

Selectivity of Black Death mortality with respect to preexisting health

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Was the mortality associated with the deadliest known epidemic in human history, the Black Death of 1347–1351, selective with respect to preexisting health conditions (“frailty”)? Many researchers have assumed that the Black Death was so virulent, and the European population so immunologically naïve, that the epidemic killed indiscriminately, irrespective of age, sex, or frailty. If this were true, Black Death cemeteries would provide unbiased cross-sections of demographic and epidemiological conditions in 14th-century Europe. Using skeletal remains from medieval England and Denmark, new methods of paleodemographic age estimation, and a recent multistate model of selective mortality, we test the assumption that the mid-14th-century Black Death killed indiscriminately. Skeletons from the East Smithfield Black Death cemetery in London are compared with normal, nonepidemic cemetery samples from two medieval Danish towns (Viborg and Odense). The results suggest that the Black Death did not kill indiscriminately—that it was, in fact, selective with respect to frailty, although probably not as strongly selective as normal mortality.

frailty | paleodemography | paleoepidemiology | selective mortality

Paleodemography and paleoepidemiology, which use skeletons from archaeological sites to study the population and health characteristics of past human communities, have the potential to answer important questions about past population dynamics (1–3). Both fields, however, suffer from fundamental methodological and interpretive problems that have only recently received serious attention (4–9). One basic problem derives from the fact that paleodemographers, paleoepidemiologists, and other skeletal biologists observe only dead individuals from the populations of interest, not living ones (4, 9). This would pose no difficulty if the dead were an unbiased sample of the living, i.e., if mortality were not selective for the sorts of health characteristics we are trying to study. But in fact people die for a reason, and those dying at any given age are demonstrably not a random sample of the living population at risk of death at that age (10, 11). Although all people die eventually, their health characteristics just before the time of death are unlikely to be representative even of their own physical condition over most of their lifetimes. The dying, on average, are less healthy than the rest of the living.

This problem is now widely acknowledged (12–15). But several researchers hope that it can be circumvented by examining so-called “catastrophic” skeletal samples. Such samples are produced at more or less one point in time by massive, population-wide die-offs, caused, for example, by natural disasters, famines, epidemics, or war (15, 16). Catastrophic mortality, in this view, is far less selective for health characteristics, age, or sex than is the noncatastrophic, “attritional” mortality that creates most skeletal samples, and catastrophic samples can provide an unbiased picture of the demographic and epidemiological characteristics of past populations. Introduced diseases of high virulence are often pointed to as potential causes of catastrophic mortality, and few diseases have received as much attention from this perspective as the first known outbreak of the Black Death in Europe during the mid-14th century (16–18).

The Black Death of 1347–1351 was one of the most devastating epidemics in human history; it killed an estimated 30–50% of the European populations affected and initiated or exacerbated important demographic, economic, and social changes (19–22). During the epidemic, mass burial grounds were established throughout Europe to accommodate the enormous number of victims, who rapidly overwhelmed local parish cemeteries. One such special-purpose burial ground, the East Smithfield cemetery in London, was established in late 1348 or early 1349 for the express purpose of burying Black Death victims, and there is no evidence that it was used after the epidemic ended in 1350 (23, 24). East Smithfield is one of very few excavated mass burial grounds in Europe that has clear documentary and archaeological evidence linking it to the mid-14th century Black Death (24). Given the extent to which Black Death mortality overwhelmed normal mortality (21), most if not all of the individuals buried in East Smithfield must have died from the disease. The skeletons excavated at the East Smithfield site thus provide an excellent opportunity to explore questions about the patterns of Black Death mortality.

We examined a total of 490 skeletons from East Smithfield to test whether mortality associated with the 1349–1350 outbreak of the Black Death in London was selective with respect to preexisting health conditions—or what demographers often call “frailty.” Frailty was originally defined as an individual’s age-adjusted relative risk of death compared with the rest of his or her birth cohort (25). In this study, frailty refers specifically to an individual’s age-adjusted relative risk of death before the Black Death (i.e., during normal, nonepidemic times) compared with the rest of the living population of the period. Frailty will be indicated by the presence of at least one skeletal lesion (porotic hyperostosis, cribra orbitalia, linear enamel hypoplasia, periosteal lesions of the tibia, or short femur length) known from prior research to be associated with earlier episodes of infection, under-nutrition, or other forms of physiological stress (10, 12, 14). The purpose here was to test whether the Black Death killed people indiscriminately—i.e., regardless of frailty as indicated by the presence of skeletal lesions—or whether Black Death mortality behaved like normal, nonepidemic mortality in which individuals with the highest frailty were at the highest risk of death.

To answer this question, we need to compare the East Smithfield skeletal sample to a nonepidemic, attritional control sample. The control sample should come from an urban community as similar as possible to London *ca.* 1345, i.e., just before the epidemic. Ideally, the only difference between the East Smithfield cemetery and the control cemetery would be that the Black Death affected the former but not the latter. The further

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Table 1. Maximum likelihood estimates of k_2 with nominal SE and likelihood ratio tests of $H_0: k_2 = 1$

Lesion type	East Smithfield ($n = 490$)		Denmark ($n = 291$)	
	\hat{k}_2 (SE)	$-2LLR$	\hat{k}_2 (SE)	$-2LLR$
Periosteal lesions of the tibia	1.5 (0.3)	27.80	5.3 (2.0)	61.74
Porotic hyperostosis	1.8 (0.3)	8.84	2.3 (0.7)	12.54
Cribriform orbitalia	1.7 (0.4)	2.30	3.6 (2.1)	53.57
Mandibular canine LEH	2.9 (1.1)	13.16	7.6 (14.4)	11.96
Maxillary canine LEH	2.0 (0.5)	32.29	2.7 (3.0)	16.74
Femur length	1.2 (0.2)	0.12	2.7 (0.7)	0.09

LLR, ln(likelihood ratio), full model against reduced model in which $k_2 = 1$ (df = 1); LEH, linear enamel hypoplasia.

of statistical significance (e.g., ref. 64). (We also note that we perform multiple tests, a process that further distorts the notional significance level of any one test.) What is important, we would argue, is whether the parameter estimates show a consistent pattern that is interpretable in terms of our model of selective mortality.

We suggest that Table 1 does show such a pattern. The presence of every lesion type considered in this study is associated with an increased risk of death ($\hat{k}_2 > 1$) in both cemeteries, and most of the estimates are reasonably large (>2). Without exception, the estimates of k_2 are higher in Denmark than in East Smithfield. Together, these results suggest that mortality was selective for frailty during the Black Death in London, but probably not as strongly selective as normal, attritional mortality in medieval Denmark. As an example, Fig. 2 compares the elevation in risk of death associated with periosteal lesions of the tibia in East Smithfield and Denmark based on the respective estimates of k_2 . Insofar as tibial lesions are a proxy for frailty, the Danish curves suggest much greater selectivity than the East Smithfield curves, although selectivity does appear to be operating in the Black Death cemetery as well.

According to the $-2LLR$ criterion, one skeletal feature, femur length, did not seem to improve model fit in either sample, compared with a nested model without selectivity. In other words, the evidence for selective mortality, whether epidemic or attritional, acting on femur length is weak in this analysis. Femur length was used here as an indicator of overall stature; short stature may imply high frailty if, for example, it results from repeated rounds of interrupted growth, but it may also be partly genetic and more or less unassociated with frailty. It could also

be that any association between growth interruption and elevated risk of death attenuates over time if the individual survives and resumes normal growth (although the elevated risk associated with linear enamel hypoplasias would argue against this). At any rate, femur length as measured here does not seem to be a good proxy for frailty under either normal or catastrophic mortality.

Discussion

All of the lesions included in this study, with the exception of short femurs, were strongly associated with excess mortality in the normal-mortality Danish cemeteries. This finding for the Danish samples suggests that these particular skeletal lesions really are informative about frailty; i.e., individuals in Denmark with the lesions had higher frailty than their peers without them. All of the lesions except femur length were also associated with substantial excess mortality in East Smithfield, except perhaps for cribriform orbitalia, which does poorly according to the $-2LLR$ criterion. This finding suggests that the Black Death probably was selective with respect to at least some of the skeletal indicators of frailty, as individuals who had those lesions before the Black Death appear to have been more likely to die during the epidemic than individuals without them.

The level of excess mortality for each lesion was, without exception, higher in Denmark than in East Smithfield, suggesting that the Black Death was not as strongly selective as normal mortality. For example, the k_2 estimate for proliferative tibial lesions was >5 for the Danish sample, but only 1.5 for the East Smithfield sample. This finding suggests that in Denmark, during times of normal mortality, individuals with periosteal lesions of

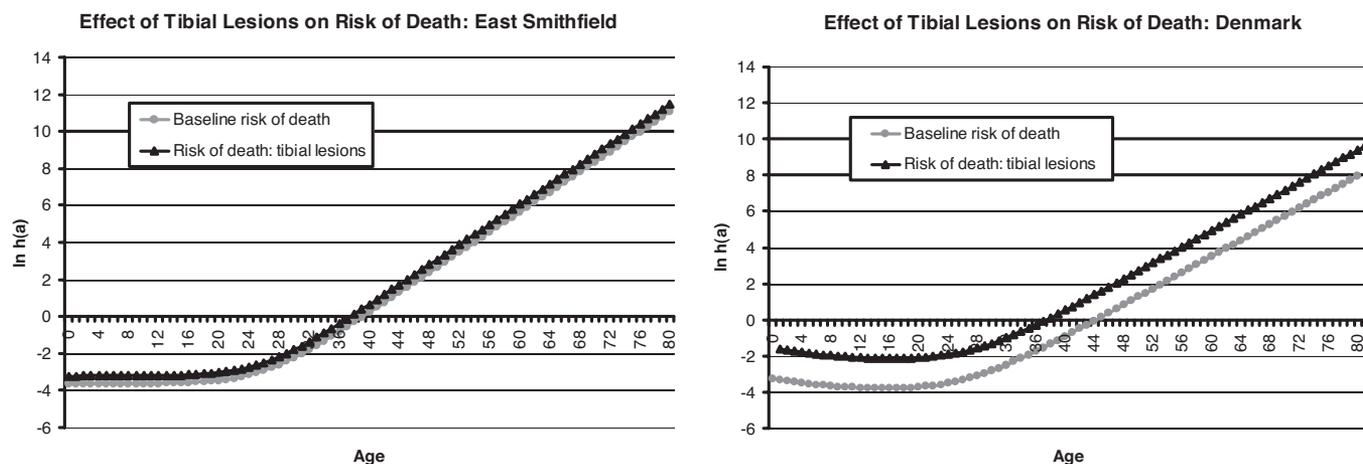


Fig. 2. The estimated effect of periosteal lesions of the tibia on the baseline risk of death within the East Smithfield Black Death cemetery (Left) and the two Danish attritional mortality cemeteries (Right).

with respect to frailty between the two cemeteries. Therefore, the possibility that East Smithfield does not purely reflect Black Death mortality and that Denmark does not purely reflect normal mortality only strengthens the conclusions made here about differences in selective mortality.

For this study, it was also assumed that the Danish and East Smithfield populations were stable, i.e., closed to migration, with constant age-specific fertility and mortality rates and stable age distributions. This assumption is generally reasonable in paleodemographic studies because the mathematical property of “weak ergodicity” ensures that most populations closely approximate a stable age distribution even in the face of migration and changing vital rates (2, 3). However, because the catastrophic mortality levels of the Black Death would perturb a population’s age distribution away from its stable form, the assumption of stability would be problematic in the East Smithfield case unless the Black Death ran its course very rapidly. If the epidemic lasted a long time in any given locale, mortality would be acting on a very different age distribution at the end of the epidemic than it was at the initial outbreak of the disease. Recent estimates of the time course of the Black Death show that it swept through specific localities in a matter of a few weeks (21), suggesting that the age distribution of the local population early in the epidemic was unlikely to have differed much from that in its later stages.

Skeletal Lesions. The following bony lesions or stress markers were scored as proxy measures of frailty for all of the skeletons included in the East Smithfield and Danish samples: porotic hyperostosis, cribra orbitalia, linear enamel hypoplasia, periosteal lesions of the tibia, and short femur length (indicative of short stature and possible juvenile growth faltering). All lesions were scored by the first author (S.N.D.) by using standard protocols (56), thus eliminating interobserver error and ensuring comparability.

Porotic hyperostosis, cribra orbitalia, linear enamel hypoplasia, and short femur length in adulthood are generally attributed to episodes of disease or malnutrition during childhood (1). Periosteal lesions can occur because of infection or trauma throughout life (1, 14). Periosteal lesions were scored on the tibia, a robust bone that is often well preserved in our samples. Individuals of all ages were scored for the presence of porotic hyperostosis, cribra orbitalia, linear enamel hypoplasia, and periosteal lesions; only adults were included in the analysis of femur length. Femur lengths ≤ -1 SD of the mean for the corresponding sex were classified as short; those individuals with femur lengths > -1 SD of the mean for their sex were considered to be normal with respect to stature.

Age-at-Death Estimation. Ages at death for juveniles were estimated from dental development/eruption and epiphyseal union by using established methods (56–59). Traditional methods of adult age-at-death estimation, unfortunately, have been shown to be biased toward the age composition of whatever modern known-age reference sample is used as a standard (60). Recently, researchers have developed statistical methods to correct this bias (5–8); for example, the so-called Rostock protocol uses maximum likelihood estimation and Bayesian inversion to produce unbiased age estimates (7, 8). Previous studies of the East Smithfield cemetery have estimated age-at-death by using traditional methods (16, 17) or Bayesian inversion assuming (as opposed to estimating) a model prior distribution (18). This study is the first application to our knowledge of the complete Rostock protocol to the East Smithfield adult skeletons. The same protocol was also applied to adult skeletons from the two Danish cemeteries.

This study uses empirical weight functions (age-specific probabilities of observing particular skeletal age indicators in a known age-at-death refer-

ence sample) estimated by Boldsen and Milner (61) using the Terry reference collection. All adults in the Danish and East Smithfield samples were scored for the 19 skeletal indicators of age examined by Boldsen and Milner (61) by using their methods; these indicators included cranial suture closure and various features of the pubic symphysis and iliac auricular surface. The Gompertz-Makeham mortality function was used as a parametric model for the prior adult age-at-death distribution (62), and its parameters were estimated by maximum likelihood for each of the two sets of skeletons. Bayesian inversion was then performed using the parameter estimates to provide point estimates of individual ages at death.

The specific version of the Rostock protocol used in this study is the multivariate latent-trait method (40). In this method, multiple age indicators are used to estimate age at death, but the indicators are not assumed to be independent of each other. Instead the method assumes that all age indicators are correlated with the same latent “biological age” trait z . Although the value of z for each individual is unmeasurable, the entire distribution of z among individuals (assumed to follow a gamma density function) and the correlation of z with each age indicator can be estimated and converted into a posterior estimate of age at death for each skeleton using Bayes’s theorem (40). This method has several virtues: It uses multivariate data, it does not assume that age indicators are independent, it allows skeletons with some missing age-indicator data to be included, and it has a reasonable number of parameters to estimate.

Model Estimation. All models were estimated by maximum likelihood procedures by using Holman’s special-purpose program *mle* (63). The global peak of the likelihood surface was found by simulated annealing using multiple start values to avoid local maxima. The program *mle* routinely gives standard errors for all parameter estimates. For the parameter values in Table 1, however, these standard errors are almost certainly underestimates because they do not incorporate the (possibly large) errors involved in estimating the ages of individual skeletons. By the same logic, the likelihood value associated with the peak of the surface is likely to be misestimated by an unknown amount. For this reason, probability (“significance”) levels are not reported in Table 1. We feel compelled to point out that we are not adopting this approach because we failed to get any significant results; indeed, most of our effects and differences appeared to be significant by using conventional cut-off points and taking our standard errors and likelihood ratios at face value. We just do not believe that the purported *P* values (or the conventional cut-off points) are meaningful. In our judgment, the standard errors and likelihood ratios in Table 1 should be used solely as loose, informal guides to model assessment.

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