimed that the solution lies in accepting MP3 technology and developing better awareness campaigns. Additional research has shown that the large number of users who share files online has created a situation where the criminal justice system simply cannot handle the problem with any true level of success (Congressional Report, 2002).

The belief that enforcement by the criminal justice system is impossible has led some to argue that the solution lies in empowering the copyright holders to protect their materials (Fazekas, 2002). A recent bill (H.R. 5122) introduced by Congressman Berman would allow copyright owners to remove copyright protected materials from the computers of individuals who are sharing the files online and via peer-to-peer networks (Snider, 2002). Bill H.R. 5122, which is commonly referred to as “Berman’s Bill”, would allow for copyright owners to interdict, redirect and spoof users who are sharing files; the problem with this aspect of the bill is that many believe the bill would allow for copyright owners to launch denial of services attacks against individual users (Fazekas, 2002).

Other opponents of the Berman Bill claim that passage of the bill would create a scenario analogous to that of the Wild West, where the user with the greatest technological “guns” will win (Congressional Report, 2002). The Berman Bill may be too broad and would allow for copyright owners to hack into the computers of personal users who are found to be sharing copyrighted materials (Fazekas, 2002), thereby creating a dichotomy in that hacking is still criminalized under the United States Criminal Code, so one piece of legislation cannot legalize such attacks against personal users and then penalize personal users for responding to attacks. Currently, the Berman Bill is still in Congress being debated and it will be interesting to see if the bill makes it out of Congress as it currently stands, or if there will be changes made that govern when copyright holders may access the computers of private citizens.

METHOD

There have been a considerable number of news reports concerning the use of peer-to-peer file sharing programs among university students; however, there appears to be little empirical research that examines the issue. The current research project attempted to examine university students’ perceptions of peer-to-peer file sharing. Specifically, the research project attempted to answer the following questions related to peer-to-peer file sharing: (a) Do university students believe that copying of commercial programs, movies, etc. is unethical or illegal? (b) Do university students believe that peer-to-peer file sharing is unethical or illegal? and (c) Have university students increased their peer-to-peer file sharing as they have gained increased access to high-speed Internet access?

Purposive sampling was used in an attempt to ensure that a percentage of participants would be criminal justice majors and the remaining participants would be a combination of other majors. Criminal justice majors were included because there was an interest in determining whether criminal justice majors, who have studied the legal system and have displayed an interest in enforcing the law or serving the field of criminal justice, would perceive the act of file sharing differently from those who had little or no understanding of criminal justice or the field of law. Six courses were selected, three randomly selected criminal justice courses and three randomly selected non criminal justice courses (Sociology, History, Political Science). Professors and instructors were consulted and informed of the research. All six agreed to allow their students to participate in the study. Surveys were administered over the course of one week during the Spring 2003 semester. The survey was administered during the first 15 minutes of each class, resulting in a response rate of 98% ($N=171$), with the remaining 2% declining to participate in the study.

Participants were provided a 20-item survey instrument designed to determine an individual’s perceptions of copyright violations and more specifically, copyright violations involving peer-to-peer file sharing. The questions related to whether (a) copying copyrighted materials was unethical, (b) copying copyrighted materials was illegal, (c) sharing files via peer-to-peer networks was unethical, and (d) sharing files via peer-to-peer networks was illegal. Additionally, participants were asked to respond to whether or not they equated the act of file sharing with the act of physically shoplifting music, movies, or software. Responses were categorical in nature, requiring participants to respond to each question with an answer of yes, no, or uncertain.

Participants were also asked to answer questions relating to the frequency in which they used peer-to-peer file sharing programs. These questions were designed to determine: (a) how often participants used peer-to-peer file sharing applications, (b) if their use of peer-to-peer software increased with the advent of broadband Internet connectivity, and (c) if their use of peer-to-peer software increased with their access to high-speed Internet access provided by the university. Finally, participants were asked to answer a small number of questions related to demographics. Specifically, participants were asked their age, gender, university classification (freshman, sophomore, etc.) and major. This information was used to examine whether there was a significant difference in perceptions of peer-to-peer file sharing among gender and major.

RESULTS

The participants in the study ranged in age from 18 to 40, with 85% of participants being 24 years of age or younger. In examining the participants' major, 55% were majoring in criminal justice, with the remaining 45% majoring in non criminal justice fields such as history, education, biology, etc. Representation of gender was relatively equal, with 52% of participants being male and 48% being female. In regards to academic classification, 9% were freshmen, 13% were sophomore, 33% were juniors, 39% were seniors, and 6% were graduate students.

Violations of traditional copyright

In examining respondents' perceptions of copyright violations, the first consideration involved answers concerning whether the copying of commercial software without proper authority or permission was illegal. It was revealed that a majority of respondents felt that the copying of commercial software programs was not illegal, while a small percentage of respondents were uncertain about the legality of such behavior (see Table 1). Further examination revealed that there was no statistically significant difference between groups when considering both gender and major. Further, the majority of respondents did not feel that the act of copying commercial software without permission was unethical, with only a small percentage indicating certainty that such activity was unethical. Also, a small percentage of respondents indicated that they were uncertain about the ethics associated with the copying of commercial software. Once again, no significant difference was discovered when examining both gender and major.

Table 1
Responses Related to Traditional Copyright Violations

| | <i>n</i> | Percentage | Chi-Square | DF |
|-----------------|----------|------------|------------|----|
| Copying Legal | | | | |
| Yes | 55 | 32% | | |
| No | 90 | 53% | | |
| Uncertain | 26 | 15% | 36.04 | 2 |
| Copying Ethical | | | | |
| Yes | 42 | 25% | | |
| No | 102 | 60% | | |
| Uncertain | 27 | 15% | 55.26 | 2 |

Copyright violations via peer-to-peer

The survey next examined respondents' views concerning the illegality of trading copyrighted materials via peer-to-peer networking programs. A majority of respondents, almost two-thirds, indicated that they did not believe the use of peer-to-peer networking to be illegal. In fact, several respondents wrote in a response of "it is too easy to get to be illegal." A small percentage indicated that they were uncertain about the legality of the behavior, with an even smaller percentage indicating certainty about the illegal nature of file sharing. A statistically significant difference between genders was discovered, with male respondents generally feeling that the use of file sharing software was not illegal, while female respondents were less likely to indicate certainty about the illegal nature of file sharing (see Table 2). A comparison of responses by major indicated that there was no significant difference between criminal justice majors and non criminal justice majors.

Respondents' views concerning the unethical nature of file sharing were examined next. A majority of respondents did not feel that the sharing of files via peer-to-peer networks was an unethical activity. One-fourth of respondents were uncertain about the ethics of file sharing, and a small percentage of respondents felt that file sharing was an unethical activity (see Table 2). When examining gender, there was a statistically significant difference between the genders. While an overwhelming number of male respondent (70%, $n = 63$) did not find the software's use unethical, female respondents were more likely to be uncertain about the activity or view the activity as unethical. A statistically significant difference between major was also found. Sixty-nine percent ($n = 64$) of criminal justice majors responded that sharing files via peer-to-peer networks was not unethical, while non criminal justice majors were less likely to find sharing files ethical (41%, $n = 42$) and more likely to claim uncertainty (35%, $n = 27$) about the ethical implications of file sharing (see Table 2).

Shoplifting. The next consideration involved comparing respondents' views on traditional shoplifting and whether they equated the act of file sharing to shoplifting. Overwhelmingly, respondents indicated they would not engage in shoplifting (see Table 3). In considering both major and gender there was no significant difference in regards to whether respondents would consider engaging in the act of shoplifting. Further, a majority of respondents (71%, $n = 122$) did not equate the act of file sharing via peer-to-peer networks to the act of physically shoplifting movies, music, or software from a retail store. There was a statistically significant difference between gender, with males overwhelmingly refusing to equate the two acts, while female respondents were less likely to refuse equating the act but more likely to claim uncertainty (see Table 3). A statistically significant difference was also found when considering major. Criminal justice majors were more certain that the act of file sharing was not equivalent to the act of shoplifting, while non criminal justice majors were more uncertain about the equality of the acts.

Table 2
Responses Related to P2P Copyright Violations

| | | <i>n</i> | Percentage | Chi-Square | DF |
|----------------------------|-----------|----------|------------|------------|----|
| P2P Illegal | | | | | |
| Overall | | | | | |
| | Yes | 25 | 15% | | |
| | No | 110 | 64% | | |
| | Uncertain | 36 | 21% | 74.98** | 2 |
| Male | | | | | |
| | Yes | 18 | 20% | | |
| | No | 61 | 69% | | |
| | Uncertain | 10 | 11% | | |
| Female | | | | | |
| | Yes | 7 | 9% | | |
| | No | 49 | 60% | | |
| | Uncertain | 26 | 31% | 12.995** | 2 |
| Criminal Justice Major | | | | | |
| | Yes | 18 | 19% | | |
| | No | 60 | 64% | | |
| | Uncertain | 16 | 17% | | |
| Non Criminal Justice Major | | | | | |
| | Yes | 7 | 9% | | |
| | No | 50 | 65% | | |
| | Uncertain | 20 | 26% | 4.55 | 2 |
| P2P Unethical | | | | | |
| Overall | | | | | |
| | Yes | 25 | 14% | | |
| | No | 105 | 62% | | |
| | Uncertain | 40 | 24% | 63.82** | 2 |
| Male | | | | | |
| | Yes | 13 | 15% | | |
| | No | 63 | 70% | | |
| | Uncertain | 13 | 15% | | |
| Female | | | | | |
| | Yes | 12 | 15% | | |
| | No | 42 | 52% | | |
| | Uncertain | 27 | 33% | 8.78* | 2 |
| Criminal Justice Major | | | | | |
| | Yes | 16 | 17% | | |
| | No | 64 | 69% | | |
| | Uncertain | 13 | 14% | | |
| Non Criminal Justice Major | | | | | |
| | Yes | 9 | 12% | | |
| | No | 41 | 53% | | |
| | Uncertain | 27 | 35% | 10.48** | 2 |

Note. * $p < .05$ ** $p < .01$

Table 3
Responses Related to File Sharing and Shoplifting Views

| | <i>n</i> | Percentage | Chi-Square | DF |
|---|----------|------------|------------|----|
| Would Shoplift w/ Opportunity | | | | |
| Overall | | | | |
| Yes | 1 | .6% | | |
| No | 169 | 98.8% | | |
| Uncertain | 1 | .6% | 330.11** | 2 |
| Male | | | | |
| Yes | 1 | 1.1% | | |
| No | 87 | 97.8% | | |
| Uncertain | 1 | 1.1 | | |
| Female | | | | |
| Yes | 0 | 0% | | |
| No | 82 | 100% | | |
| Uncertain | 0 | 0% | 1.87 | 2 |
| Criminal Justice Major | | | | |
| Yes | 0 | 0% | | |
| No | 93 | 98.9% | | |
| Uncertain | 1 | 1.1% | | |
| Non Criminal Justice Major | | | | |
| Yes | 1 | 1.3% | | |
| No | 76 | 98.7% | | |
| Uncertain | 0 | 0% | 2.04 | 2 |
| File Sharing Comparable to Shoplifting | | | | |
| Overall | | | | |
| Yes | 23 | 14% | | |
| No | 122 | 71% | | |
| Uncertain | 26 | 15% | 111.26** | 2 |
| Male | | | | |
| Yes | 12 | 14% | | |
| No | 72 | 81% | | |
| Uncertain | 5 | 5% | | |
| Female | | | | |
| Yes | 11 | 13% | | |
| No | 50 | 61% | | |
| Uncertain | 21 | 26% | 13.59** | 2 |
| Criminal Justice Major | | | | |
| Yes | 15 | 16% | | |
| No | 72 | 77% | | |
| Uncertain | 7 | 7% | | |
| Non Criminal Justice Major | | | | |
| Yes | 8 | 10% | | |
| No | 50 | 65% | | |
| Uncertain | 19 | 25% | 10.05** | 2 |

Note. * $p < .05$ ** $p < .01$

File sharing activity

Respondents were also asked to rank the frequency in which they downloaded materials using peer-to-peer file sharing software. Almost half of all respondents indicated regular file sharing activity (see Table 4). Utilizing the Mann Whitney U-test, a statistically significant difference between male and female file sharing frequency was discovered. With a mean rank for male respondents of 97.31 and a mean rank for female respondents of 73.72, these results indicate that females in the current study shared files with less frequency than their male counterparts ($U = 2642, p = .001$). A similar comparison between respondents' major was also conducted. However, there was no significant difference found between the frequency of file sharing between majors ($U = 3174, p = .149$). In examining the types of files most commonly downloaded, 44% of respondents were split among sharing a combination of music, movies, software, and text files; while 32% downloaded only music, and 12% traded only music and movies.

The issue of whether broadband Internet access, and specifically university network access, impacted respondents' desire to share files via peer-to-peer programs was examined next. Twenty eight percent of respondents were removed from this analysis, having answered that they traded neither before nor after the introduction of broadband activity. Of those who did utilize file sharing programs, a majority (72%, $n = 88$) had increased their file sharing upon gaining access to a broadband Internet connection. There was no significant difference between gender or major when examining this issue.

The final issue to be considered was whether access to the university's high speed network resulted in an increase in file sharing. The university where this data was collected is a wireless compatible campus, meaning that students who attend the university can connect to the university's high-speed Internet connection via a wireless card attached to the student's computer. Additionally, the university's library is enabled with high-speed connections in every study carrel and study room. While current findings indicated that students increased their file sharing with increased access to high-speed Internet connectivity, an overwhelming 70% ($n = 78$) of respondents indicated that they did not increase their peer-to-peer file sharing upon gaining access to the university's high-speed Internet activity. No significant difference in peer-to-peer file sharing was found between responses when comparing gender and major. Interestingly, in ranking the frequency in which four different modems were used by respondents (56.6 dial-up, cable, DSL, and university network), university high-speed Internet access was the third ranked modem used by participants in this study, with only 23% ($n = 24$) of respondents using this form of Internet connectivity. The most commonly used modem was the 56.6 dial-up modem, while Cable modem was the second most used Internet connection, and the DSL modem was the fourth ranked modem (see Table 4).

Table 4
Responses Related to File Sharing Activity

| | <i>n</i> | Percentage | Chi-Square | DF |
|--|----------|------------|------------|----|
| File Sharing Frequency | | | | |
| Never | 65 | 38% | | |
| Rarely | 26 | 15% | | |
| Occasionally | 37 | 22% | | |
| Frequently | 43 | 25% | 18.92** | 2 |
| Modem Used to Share Files | | | | |
| Dial Up Modem | 32 | 31% | | |
| DSL | 17 | 17% | | |
| Cable | 30 | 30% | | |
| University Network | 24 | 23% | 5.311 | 3 |
| Increased File Sharing w/ Broadband | | | | |
| Overall | | | | |
| Yes | 88 | 72% | | |
| No | 35 | 28% | 22.84** | 1 |
| Male | | | | |
| Yes | 51 | 69% | | |
| No | 23 | 31% | | |
| Female | | | | |
| Yes | 37 | 75% | | |
| No | 12 | 25% | .629 | 1 |
| Criminal Justice Major | | | | |
| Yes | 54 | 74% | | |
| No | 19 | 26% | | |
| Non Criminal Justice Major | | | | |
| Yes | 34 | 68% | | |
| No | 16 | 32% | .520 | 1 |
| Increased File Sharing w/ University Network Access | | | | |
| Overall | | | | |
| Yes | 34 | 30% | | |
| No | 78 | 70% | 17.29** | 1 |
| Male | | | | |
| Yes | 22 | 32% | | |
| No | 47 | 68% | | |
| Female | | | | |
| Yes | 12 | 28% | | |
| No | 31 | 72% | .198 | 1 |
| Criminal Justice Major | | | | |
| Yes | 24 | 36% | | |
| No | 43 | 64% | | |
| Non Criminal Justice Major | | | | |
| Yes | 10 | 22% | | |
| No | 35 | 78% | 2.36 | 1 |

Note. * $p < .05$ ** $p < .01$

Because there was the possibility that not all of these students had access to the high-speed capabilities of the university (some may not have lived on campus and not have had laptops to connect with), these results were compared with information provided by the university's network administrator. According to the university, it appears true that fewer students are using the high-speed Internet connections of the university to download data. However, there is a significant increase in broadband activity in regards to uploads. Because the university does not monitor the exact data traffic, it is impossible to explain how many users are affecting this spike in service (personal communication, December 5th, 2003). The increase is possibly due to inexperience with the software. Most, if not all, of the software programs allow users to designate portions of their computer as shared folders. In addition, users can preset the number of other users that can upload files at any given time. If left unmodified, the default value is to allow an infinite number of connections. Therefore, a relatively moderate amount of students (such as 23%, $n = 24$) who use the file sharing programs in their dorm rooms could potentially be responsible for this spike in bandwidth consumption.

DISCUSSION

While a notable majority of respondents would never consider stealing videos, compact discs or software from a retail store, fewer found the manufacturing of homemade copies to be illegal. Further, a large number of respondents indicated they did not find the sharing of files via peer-to-peer networks to be either unethical or equivalent to physical shoplifting. This is despite the fact that both activities result in copyrighted materials being obtained without payment for the materials. There are two possible explanations for why university students perceive file sharing they way they do. First, there is the possibility that individuals merely do not view the act as equivalent because there is no physical activity involved in the criminal act. The perceived anonymity associated with using the Internet and computers to share files, when combined with the fact that there is no physical removal of merchandise, could reduce a file sharer's fear of being caught. The act may therefore take on a less serious nature.

The second explanation, and perhaps the better of the two, involves Sykes' and Matza's techniques of rationalization and neutralization. According to Sykes and Matza (1957), relatively law abiding individuals can move between periods of legal behavior and periods of illegal behavior. "It is our argument that much delinquency is based on what is essentially an unrecognized extension of defenses to crimes, in the form of justifications for deviance that are seen as valid by the delinquent but not by the legal system or society at large." (p. 666) When these individuals drift between behaviors, it is normal for one of five excuses to be used to neutralize their guilt over their behavior: denial of victim, denial of injury, denial of responsibility, condemnation of condemners, and an appeal to higher authorities.

At first glance it would appear that two of the neutralizations could be used to explain attitudes related to file sharing. The first is the concept of denial of a victim. Smigel and Ross (1970) found in their assessment of Sykes and Matza's techniques that attacks against large companies are often easier to justify because these companies are often impersonal and appear more interested in profit than in their customer's needs. Here, students may realize that what they are doing is wrong, yet they continue to engage in the activity because they do not believe that the recording industry, or the musical artists, is being affected by their activities. It is

possible that file sharers could be rationalizing their behavior on the grounds that the RIAA and the musical artists have already made so much money off of their albums that they will not be affected by a few file sharers. Of course the problem with this argument would be that there are so many file sharers today, that the impact is greater than even file sharers themselves may realize.

The second technique of neutralization that could be used to explain the behavior of file sharers is that of denial of injury (Sykes & Matza, 1957). Here, file sharers may realize that the RIAA and musical artists are being affected by their activities. However, file sharers may attempt to convince themselves that by file sharing they are learning more about the artists and their music, with the intention of buying more music in the future. In the comment sections of the survey instrument there were several respondents that indicated they only used file sharing programs to preview albums. The problem with these statements is that in reality, a low number of file sharers appear to ever purchase music CDs (Enos, 2000; Madden & Lenhart, 2003).

Differences in file sharing by gender

In examining perceptions of file sharing among gender, female respondents were generally less certain about the equality of physical shoplifting and peer-to-peer file sharing. A majority of male respondents was certain the act was not equivalent, while only a small number were uncertain about the equivalency of the two acts. However, female respondents were directly inversed with more responses of uncertainty and fewer responses that the act was not equivalent. Female respondents also were less certain about the ethics associated with sharing files via peer-to-peer networks, with a significant number of respondents not willing to make a statement indicating that the act was either ethical or non-ethical. In examining the frequency with which students share files via peer-to-peer networks, it was revealed that male respondents generally downloaded files at a greater rate than their female counterparts.

It is difficult to explain why female respondents were less certain about their perceptions of file sharing. While there have been numerous studies on delinquency, it has only been recently that these studies have included an examination of gender. It is still undetermined as to whether explanation of delinquency by females lies in the gender of the offender or in the historical view of females as more victim than perpetrator (Daly & Chesney-Lind, 1988). However, there is little consideration of technology and the impact technology has on the behavior of both males and females; a consideration that can certainly impact behaviors of both genders. Further research designed to test the role gender plays in the commission of technology-assisted crime is necessary.

Differences in file sharing by major

In considering major, it was revealed that there were few differences between responses when considering whether a respondent was a criminal justice major or a non criminal justice major. In fact, the only differences found related to whether respondents believed the sharing of files to be ethically wrong and whether respondents equated the acts of file sharing and physical shoplifting. Criminal justice majors were more certain that file sharing was not unethical, while non criminal justice majors were more uncertain about the ethical implications of file sharing.

Non criminal justice majors were also more uncertain about the equivalency of shoplifting and file sharing, while criminal justice majors were more certain that the two acts were not equivalent. Of course, little difference between major was expected by these authors; however, at the same time it is these individuals who may be asked to handle investigations of these types of crimes if, in fact, the criminal justice system is forced to one day handle these investigations. The question that will almost certainly arise is whether someone can truly investigate a crime for which they are themselves frequently committing?

File sharing activity

This study found that a majority of respondents did increase their peer-to-peer file sharing with the advent of broadband Internet connections. Once again, these results were not surprising to these authors. Utilizing narrowband Internet connections such as dial up modems would take 10 to 15 minutes to transfer musical files, so it is only reasonable to expect that faster Internet connections could result in increased amounts of file sharing. Additionally, subsequent releases of file sharing software have allowed for trading of movies and software that can both be large in file size. Absent the use of broadband Internet access, the downloading of these files could potentially take days to complete. If individuals are going to download files, and a majority of respondents did use the software, then it is only reasonable to expect individuals to look for the quickest and easiest method of trading the files.

University Internet access was found to be used by only a small percentage of those who used the peer-to-peer networking software. While at first glance it would seem that these results support the argument that universities have no obligation to prevent peer-to-peer file sharing, the reality is that if students are utilizing university services to engage in illegal activity, then a university-based program to make users more aware of the consequences of their actions could be justified. Further, the fact that 23% ($n = 24$) of those in the current study who shared files claimed to use university network services could be viewed as a justification for establishing such a course. Even this small amount of usage could impact the university's normal day-to-day network operations if the software is not properly configured.

The awareness program could consist of a course combined with additional topics to satisfy one hour of elective credit, and be required for all students who are entering the university. Along with covering the issue of peer-to-peer networking, this course could also introduce students to the various computing aspects of the university. The majority of universities now provide students with electronic mail (e-mail) addresses and computer labs for completing research assignments. The e-mail address could be assigned to the student during the course, and rules relating to the use of the computer labs could be addressed. The course would be of limited inconvenience for a university and would not require extensive meeting times, thereby resulting in minor inconvenience for an instructor. Prior to completing the course, participants could be asked to sign an informed consent form that indicates their understanding of punishments should they violate the university's acceptable computing policy.

Limitations

The current study was limited by several factors. First, there was the nature of the data collected by the survey instrument. The data collected was nominal in nature, and only allowed

respondents a limited range of responses (yes, no, and uncertain). These results are useful in providing an introductory examination of file sharing attitudes, but more research is necessary to fully understand how university students feel about the activity of file sharing. Second, the current study was not designed to gauge understanding of why some students engage in file sharing, while others choose to avoid file sharing. The initial results provided by this study indicate the possibility that Sykes and Matza's techniques of neutralization could be used to explain this phenomenon. More research designed to gauge the use of neutralization techniques by file sharers will allow for a better understanding of why individuals who would not engage in physical acts of theft will engage in acts of digital theft. Finally, the data for this survey was collected less than one month before the RIAA began utilizing lawsuits to dissuade file sharers. Initial reports are conflicting as to whether these lawsuits are discouraging file sharers. Collecting more data in the post-lawsuit era could aid in determining if the lawsuits have affected the attitudes of university students who engage in file sharing.

CONCLUSION

The results of this study have revealed that a majority of university students do in fact use peer-to-peer networking. The problem with curbing the use of the software is that few respondents perceive the use of the software as either unethical or illegal. Few respondents even perceive the copying of commercial software, videos and compact discs without the use of peer-to-peer software to be an unethical or illegal act. Interestingly, female respondents do appear to be more uncertain about the legality or ethics of violating copyright law, and as result, it appears that females use peer-to-peer file sharing at a slightly lower rate than their male counterparts. Perhaps these results could also be interpreted as proof that there is hope for solving the problems associated with those who violate copyright protections using peer-to-peer software through education and awareness training.

There is little doubt that peer-to-peer networking is a problem, and it is a problem that is too widespread for the criminal justice system to handle. The solution, however, should not involve allowing copyright owners the opportunity to attack users of the software, as this scenario will only erupt in a technological battle that will accomplish little. Solving the problem will require a combination of education and criminal justice enforcement. There needs to be some amount of criminal prosecution for those who are illegally sharing copyrighted materials, because failure to prosecute anyone is what could have led to the current scenario where few people perceive the use of the software to be illegal. Education, however, is more important to reducing the number of individuals who share digital media via peer-to-peer networks. Universities, while not appearing to provide the Internet connection for the majority of those who share files, are in a position to provide the necessary education to reduce the frequency of the software's use. By offering a short one-hour course that covers the ethics of file-sharing, and the illegality of sharing copyrighted materials, a percentage of those who did not believe the use of peer-to-peer software to be illegal or unethical might change their perception and reduce their use of the software. Education is the key to curbing this problem, and failure to acknowledge this may only result in wasted time and effort by those who seek to develop copyright protection software or legislation that cannot reasonably be enforced.

ENDNOTE

Robert Moore is an assistant professor of criminal justice at Delta State University in Cleveland, MS, having recently completed his Ph.D. in Administration of Justice. His research interests involve legal issues in the investigation of technology-assisted crime, the use of computers in criminal activities, and procedural issues associated with the handling of material witnesses post 9/11.

Elizabeth McMullan is a research analyst for the Mississippi Statistical Analysis Center. She is currently completing her Ph.D. at The University of Southern Mississippi. Her research interests include issues associated with domestic violence and the impact gender has on support for the use of the death penalty.

REFERENCES

- Berger, S. (2001). The use of the internet to 'share' copyrighted material and its effect on copyright law. *Journal of Legal Advocacy & Practice*, 3, 92-105.
- Blackowicz, J. (2001). RIAA v. napster, defining copyright for the 21st century? *Boston University Journal of Science and Technology*, 7, 182-193.
- Carey, M., & Wall, D. (2001). MP3: The beat bytes back. *International Review of Law Computers & Technology*, 15, 35-58.
- Carlson, S. (2001). Napster was nothing compared with this year's bandwidth problems. *Chronicle of Higher Education*, 48, a44-a45.
- Carlson, S. (2003). Recording industry plans to accelerate complaints about illegal file sharing. *Chronicle of Higher Education*, 48, a46.
- Congressional Report. (2002). *Piracy of intellectual property on peer-to-peer networks*. Retrieved February 18, 2003, from http://commdocs.house.gov/committees/judiciary/hju81896.000/hju81896_0.HTM.
- Daly, K., & Chesney-Lind, M. (1988). Feminism and criminology. *Justice Quarterly*, 5, 497-535.
- Enos, L. (2000). Study: File-sharing stalls net music sales. *Newsfactor Network*, Retrieved February 27, 2003, from <http://www.newsfactor.com/perl/story/4704.htm>,
- Fazekas, C. (2002). *Vigilantes v. pirates: the rumble over peer-to-peer technology hits the house floor*. *Duke Law & Technology Review*, 20-31.
- Gillen, M., & Garrity, B. (2000). Industry's anti-piracy efforts 'doomed to fail' says forester. *Billboard*, 112, 9-11.

- Ginsburg, J. (2000). Copyright use and excuse on the internet. *Columbia VLA Journal of Law & the Arts*, 24, 1-45.
- Golangelo, A. (2002). Copyright infringement in the internet era: The challenge of mp3s. *Alberta Law Review*, 39, 891-913.
- Greene, S. (2001). Reconciling napster with the sony decision and recent amendments to copyright law. *American Business Law Journal*, 39, 57-98.
- Jacover, A. (2002). I want my mp3! Creating a legal and practical scheme to combat copyright infringement on peer-to-peer internet applications. *Georgetown Law Journal*, 90, 2207-2254.
- Johnstone, D. (2001). The pirates are always with us: what can and cannot be done about unauthorized use of mp3 files on the internet. *Buffalo Intellectual Property Law Journal*, 1, 122-145.
- Kazaa (2003). *Kazaa file sharing program info*. Retrieved on March 5, 2003, from <http://kazaa.com>.
- Lights! Camera! No profits! (2003). *Economist*, 366, 11-12.
- Madden, M. & Lenhart, A. (2003). *Pew internet project data memo*. Retrieved on January 5, 2004, from http://www.pewinternet.org/reports/pdfs/PIP_Copyright_Memo.pdf.
- Masson, G. (2000). NetPD tracks file swapping on web. *Billboard*, 112, 8-10.
- Ostrom, M. (2002). Digital copyright issue flares on campuses. *Mercury News*. Retrieved on December 5, 2003, from <http://www.siliconvalley.com/mld/siliconvalley/4616327.htm>.
- Pitta, J. (2001). Digital frontier? *Forbes*, 167, 60-62.
- Recording Industry Association of America. (2003). *Recording industry begins suing P2P file shares who illegally offer copyrighted music online*. Retrieved on December 5, 2003, from <http://www.riaa.com/news/newsletter/090802.asp>.
- Segaller, S. (1998). *Nerds 2.0.1: A brief history of the internet*. New York: T.V. Books L.L.C.
- Smigel, E., & Ross, H. (Eds.). (1970). *Crimes against bureaucracy*. New York: Van Nostrand Reinhold.
- Snider, M. (2002). *Cyber-turf law hinges on 'hack'*. Retrieved on February 27, 2003, from http://www.usatoday.com/tech/news/techpolicy/2002-09-25-hack_x.htm.
- Sony Corporation of America v. Universal City Studios, Inc.* (1984). 464 U.S. 417.

Sykes, G., & Matza, D. (1957). Techniques of neutralization: A theory of delinquency. *American Sociological Review*, 22, 664-670.

Zepeda, L. (2002). A&M records inc. v. Napster, Inc. *Berkeley Technology Law Journal*, 17, 71-91.

Southern Newspaper Coverage of Exonerations from Death Row

by

David Niven
Florida Atlantic University

ABSTRACT

How do newspapers in the south react when a death row inmate is exonerated? Examining newspaper coverage since 1990 of the 16 inmates released from the death rows of Florida, Georgia, and Texas reveals that (a) exonerated inmates receive less coverage than those who are executed, (b) coverage is apt to portray the exoneration as the result of an isolated mistake and not indicative of systematic failure, and (c) coverage emphasizes the experiences of former inmates after being released, not during their incarceration. Cumulatively, this pattern serves to minimize the seriousness of the innocent on death row situation, and is consistent with media theories suggesting political coverage is generally supportive of moderatism/mainstream elite political thinking.

Normally, it is not front page news when someone visits a mall. "I don't even know what I'm looking for," the shopper admitted as he read the list of stores on the directory. But the Associated Press and other newspaper reporters were on the scene when Rudolph Holton went shopping for the first time in sixteen years (Hallifax, 2003a).

Holton had spent the interim on Florida's death row. Then, on January 24, 2003, he was released, the 25th person wrongly convicted and sentenced to death by the state of Florida. In Holton's case, prosecutors had withheld evidence, a DNA test had been falsified, and the jailhouse snitches who testified against him later admitted they were lying.

As he left the Tallahassee-area mall, Rudolph Holton tossed a few pennies in a fountain. He made a wish as he threw. "For guys on the row who are left, trying to get help," he said as he walked on.

For Rudolph Holton, the moral of the story was clear. "Too many people getting off death row," he said. "That should be telling them something. That the system's got a lot of holes in it" (Hallifax, 2003a).

For Florida's Governor, Jeb Bush, the moral was somewhat different. He took the occasion of Holton's release to announce a 40% cut in state funding for legal services for those facing the death penalty, and renewed his call for a time limit on death row appeals (Hallifax, 2003b). Either move could have resulted in the state's execution of Rudolph Holton and other innocent people¹.

Holton was struck by the juxtaposition of the governor's budget proposal and his release. "Just when innocent people get off death row, you want to cut off the funding?" he wondered (Hallifax, 2003b).

The death penalty in the United States is primarily utilized in the south. Indeed a large majority of death penalty convictions and executions in the United States arise from the 11 southern states of the former confederacy (Zimring, 2003). All 11 southern states utilize the death penalty and the sentence enjoys strong support from both political elites and the public there (Borg, 1997; Whitehead, 1998).

How do southern newspapers respond when, as has happened with an eerie regularity, jurisdictions announce that a person convicted of murder and condemned to death has been set free? Does the resulting media coverage suggest a justice system in crisis, or a simply a freakish accident?

Complicating expectations on this issue area is the fact that coverage of exonerations from death row falls at the intersection of competing media values. That is, the media love dramatic, compelling, surprising stories, and the notion of an innocent person convicted of murder and condemned to death surely fits that description. However, the media also are apt to conform in the face of consensus issues – which would encourage coverage that tempers the finding of innocence in the larger context of the need for the death penalty. This study takes measure of these conflicting impulses and examines the degree to which southern newspapers (a) cover exonerations on death row, (b) the degree to which these situations are portrayed as isolated mistakes or symptomatic of a flawed process, and (c) the degree to which coverage portrays the suffering endured by the former inmate or the 'fish out of water' experience of the newly released inmate.

The significance of coverage patterns is suggested by the nature of public opinion on the death penalty. Moy, Scheufele, and Eveland (2001) find that responses to the death penalty, to a greater extent than other crime policy issues, are unstable and affected by emotions. Concomitantly, Sotirovic (2001) finds that exposure and attention to more complex media formats is related to more complex thinking on the death penalty, whereas exposure and attention to more simplistic media formats inhibits complexity. Alarmingly, Lipschultz, and Hilt (1999) criticize the media for covering executions as carnivals, and missing the substantive issues of the moment. If the media gloss over the facts of the exoneration story and the larger trend, then the effects of exonerations may be blunted, with the media, in effect, acting to protect the death penalty from popular scrutiny (Haines, 1992).

Theories of media priorities

Three theories of media behavior outlined below suggest quite distinct possibilities about how the media will react to exonerations from on death row.

Man bites dog. Research suggests that what is surprising is generally more newsworthy than what is important (e.g., Fallows, 1997). In that vein, Jacobson and Dimock (1994) lament that there was greater media scrutiny of the very surprising 1992 House banking scandal, in

which members habitually bounced checks but did not cost the public any money, than of the very important House role in the savings and loan crisis, which cost the public billions.

According to this line of thought, news is what we do not expect to happen, and the more regularly something occurs, the less it can be considered news. The implication for coverage of death row exonerations is that early exonerations will be more newsworthy than later exonerations, as over time the precedent of death row innocence will have already been established. Further, since what is interesting here is the unusual outcome, little attention will be paid to the legal system's malfunctioning that resulted in the flawed conviction. Finally, greater attention will be paid to inmates' lives after prison (such as former inmates' first trips out of prison, meeting relatives for the first time, or seeing commonplace buildings and services that did not exist the last time they were free), which are inherently unusual, rather than inmates' lives in prison, which are not.

Moderatism/Indexing. Conversely, according to some scholars, the media are, at bottom, most comfortable with traditions and set patterns (Gans, 1980). When journalists find themselves in need of confirmation of their inclinations, they are apt to turn for comment to a very closed circle of elites who have a stake in the long-term protection of the status quo (Croteau & Hoynes, 1994). This pattern results in what Bennett (1990) and others see as the media indexing its coverage around the mean of elite discussion.

In short, the media tend to present the range of mainstream political elite thinking on major issues in general (Zaller & Chui, 1996), and especially on legal controversies (Grey, 1968; Lawrence, 1996; Newland, 1964; Slotnick & Segal, 1998). When those elites disagree, the story the media tells has two sides. When those elites agree, however, the story the media tells has but one side.

The death penalty is an issue on which, outside of the Congressional Black Caucus, there is almost no elected opposition. Indeed, studies on the state level find as much as 95% support among state legislators for the death penalty (Whitehead, 1998).

Thus, the implication of this theory is that coverage of exonerations will remain essentially flat, becoming no more or less newsworthy over time. Each exoneration would represent a potential threat to the normal order of things, but reporters would continually turn for analysis in these situations to mainstream political leaders who would typically offer calm. As such, coverage would also be expected to emphasize the unusual circumstances which led to the death sentence, and the life after prison elements of the inmate's story.

Government as failure. Alternatively, other scholars argue that whenever possible, the media's first inclination is to criticize government. That is to say, the prominence and play of negative stories about government is found to predominate over positive stories in study after study (e.g., Diamond, 1978; Lichter & Noyes, 1995; Niven, 1999, 2001).

Here the suggestion is that exonerations should generate increasing attention as the unfolding scope of the government's failure becomes clearer. Further, coverage should emphasize the systematic failures in the system and answer the 'why this keeps happening'

question, rather than isolating the circumstances of the case. Finally, the serious nature of the problem should result in greater attention to the suffering of the former inmate in prison – thus emphasizing the consequences of the problem - rather than life after prison. Table 1 summarizes the expectations given each of the three theories.

Table 1

Theories of Media Behavior and Implications for Death Row Exoneration Coverage

| Theory | Coverage Prominence | Legal Emphasis | Personal Emphasis |
|-----------------------|---|--|--------------------------|
| Man Bites Dog | declines *more coverage than for executions | system working/ unusual circumstances | experiences after prison |
| Government as Failure | increases *more coverage than for executions | systematic failures | experiences in prison |
| Moderatism | flat *less coverage than for executions | system working/ unusual circumstances | experiences after prison |

METHOD

To explore the nature of southern media response to the innocent on death row, newspaper coverage of the 16 individuals exonerated from the death rows of Florida, Georgia, and Texas from 1990-2003 has been examined². No other southern state had an exoneration from death row during this period. The sample includes coverage from all Florida, Georgia, and Texas newspapers available in either the Lexis-Nexis or Newsbank database³.

Three measures of coverage prominence have been recorded. For each of the 16 exonerated individuals, trained coders recorded the total number of articles featuring the subject (with a mention of the person in the headline or three leading paragraphs) in the 12 months before and after their release. Coders also noted the page number and word count for each article. For comparison, the number of articles published on those executed since 1990 in the three states was also recorded (using the same newspapers and time frame).

Then, each of three coders was assigned primary responsibility for reading approximately one-third of the articles ($n = 510$) to record the variables discussed below. To reflect the characterization of the legal system, coders were asked to note if any independent voice (elected official, judge, writer, etc.) suggested the legal system was broken or failing⁴. For example: "Former chief justice of the Florida Supreme Court, Gerald Kogan, had this to say about the state's death penalty: 'There is no question in my mind...that we certainly have, in the past, executed those people who either didn't fit the criteria for execution in the state of Florida or who, in fact, were factually not guilty of the crime for which they have been executed.'... 'You

don't dig up a coffin, open up the lid and then tell the accused, 'Oops, sorry. We made a mistake. Just go home, go on about your business.' It doesn't work that way"(Littlepage, 2003).

Coders also noted independent supportive statements⁵, including those which supported the legal system's functioning, or characterized the current situation as not being indicative of any larger problem. For example, [Governor Jeb] "Bush does not support a halt to executions, said his press secretary Elizabeth Hirst. 'The governor does not believe there is a need for a moratorium in this state,' she said, adding that there is a lengthy appeals process before death row inmates are executed" (Associated Press, 2002).

Articles were coded ($I = yes$, $0 = no$) if any paragraph in the article contained an independent critical statement. They were similarly coded if any paragraph contained an independent supportive statement. Articles could, of course, contain both. A subsample of articles was coded by multiple coders, resulting in an intercoder agreement of .92. Any disagreements were resolved by the third coder.

To reflect how coverage presented the personal experiences of the former inmates, coders were asked to note if any aspect of the article focused on experiences during incarceration. For example: "During his 11 years at the state prison in Jackson, Nelson said he saw prison guards escort 15 inmates to the death chamber. 'These were guys I was seeing every day, talking to,' said Nelson...'We could look out and see the hearse. It was horrible the way they let us smell him cooking. The burnt flesh is something I will never forget. As time went by, I began to wonder if it was possible I was next...The guards were verbally abusive all the time. They humiliate and intimidate, basically dehumanize the inmates, strip-searching us all the time'" (Curriden, 1991). Coders were also asked to note if any aspect of the article discussed the former inmate's new experiences once released. For example: "Now, the 22nd man freed from Florida's death row spends as much time as possible outside. He smokes cigars at his 'favorite place,' a dead tree trunk in the back yard. He watches the leaves rustling in the wind -- appreciating the scene as few others can. He talks to old classmates and well-wishers in his driveway, under the sun" (Roman, 2002).

Again articles were coded ($I = yes$, $0 = no$) if any paragraph in an article contained a reference to life in prison. They were similarly coded if any paragraph contained a reference to life after of prison. A subsample of articles was coded by multiple coders, resulting in an intercoder agreement of .98. The rare disagreements were resolved by the third coder.

RESULTS

As Table 2 reveals, there has been no great increase in attention to the exonerated as their numbers have mounted. Nor has there been any substantial decline. Instead, the results suggest attention to each additional exoneration has been largely flat. Overall, the exonerated can expect just under 32 articles featuring their plight, placed on average on page 8⁶ and just under 672 words long. The correlation between the exoneration number (that is, the number of exonerations that have taken place in the state when the inmate was released) and the prominence of the coverage varies between -.03 (for number of words) and .11 (for number of articles), but in each case fails to reach statistical significance (using $p < .10$ as a benchmark).

Table 2*Prominence of Coverage of the Exonerated in Southern Newspapers*

| | Mean | SD | Correlation between exoneration # and measure |
|-------------------------|-------|-------|---|
| Number of Articles | 31.9 | 13.2 | .11 |
| Placement (Page number) | 7.8 | 3.6 | -.06 |
| Length (Word Count) | 671.7 | 189.6 | -.03 |

Note. $N = 510$.

As notable as the lack of a trend in these results is the relative paucity of coverage. For example, the same search guidelines applied to the inmates executed by the states of Florida, Georgia, and Texas between 1990-2003 reveals a mean number of articles of 105 ($SD = 25.8$). The difference between coverage of the exonerated and the executed is statistically significant, $t(22) = 1.99, p < .01$. In short, those who were executed received more than three times as much coverage as exonerated people on death row, which is akin to giving three times as much coverage to the planes that land safely compared to the ones that crash.

This pattern is not consistent with either the 'Man Bites Dog' theory, which suggests coverage of exonerations should decline but be greater than coverage of executions, or the Government as Failure theory, which suggests coverage of exonerations should increase and be greater than coverage of executions. Instead, it supports the Moderatism/Indexing theory, which suggests coverage of an issue with a clear elite consensus should remain flat and attract less coverage than executions.

Does the coverage exonerations attract emphasize a system in crisis or a system in no particular need of change? By a wide margin this coverage gives voice to supportive words which portray the exoneration as an isolated mistake, or, perversely, as evidence the system is working. For example, "[Governor Jeb Bush] says the fact that mistakes were caught before these prisoners were put to death is proof that the system works" (St. Petersburg Times, 2000); [Attorney General Charlie] "Crist and other death penalty supporters said exonerations are proof the system works - those who aren't guilty eventually are spared" (Allison, 2003). Rare were the instances in which public officials questioned the functioning of the system, as Texas State Senator Rodney Ellis did in the *Austin American-Statesman*, "I don't think the governor's folks put a lot of time into looking at Texas and comparing it to the rest of the nation, because when you do, it's pretty embarrassing. If we're going to lead the nation in incarcerations and executions, then we should at least make sure the defendants get effective legal representation" (Herbert, 1999).

In all, 51% of the articles include some form of independent supportive comments, while only 21% include independent voices pointing out systematic failures. The difference between coverage of supportive and critical comments is statistically significant, $\chi^2 = 9.40, p < .01$. That there were nearly three times as many articles which included supportive independent statements of the death penalty system as condemning independent statements is again inconsistent with the

Government as Failure theory. Government as Failure would suggest an emphasis on the staggering failure of death penalty prosecutions to produce accurate outcomes. The Moderatism/Indexing and Man Bites Dog theories are supported, as in the case of the former, avoiding criticism is completely consistent with maintaining the status quo of the current execution system, while in the case of the latter, it is the very unusual nature of an exoneration that would make it interesting, therefore, the systematic implications would not likely be stressed.

Finally, the personal emphasis in these articles also reveals an interesting pattern. More than twice as many articles feature the inmate's experiences after being released (39%) than the inmate's experiences while being incarcerated (17%). This difference is also statistically significant, $\chi^2 = 7.97, p < .01$. Readers are treated to first trips to stores, events, family reunions, and a veritable time machine odyssey as former inmates view a home area they may not have seen for 10, 15, or more years. Interspersed are bits of trivia about the former death row residents. Readers learned that after he was exonerated from a death sentence in Texas, Ricardo Aldape Guerra returned to his native Mexico where he found work acting on a Mexican television soap opera (Johnson, 1997). Meanwhile, Georgia's Gary Nelson celebrate his exoneration "by drinking a lot of coffee" (Cummings, 1991).

Coverage that emphasizes the suffering endured in the day to day experiences of trying to survive waiting to be exterminated by the state because of an improper conviction are often terrifying. "I was more afraid of them [the prison guards],' Green says now, 'than the electric chair.' Retaliation came in the form of shakedowns and house tossings – guards dumping his personal belongings on the floor and tearing up his cell. Green said he saw two death row inmates hang themselves under the pressure. Others suffered strokes or were dragged to the clinic, beaten and bloodied by officers. 'You could hear fists hitting flesh,' he said" (Freedberg, 1999). But they are also rare compared to the lighter descriptions of life after death row.

Again this pattern is inconsistent with the Government as Failure theory, as the suffering of the former inmate inflicted by the government would presumably be emphasized if that premise was guiding coverage. Both Moderatism/Indexing and Man Bites Dog are supported. Moderatism/Indexing suggests attention to the inmate's experience after prison as a less system-challenging area to explore. Man Bites Dog suggests attention to the inmate's experience after prison as a window onto the experiences of a distinct kind of adventurer.

DISCUSSION

Together, the measures of coverage examined all offer support to the Moderatism/Indexing theory. In a situation in which the government has repeatedly committed egregious mistakes by threatening the lives of people who do not belong on death row, newspaper coverage offers only modest scrutiny and little objection.

The state of Florida, which leads the nation in having sent innocent people to death row, the state of Georgia, and the state of Texas release death row inmates to less fanfare than they execute them. They receive less condemnation in the southern press for their system than apologies and praise. They read less of the pain inflicted on the exonerated than of their

adventures in shopping malls. In sum, in response to the continuing failure of these states' justice systems, and in the face of their continued commitment to executing people based on their systems, the southern newspapers collectively shrug.

The contrast between the results here and the responses of some northern newspapers is notable. For example, the *Chicago Tribune* reacted to the continuing nightmare of exonerations from Illinois' death row by covering not only individual cases, but also questioning the functioning of the larger process. The paper's response was in part responsible for a reform effort to alter the process of death penalty sentencing in the state.

A newspaper responds

The ombudsman of the *Palm Beach Post*, C. B. Hanif, was challenged by a reader to explain why his paper's coverage of the exoneration of Juan Melendez was so limited (Hanif, 2002). In response to the release of Melendez, who served 17 years and became the 24th person released from Florida's death row, the *Post* ran a four paragraph story on page 7.

To address the complaint, Hanif decided to find out more about Melendez. Piecing information together from other newspapers, Hanif learned the basics of Melendez's case, including the fact that the state had withheld evidence at his trial, and that another man had confessed to the murder Melendez was convicted of. In a halfhearted nod toward the reader's concern, Hanif admitted "Though *Post* editors made sure readers got the Melendez story, some might say it was underplayed."

The bottom line for Hanif, however, was that there were bigger stories to cover that day. Hanif's list of stories more important than the state of Florida's efforts to execute an innocent man included the University of Miami's Rose Bowl victory and a snowstorm in the northeast which delayed hundreds of northbound travelers at Palm Beach International Airport.

Implications

What effect does this coverage have? Justice Thurgood Marshall (in *Furman v. Georgia*, 1972) argued public opinion polls on the death penalty were misleading because the average American was ignorant of the basic details of the death penalty debate. Scholars responding to Marshall's argument were indeed able to document the severe lack of information under-girding death penalty opinion (Ellsworth & Ross, 1976, 1983; Haas & Inciardi, 1988; Sarat & Vidmar, 1976; Thomas & Foster, 1976).

Toward that end, recent research has found that responses to the death penalty vary dramatically not just with information about exonerations, but with any additional information provided to the respondent or any additional consideration of the issue by the respondent (Murray, 2003; Niven, 2002).

Thus, the implications for covering the innocent on death row in the fashion found here are significant. By providing less information about the exonerated than the executed, by providing voice to defenders of the system, and by avoiding attention to the lives affected by

exonerations, southern newspapers are likely reducing both the quantity and quality of information people have about the death penalty, and in the process, helping to bolster support for death sentences in the south.

ENDNOTES

David Niven is an associate professor of political science at Florida Atlantic University. His most book is *The Politics of Injustice: The Kennedys, The Freedom Rides, and the Electoral Consequences of a Moral Compromise* (University of Tennessee Press, 2003). David Niven's research on the death penalty has appeared in *Social Science Quarterly*.

1. Those who have been exonerated are innocent as a legal matter, as their convictions have been overturned. While there is no procedure available to them by which they can prove factual innocence of the crime they were charged with, there is evidence, including DNA results in some cases, which suggests each of the 16 exonerated individuals studied here was factually innocent.
2. Sources for the list of those exonerated from death row include The Death Penalty Information Center, <http://www/deathpenaltyinfo.org>; and Radelet (2001). Including exonerations from earlier dates would result in a very limited set of available newspapers. Therefore, this study focused on exonerations from 1990-2003.
3. Newspapers included in the study: (a) Florida: Associated Press, Bradenton Herald, Florida Times-Union, Florida Today, Fort Pierce News, Fort Pierce Tribune, Jupiter Courier, The Ledger (Lakeland), Miami Herald, The News-Press (Fort Myers), Orlando Sentinel, Palm Beach Post, Pensacola News Journal, Press Journal, Sebastian Sun, St. Petersburg Times, Stuart News, Sun-Sentinel, Tallahassee Democrat, Tampa Tribune, (b) Georgia: Associated Press, Atlanta Journal and Constitution, Augusta Chronicle, Columbus Ledger-Enquirer, Macon Telegraph, Gainesville Times, and (c) Texas: Associated Press, Austin American-Statesman, Beaumont Enterprise, Corpus Christi Caller-Times, El Paso Times, Fort Worth Star-Telegram, Houston Chronicle, San Antonio Express-News.
4. Criticisms of the legal system by the former inmate and the inmate's advocates were ubiquitous, but are also presumably less credible to readers than assessments by independent voices.
5. Again, given their vested interest in the case, comments from the prosecutor are not recorded.
6. Page numbers of articles published outside of the front page section were multiplied by the section number. For example, articles appearing on the second page of the second section were recorded as appearing on page four. This technique has been used in journalism studies to better reflect the relative prominence of inside materials (Niven, 2003).

REFERENCES

- Allison, W. (2003, January 14). Death penalty study unlikely in Florida. *St. Petersburg Times*, 1A.
- Associated Press. (2002, October 15). *Death penalty opponents renew call moratorium call.*
Author.
- Bennett, W. L. (1990). Toward a theory of press-state relations in the United States. *Journal of Communication*, 40, 103-125.
- Borg, M. (1997). The southern subculture of punitiveness? Regional variation in support for capital punishment. *Journal of Research in Crime and Delinquency*, 34, 25-45.
- Croteau, D., & Hoynes, W. (1994). *By invitation only: How the media limit political debate.* Monroe, ME: Common Courage.
- Cummings, J. (1991, June 26). Murder Convict on Death Row Wins New Trial. *The Atlanta Journal and Constitution*, 1.
- Curriden, M. (1991, October 28). Man convicted of rape, killing could go free. *The Atlanta Journal and Constitution*, F1.
- Diamond, E. (1978). *Good news, bad news.* Cambridge, MA: MIT Press.
- Ellsworth, P., & Ross, L. (1976). Public opinion and judicial decision making: An example from research on capital punishment. In H. Bedau & C. Pierce (Eds.), *Capital punishment in the United States.* New York: AMS Press.
- Ellsworth, P., & Ross, L. (1983). Public opinion and capital punishment: A close examination of the views of abolitionists and retentionists. *Crime and Delinquency*, 29, 116-169.
- Fallows, J. (1997). *Breaking the news.* New York: Vintage.
- Freedberg, S. (1999, December 29). Freed from death row. *St. Petersburg Times*, 1A.
- Gans, H. (1980). *Deciding what's news.* New York: Vintage.
- Grey, D. (1968). *The Supreme Court and the news media.* Evanston, IL: Northwestern University Press.
- Haines, H. (1992). Flawed executions, the anti-death penalty movement, and the politics of capital punishment. *Social Problems*, 39, 125-138.
- Hallifax, J. (2003a, January 25). Man visits mall. *Associated Press.*

- Hallifax, J. (2003b, January 27). Holton says he's not bitter. *Associated Press*.
- Hanif, C. (2002, January 10). Few details on death row release. *Palm Beach Post*, 16A.
- Hass, K., & Inciardi, J. (1988). Lingering doubts about a popular punishment. In K. Haas & J. Inciardi (Eds.), *Challenging capital punishment*. Newbury Park, CA: Sage.
- Herbert, B. (1999, June 25). A state where justice is a joke. *Austin American-Statesman*, A15.
- Jacobson, G., & Dimock, M. (1994). Checking out: The effects of bank overdrafts on the 1992 house elections. *American Journal of Political Science*, 38, 601-624.
- Johnson, S. (1997, August 22). Man freed from death row killed in Mexico car wreck. *Houston Chronicle*, 1.
- Lawrence, R. (1996). Accidents, icons, and indexing: The dynamics of news coverage of police use of force. *Political Communication*, 13, 437-454.
- Lichter, S. R., & Noyes, R. (1995). Good intentions make bad news. Lanham, MD: Rowman and Littlefield.
- Lipschultz, J., & Hilt, M. (1999). Mass media and the death penalty: Social construction of three Nebraska executions. *Journal of Broadcasting and Electronic Media*, 43, 236-253.
- Littlepage, R. (2003, February 2). Every death row case in state deserves review. *Florida Times-Union*, G3.
- Moy, P., Scheufele, D., & Eveland, W. (2001). Support for the death penalty and rehabilitation: Question order or communication effect? *Journal of Applied Social Psychology*, 31, 2230-2255.
- Murray, G. (2003). Raising considerations: Public opinion and the fair application of the death penalty. *Social Science Quarterly*, 48, 753-770.
- Newland, C. (1964). Press coverage of the United States Supreme Court. *Western Political Quarterly*, 40, 115-123.
- Niven, D. (1999). Partisan bias in the media? A new test. *Social Science Quarterly*, 80, 847-858.
- Niven, D. (2001). Bias in the news: Partisanship and negativity in coverage of Presidents George Bush and Bill Clinton. *Harvard International Journal of Press/Politics*, 6, 31-46.
- Niven, D. (2002). Bolstering an illusory majority: The effects of the media's portrayal of death penalty support. *Social Science Quarterly*, 83, 671-689.

- Niven, D. (2003). Objective evidence on media bias: Coverage of congressional party switchers. *Journalism and Mass Communication Quarterly*, 80, 311-326.
- Radelet, M. (2001). *Recent developments in the death penalty in Florida*. Presented at the "Life Over Death" Conference, Florida Public Defender Associations.
- Roman, I. (2002, January 27). Freed man relishes his 2nd chance. *Orlando Sentinel*, A1.
- St. Petersburg Times. (2000, February 18). Bush blind to execution's dangers. *St. Petersburg Times*, 14A.
- Sarat, A., & Vidmar, N. (1976). Public opinion, the death penalty, and the Eighth Amendment: testing the Marshall Hypothesis. *Wisconsin Law Review*, 1, 171-206.
- Slotnick, E., & Segal, J. (1998). *Television news and the Supreme Court: All the news that's fit to air?* New York: Cambridge University Press.
- Sotirovic, M. (2001). Effects of media use on complexity and extremity of attitudes toward the death penalty and prisoners' rehabilitation. *Media Psychology*, 3, 1-24.
- Thomas, C., & Foster, S. (1976). A sociological perspective on public support for capital punishment. In H. Bedau and C. Pierce (Eds.), *Capital punishment in the United States*. New York: AMS Press.
- Whitehead, J. (1998). 'Good Ol' Boys' and the chair: Death penalty attitudes of policy makers in Tennessee. *Crime and Delinquency*, 44, 245-256.
- Zaller, J., & Chiu, D. (1996). Government's little helper: U.S. Press Coverage of foreign policy crises, 1945-1991. *Political Communication*, 13, 385-405.
- Zimring, F. (2003). *The contradictions of American capital punishment*. New York: Oxford University Press.

A Review of *Policing Contingencies*

By

Simon Cole
University of California, Irvine

Title: *Policing Contingencies*

Author: Peter K. Manning

Publisher: University of Chicago Press

Year: 2003

Policing Contingencies represents the latest product of Peter Manning's distinctive semiotic approach to the study of policing. Here the focus is on "dramaturgy"; Manning proposes that policing is public theater. "Rather than detailing the rather banal empirical questions that have driven criminological and criminal justice research in the last twenty years," Manning writes, "I seek to theorize policing as a dramatic performance" (p. ix). Manning does indeed make a good case for the importance of "the expressive and performative functions of police work" (p. 17).

Whereas in previous work (e.g., *Symbolic Communication*), Manning analyzed the semiotics of such everyday micro-phenomena as 911 calls, here his focus is broader, almost overreachingly so. In *Policing Contingencies*, Manning's subject is the public dramatization of police work. This is defined so broadly as to include in-car videotapes, international broadcasting of such "found" video as the film of the Rodney King beating, Internet chat discussions and cable TV talking heads commenting on the same, both television crime drama and "reality TV" shows such as *Cops*, and everything in between. Manning argues "that a fundamental change in policing in the last ten years and extending prior to that for more than twenty years is a result of the influence of the media" (p. 62). Media images are also "information," of course, and Manning seeks to relate them to the increasing importance of information technology (IT) in policing.

Manning highlights the growing importance of such (mostly) digital representations in shaping the citizenry's experience of policing. The public's perception of the police is now more likely to be shaped by media representations than by personal experience with the police, the experience of acquaintances, or neighborhood gossip: "it is through the 'mediation' of dramas of control, little and big, that we 'know about policing'" (p. 31). The police have responded accordingly, seeking to shape the media's representation of them.

Manning also draws attention to the nested and looping nature of such representations: the way in which they tend to produce long chains of representations of representations that eventually feed back on themselves. Unfortunately, Manning makes this point so emphatically that it makes for exhausting reading. Over and over and over again the reader is told that media representations of policing loop into one another, that the boundaries between reality and representation and news and drama are never clear, and that the authenticity of digital media representations is always questionable. Since Manning's subject is media representation of

policing (and, therefore, crime) in general – a vast subject matter -- he can never be much more specific than to state repeatedly, in different ways, that “Media images are constantly recycled, reproduced in a new context, and reexperienced” (p. 76).

This lack of specificity lends itself to broad and seemingly unnecessary generalizations. For instance, it is probably not necessary to state and give examples for the propositions that “Cartoons also portray police” (p. 90), or that police agencies have web sites that are “descriptive, mostly linked to the FBI, and self-promoting in their content” (p. 91), or that “Police training uses videotapes and audiotapes” (ibid.).

But, perhaps the more important criticism is that these points are hardly surprising. Under the barrage of examples of media loops and representations, one begins to wonder what their significance is. Given the nature of the society we live in and the technology we live with, could it be any other way? Is it surprising that cases like Rodney King and JonBenet Ramsey create media loops? Could police work be conveyed to us through any mechanisms other than media representations? This is not to be socially or technologically determinist: certainly there were some decision points along the way. The police, for example, could have remained media-shy, rather than creating new positions for media handlers. But, in our media-saturated society, it seems inevitable that we would view policing through media.

Manning also tends to attribute media as the cause of everything. Was media coverage of the Rodney King beating the cause of Daryl Gates’s resignation (101), or was it simply the scandal itself?

Again, the argument suffers from lack of specificity. Manning is so busy discussing the enormously broad phenomenon of media representations of policing, that he doesn’t stop to posit explanations for the developments that were probably *not* inevitable. The appearance of the early reality television show *Cops*, for example, is an interesting phenomenon in its own right, one for which a number of interesting explanations could be – and no doubt have been -- advanced. But Manning never gets to this level of specificity.

After all this rather general discussion of media, the reader may be surprised to suddenly find herself in an ethnographic description of the pseudonymous city of “Western” and the stalled introduction of community policing (CP) there. I must admit that the relationship between this material and the discussion of media that preceded it remains somewhat obscure. To be sure, local media played some role in influencing policing politics, but not in the grandiose, looping fashion emphasized earlier in the book. Manning offers some hint in the conclusion (called a “reprise”) when he calls CP “a crude and ill-formed technology” (p. 241). I suppose it is possible to treat CP as a “technology” to the extent that it is possible to treat pretty much anything as a technology. (But such practices tend to undermine any attempts to make coherent arguments about the impact of something called “technology.”) Perhaps Manning is using CP as an example of a technology that failed to transform police organization culture, whereas IT may succeed. But in general there seems to be a disconnect between these two sections of the book.

There are some minor errors in the book. The famous documentary filmmaker who made a film about policing in Kansas City spells his name Frederic Wiseman (p. 87). The former

football player who played “Hunter” was Fred Dryer (p. 93). And, surely Manning does not mean to call patrol activity a “fundament” (p. 215).

The discipline of policing studies is the richer for having Manning’s semiotic approach. His argument that policing may usefully be viewed as public theater is compelling. But one hopes that Manning’s promised follow-up book -- on the impact of increasing abstraction and rationalization on policing -- will be easier and more coherent reading than this one.

Review of *The Biology of Horror: Gothic Literature and Film*

By

**Caroline Joan (Kay) Picart
Florida State University**

Title: *The Biology of Horror: Gothic Literature and Film*

Author: Jack Morgan

Publisher: Southern Illinois University Press

Year: 2002

Jack Morgan's *The Biology of Horror* is noteworthy because of two things: 1. its elegant and engaging writing style; 2. its broad interdisciplinarity, moving across explorations of the multiple faces of the Gothic principally in literature, film, poetry, and contemporary popular culture. One of its central thrusts appears to be showing that horror and the Gothic are primevally and intrinsically tied up with the somatic or bodily—they are “bio-psychological.” “The horror imagination is somatic; its fears, for all their ‘mental’ manifestations, are deeply situated in the ungraspable bio-logic of hormone chemistry and nerve synapses and in the reciprocity between those and the ‘exterior’ organic environment of which humans are protein variations” (p. 7). Because of the tantalizing title, “The Biology of Horror,” and the cleverly punned Chapter One heading, “Mortal Coils: The Comic-Horror Double Helix,” the reader with a Biology background might be lulled into thinking that what Morgan attempts is a grand synthesis between the language games of a formally scientific vocabulary with a critical cultural and literary perspective. Morgan, not being a biologist, but an English film and literary critic, stays squarely within his areas of expertise, and examines the somatic metaphors (as opposed to scientific corporeality) of the gothic and horror in relation to theoretical frames as diverse as Romantic aesthetics, phenomenology, feminist philosophy and popular cultural depictions of crime, such as those of serial killers.

One of the strengths, in my view, of Morgan's book is its insistence that the nature of the Gothic cannot be restrained by specialized, academic definitions. As he writes: “I think a ‘loose’ definition of the literary gothic in fact comes closer to the mark than does a ‘purist’ historical one” (p. 24). Though he does distinguish between the more cerebral ghost story and the more visceral serial killer/slasher narratives, as well as hybrid versions of the two (such as the *X-Files*), Morgan moves easily across less overtly canonically “gothic” texts, such as the notorious anti-Semitic “documentary” film, *The Eternal Jew*, to the more contemporary fiction film *Blair Witch Project* (which appropriates the “documentary” look), to Truman Capote's *In Cold Blood*, a novel that recounts the events leading up to the slaughter of the Clutter family by two serial killers (based on real life events). The power of Morgan's analysis thus lies not only in his almost encyclopedic display of the ubiquity of the gothic, but also in its porousness—its mirror-imaging kinship to comedy, its movement across rhetorical registers of fact and fiction, its emotive and biologically based stimulation of “lower” nerve centers, in which registers of fear and thrill-seeking pleasure lie in close proximity. Nevertheless, Morgan is quick to preserve a central insight of Kant's characterization of the “sublime” experience (of which the Gothic, and

the horrifying are iterations); the “captivation” or “fascination” that artistic representations of the Gothic enable, while they seem so somatically rooted, is really grounded in the detachment that artistic evocation allows. The peculiar pleasures of watching Hannibal Lecter wreak his peculiar brand of “justice” against the “rude,” would not be so enjoyable if one were actually watching a real serial killer cannibalize or torture his victims, no matter how aristocratically, eruditely, or “artistically” the cannibalism or torture were effected. The implications of this statement extend far beyond the realm of entertainment. Artistic mediation, or Kenneth Burke’s concept of “symbolic enactment” are the means through which we may probe into and come to terms with, to some extent, our fears and aversions, which in turn cement the moral and spiritual foundations of society. As Morgan puts it: “The death of Christ enacted in the Catholic mass is not literal carnage; it is a framed ritual pageant for the purpose of contemplation and mediation” (p. 17).

Morgan is smart enough to lay all of his cards on the table in the first chapter, and immediately disclaims any pretensions to a specialized literary and critical monograph. Instead, he claims to “[cast] a wide speculative net” (p. 11) around persistent thematic elements that embody the Gothic, such as malevolent locales, bodily invasions, pestilence, lethargy, infertility, the carnivalesque, among others. And indeed, it is a pleasure to behold the wide assortment of Gothically inspired treasures Morgan’s net dredges from the subterranean depths of literature, poetry, film and popular culture. If Arthur Koestler’s characterization of “bisociation” (the juxtaposition of two frames that are usually held apart, producing either laughter, scientific synthesis or artistic confrontation) as the touchstone of creative activity is accurate, then the book virtually teems in myriad creative forms—moving from a parallelism drawn between the horrifyingly callous sacrifice of a concubine in the Bible’s Book of Judges to a similar situation of endangerment in George Romero’s film, *Night of the Living Dead*, to the haunted spaces that form the backdrop to Charlotte Perkins Gillman’s “The Yellow Wallpaper” and Roman Polanski’s *The Tenant*, among others.

Morgan warns as early as Chapter One that all exploration of the possible “therapeutic” function of horror and the gothic will be available only in his last chapter, and he goes as far as advising the reader who wants this up front should probably skip to the end first and then return to the middle for some browsing. The problem is that if Chapter Ten, “The Soul at Zero: Dark Epiphanies” is the climax of the book, then it falls short of its promise. Compared to the preceding chapters, and in particular to Chapter One, it is thin, both in terms of quantity of pages, and the content of its arguments. It is clear that Morgan seeks to pose an explanation for the ubiquity and fascination that the Gothic/horror continue to exert, as social forces, and as forces that have potential moral and criminological ramifications. Yet his explanation is by now practically a standard ideological explanation. Citing principally Stephen King’s *Danse Macabre* and Julia Kristeva’s *Powers of Horror*, Morgan returns to the well known thesis that horror/the Gothic, despite their indulgence in shattering taboos, are ultimately conservative in their thrusts; these genres eventually violently punish transgressions, thus enabling the return to “normalcy”—a reversion Morgan chooses to call “regenerative” rather than “hegemonic” (p. 224). What is unique to Morgan is his persistent attempt to forge a vocabulary that maintains the somaticism of his earlier insights; thus, he substitutes a homeopathic metaphor for standard metaphors of catharsis or purgation. Consequently, Morgan envisions this therapeutic process as (p. 227):

A small quantity of morbid material—dilute smallpox vaccine for instance—provokes the body’s healthy energies to muster themselves, and thereby tones them. Small doses of arsenic and similar substances, according to homeopathic theory, can have the effect of invigorating the body’s immune responses, awakening listless organic functions. Analogously, horror literature involves ‘not resistance but an unveiling of the abject’ (Kristeva 1982, p. 208).

What results is something similar to Friedrich Nietzsche’s vitalistic theory of regenerative forces in the *Birth of Tragedy*, involving an interplay of creative (Apollonian) and destructive (Dionysian) principles—principles that birth the realms of great art, as well as life and nature. The Gothic’s and horror’s masked therapeutic functions are thus recuperative: “Horror texts, filmic or literary, would thus seem to present these benign possibilities, this arrival at an awakened sense of fertility and vitality” (p. 228). If Morgan is right, then there really is little difference between the horrifying and the comedic because they are ultimately both concerned with the return of Health, Normalcy, and Social Cohesion. And given how somatically wired horror and the Gothic are, that impulse to recuperation, even when it is masked, it is as much socially ingrained as it is biologically and artistically hardwired. The thesis is bold; it’s a question of whether the book really provides the empirical evidence for this contention. But then again, other than the Lombrosian alternative, which is now for the most part criminologically under siege, what other rhetorical alternatives are there? For raising this, and other such questions, the book is a valuable resource.