

Table 3.148

Financial institution fraud and failure matters handled by the U.S. Department of Justice

United States, 1986-2003

	Cases pending		Convictions ^a		Indictments	Dollar amounts (in millions)			Failed financial institutions under investigation at end of fiscal year
	Total	Major cases ^b	Total	Major cases ^b		Recovered	Restitution	Fine	
1986	7,286	2,948	1,957	533	X	X	X	X	202
1987	7,622	3,393	2,309	740	X	X	X	X	282
1988	7,385	3,446	2,197	851	X	X	X	X	357
1989	7,819	3,605	2,174	791	X	X	X	X	404
1990	7,613	3,672	2,461	1,043	X	X	X	X	530
1991	8,678	4,336	2,559	986	2,784	\$59.4	\$490.7	\$7.8	670
1992	9,772	5,071	2,751	1,136	3,064	67.1	402.7	14.6	740
1993	10,088	5,405	3,233	1,407	3,446	89.6	1,333.5	10.5	651
1994	9,286	4,926	2,926	1,348	2,867	240.6	865.0	10.4	531
1995	8,641	4,413	2,616	1,298	2,880	185.1	1,139.9	16.8	395
1996	8,574	4,070	2,510	1,255	2,630	67.2	359.1	442.7	247
1997	8,512	3,859	2,551	1,342	2,437	41.2	537.1	25.7	200
1998	8,577	3,709	2,613	1,207	2,691	62.4	491.0	5.5	142
1999	8,799	3,855	2,878	1,488	2,869	114.5	834.3	77.8	129
2000	8,638	4,081	2,783	1,394	2,877	48.5	589.0	8.0	99
2001	8,184	4,383	2,702	1,363	2,738	45.8	754.2	15.2	97
2002	7,305	4,287	2,397	1,328	2,471	28.2	1,983.8	7.6	71
2003	5,869	4,027	2,053	1,286	1,918	15.1	3,128.0	35.6	67

Note: Financial institutions include banks, savings and loans, and credit unions. Prior to 1992, data for cases pending and convictions are reported on a fiscal year basis, data for dollar losses are reported on a calendar year basis, and data for failed financial institutions under investigation are as of February of each year. Beginning in 1992, all data are reported for the Federal fiscal year. Some data have been revised by the Source and may differ from previous editions of SOURCEBOOK.

Source: U.S. Department of Justice, Criminal Division, *Attacking Financial Institution Fraud, Annual Report, Fiscal Year 1992* (Washington, DC: U.S. Department of Justice, 1993), pp. 131, 133; and U.S. Department of Justice, Federal Bureau of Investigation, *Financial Institution Fraud and Failure Report, Fiscal Years 2000 & 2001* [Online], pp. 6, 9, 15, 22, 26. Available: <http://www.fbi.gov/publications/financial/2000-01fif.pdf> [Jan. 9, 2003]; *2003* [Online], pp. 5, 8, 12, 17, 20. Available: <http://www.fbi.gov/publications/financial/2003fif/fif03.pdf> [Jan. 21, 2004]. Table adapted by SOURCEBOOK staff.

^aIncludes pre-trial diversions.

^bA major case is defined as a case involving a failed financial institution, or where the amount of reported loss or exposure is \$100,000 or more.