PROCESS TO SUBMIT A STUDENT APPLICATION TO AN EXTERNAL SPONSOR

The Research Foundation for the State University of New York has been designated to provide administrative support for externally sponsored research for the State University of New York system.

When submitting an application, The Research Foundation of SUNY will be the applicant and subsequently, The Research Foundation accepts awards on behalf of the University and the Principal Investigator (PI).

The Research Foundation of SUNY at the University at Albany is located within the Division for Research, Office for Sponsored Programs.

STUDENTS SUBMITTING TO EXTERNAL SPONSORS should contact their mentor/advisor as soon as they know they wish to submit a proposal.

IF THE SPONSOR ALLOWS THE STUDENT TO REGISTER AND SUBMIT THEMSELVES, THE STUDENT MUST STILL INFORM THEIR MENTOR AND THE OFFICE FOR SPONSORED PROGRAMS OF THEIR INTENT PRIOR TO SUBMISSION. IF AWARDED, THE MENTOR IS RESPONSIBLE FOR HELPING THE STUDENT TO MANAGE THE GRANT.

UAlbany uses Coeus for proposal preparation and submission. Some sponsors require mentors/advisors to submit proposals on their student’s behalf. The student must determine in consultation with their mentor who is responsible for submission. If the mentor is responsible, he/she will be listed as the Principal Investigator and the student will be the Co-Investigator on the grant. Please remember that the mentor will be submitting the proposal on your behalf and therefore it is their responsibility to ensure compliance with all aspects of UAlbany’s submission process. Coeus is a web-based application and a user-friendly tool that will be used for preparing, routing and submitting ALL research proposals and contracts.

Attendance at a CoeusLite Navigation session is mandatory for all faculty since no grant or contract proposal, regardless of sponsor or format, can be submitted without an investigator having attended Coeus Navigation and most faculty have attended training. Students should contact their Research Administrator in the Office for Sponsored Programs to determine if they should also be trained.
July 30, 2013

For your convenience, we have created a list of important steps to follow when completing an application package.

Each sponsor has different requirements for preparation and submission of an application, but there are some general steps that most sponsors require:

Those steps are as follows:

I. Identify Funding Source (Click here for grant funding agencies)
   1. Obtain Program Announcement.
   2. Locate Program Guidelines; these guidelines are of critical importance.
      They will provide you with the following information:
      a) Submission deadline
      b) Forms and content specifications
      c) Selection criteria
      d) Method of submission (electronic or paper)

II. Contact your MENTOR/ADVISOR
   1. Review program guidelines with your Mentor
   2. Develop a timeline to complete application
   3. Discuss budgetary needs
   4. Contact your research administrator to discuss the necessary process for the submission of the grant including ensuring that you are correctly registered with the sponsor if required
      Example: NSF requires that the student be registered with NSF but be listed as the Co-Investigator and not the PI

III. Evaluate Research Components
   1. If your research includes the use of Human and/or Animal Subjects, you must contact the Office for Regulatory Research Compliance
   2. If your research includes the use of Radioactive Materials, Hazardous Substances or Infectious Agents, you must contact the Office of Environmental Health and Safety
   3. Check sponsor guidelines to ensure that all necessary actions are taken.
      *NOTE* Some sponsors require IRB approval prior to proposal submission, while others allow applications that are pending approval. Review the guidelines carefully!
IV. Budget Development

1. Develop an “Internal” or “Working” Budget using an Excel spreadsheet;
   a) Make sure all costs are appropriate to the program
   b) Check the appropriate Facilities and Administrative (F&A) Costs and Fringe Benefits
   c) Include any cost-sharing or matching funds required by sponsor
      *NOTE* Obtain necessary approvals if cost-sharing or matching is required.
   d) Issues concerning consultants - Contact Sponsored Funds Personnel
   e) Send a copy of your budget to your Research Administrator for review
   f) ALL budgets must be entered into Coeus as part of the submission package
   g) For Grants.gov proposals, the agency forms will be populated from the information entered into the Coeus budget screen

V. Collaborating with another Institution (If applicable)

The following materials must be obtained from the collaborating institution:

1. Letter of Commitment
2. Scope of Work
3. Budget
4. F&A Rate Agreement

VI. Routing and Approval

Proposals need to be completely finished and submitted for approval to the Department/School/College at least 5 business days prior to the submission deadline. This is to allow enough time to successfully complete the electronic routing process and to accommodate any revisions that may be necessary.

Once a Principal Investigator submits a completed proposal for approval, the electronic routing process begins. At each step along the routing map, the individuals designated a signatories will have an opportunity to review and approve (or reject) the proposal. The Office for Sponsored Programs is the final stop in the process. Upon final approval, the proposal will be submitted to the Sponsor by the Investigator’s Research Administrator.