



## Conflict of Interest Disclosure Statement

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As part of its commitment to promoting objectivity in research and in compliance with federal regulations on conflict of interest, the University at Albany has adopted the Research Foundation Conflict of Interest Policy pursuant to 95-5 Resolution and the Procedure of Investigating Conflict of Interest Policy.

Research Foundation Board Members, Officers, Employees and Investigators, must incorporate, where necessary and possible, the following rules into their services on behalf of the Research Foundation. Research Foundation Officers and Employees must adhere to the standards outlined in the New York State Public Officers Law Section [74](#). This policy incorporates the key standards outlined in Section [74](#).

University Investigators required to file include those in the roles of Principal Investigator, Co-Principal Investigator, project director, and any individual who is in a significant decision-making role or who has (or will have) signature authority for a Research Foundation account. To comply with this Policy, each investigator must submit a Conflict of Interest Disclosure Statement annually.

- submission of an application to an external sponsor, or
- acceptance of any award where there was no prior disclosure statement

In addition, a revised Disclosure Statement must be filed whenever an investigator's significant financial interests and obligations change materially.

The completed Disclosure of Significant Financial Interests and Obligations should be placed in a sealed envelope, marked "Confidential," and forwarded to the COI Administrator, **Office for Regulatory and Research Compliance (ORRC), Management Services Center (MSC) 100**. The completed form may also be submitted via e-mail to [FCOI@albany.edu](mailto:FCOI@albany.edu).

Please note: the [Office for Regulatory and Research Compliance](#) will not submit grant applications on your behalf if you do not have a current annual Disclosure form on file with ORRC. Compliance with this requirement is also a prerequisite to the acceptance by the Research Foundation of any research grant or contract.

Questions concerning this Policy or the annual Disclosure should be addressed to

**Office for Regulatory and Research Compliance**

University at Albany  
1400 Washington Ave, MSC 100B  
Albany NY 12222  
[FCOI@albany.edu](mailto:FCOI@albany.edu)

**Phone:** 518-437-3850

**Adopted:** August 24, 2012

**Provider:** Office for Regulatory and Research Compliance



# Conflict of Interest Disclosure Statement

Investigator Name:  
 Faculty  RF  Student

Department/School:

Campus address:

Title:  
Phone#

Email address:

**Use additional sheets if necessary. Complete ALL that apply or check the box if Not Applicable**

Check if **Not Applicable**

1. If applicable, list the names of all non-publicly traded entities other than the Research Foundation or the State of New York from which you or a Related Party receive remuneration. **DO NOT LIST THE AMOUNT. Report only those entities that reasonably appear to be related to your institutional responsibilities and from which remunerations received over the preceding 12 months that exceed \$10,000.**

Self/Spouse/ Dependent Child	Source	Nature

Check if **Not Applicable**

2. If applicable, list the names of all publicly traded entities other than the Research Foundation or the State of New York from which you or a Related Party receive remuneration. **DO NOT LIST THE AMOUNT. Report only those entities that reasonably appear to be related to your institutional responsibilities and from which remunerations received over the preceding 12 months that exceed \$10,000.**

Self/Spouse/ Dependent Child	Source	Nature

Check if **Not Applicable**

3. If applicable, list name and describe the nature and source of any current employment or occupation of spouse and dependent children. **Report only that employment that would reasonably appear to be affected by the research or educational activities funded or proposed for funding by the sponsor and that produces annual compensation greater than \$10,000.**

Self/Spouse/ Dependent Child	Organization	Position Description

Check if **Not Applicable**

4. If applicable, list and describe intellectual property rights or interests (e.g., patents, copyrights) held by you or a Related Party, royalties from such rights, and/or agreements to share in royalties related to such rights. Do not include intellectual property rights assigned to SUNY or the Research Foundation, or agreements to share in royalties related to such rights.

Self/Spouse/ Dependent Child	Source	Nature	Income Y/N

**I have read and understand the University at Albany Conflict of Interest Policy:  
I declare that the above information is true and correct.**

Signature: \_\_\_\_\_

Date:

Print Name:

\*Electronic signatures acceptable as long as the method clearly articulates the signature.

**Scan & Email: [FCOI@albany.edu](mailto:FCOI@albany.edu)**