

Mutation Operators for Testing Android Apps

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Abstract

Context: Due to the widespread use of Android devices, Android applications (*apps*) have more releases, purchases, and downloads than apps for any other mobile devices. The sheer volume of code in these apps creates significant concerns about the quality of the software. However, testing Android apps is different from testing traditional Java programs due to the unique program structure and new features of apps. Simple testing coverage criteria such as statement coverage are insufficient to assure high quality of Android apps. While researchers show significant interest in finding better Android testing approaches, there is still a lack of effective and usable techniques to evaluate their proposed test selection strategies, and to ensure a reasonable number of effective tests.

Objective: As mutation analysis has been found to be an effective way to design tests in other software domains, we hypothesize that it is also a viable solution for Android apps. **Method:** This paper proposes an innovative mutation analysis approach that is specific for Android apps. We define mutation operators specific to the characteristics of Android apps, such as the extensive use of XML files to specify layout and behavior, the inherent event-driven nature, and the unique Activity lifecycle structure. We also report on an empirical study to evaluate these mutation operators. **Results:** We have built a tool that uses the novel Android mutation operators to mutate the source code of Android apps, then generates mutants that can be installed and run on Android devices. We evaluated the effectiveness of Android mutation testing through an empirical study on real-world apps. This

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paper introduces several novel mutation operators based on a fault study of Android apps, presents a significant empirical study with real-world apps, and provides conclusions based on an analysis of the results. **Conclusion:** The results show that the novel Android mutation operators provide comprehensive testing for Android apps. Additionally, as applying mutation testing to Android apps is still at a preliminary stage, we identify challenges, possibilities, and future research directions to make mutation analysis for mobile apps more effective and efficient.

Keywords: Android, Software Testing, Mutation Testing

1. Introduction

A *mobile application* is a software program that runs on a mobile device such as a smartphone or a tablet. The number of mobile applications (*apps*) is growing as more platforms become available, more apps are marketed, prices drop, and more users acquire more devices. The Android operating system currently dominates the market with 83.1% of sales in the third quarter of 2014 (iOS was second with 12.7%) [1]. Over a million apps are available to Android users on the Google Play store, the most widely used Android app store [2], and thousands are added every day.

Not surprisingly, quality is a serious and growing problem. Many apps reach the market containing significant faults, which often result in failures during use. To investigate the pervasiveness of software faults in Android apps, Bhattacharya et al. [3] analyzed 29,233 bug reports in 24 widely-used open source Android apps and found that more than 8,500 of the bug reports were confirmed as faults and fixed by developers later. None of the Android apps they analyzed was fault-free. Although part of the problem is a lack of software engineering (including little or no testing), a significant technical problem also exists. Android apps involve several new programming features and we have very little knowledge about how to test them. This results in weak and ineffective testing. In fact, even among developers who attempt to test their apps well, random value generation is quite common [4]. Although several researchers have proposed improved test techniques [4, 5, 6, 7, 8], these have not reached practice.

The goal of our overall research project is to develop testing techniques that can allow developers to find faults in Android apps before release, especially in the parts of the code that use new programming features (as

27 described in Section 2). Specifically, we propose to use mutation analysis, a
28 high-end testing technique that is known for helping engineers design pow-
29 erful tests.

30 We start by analyzing the unique technical features of Android apps,
31 and design novel mutation operators for those features. Tests that kill those
32 mutants can be expected to reveal many faults in the use of the features. We
33 have built a proof-of-concept mutation analysis tool that implements the new
34 Android mutation operators as well as more traditional mutation operators.

35 Our Android mutation analysis tool can be used in three different ways.
36 As a method for test case design, mutation analysis is one of the most pow-
37 erful test criteria known. Thus mutation can be used to design very pow-
38 erful tests. Second, once completed, polished, and made available to other
39 researchers, a mutation analysis tool can be used to evaluate other test tech-
40 niques for Android apps. Third, if a tester has a large number of pre-existing
41 tests, many are likely to be redundant. This is particularly troublesome for
42 Android testers, because for a variety of technical reasons, test execution
43 tends to be quite slow. However, identifying which tests to keep and which
44 tests to dispose of is a challenging problem. Mutation analysis allows tests
45 to be *filtered* by keeping only tests that increase the mutation score.

46 The paper makes the following contributions:

- 47 • It defines novel mutation operators specific to Android apps.
- 48 • It evaluates these mutation operators on eight Android apps.
- 49 • It identifies future research areas for mutation analysis of Android apps.

50 This paper extends our work published at the 2015 Mutation Workshop
51 [9]. The previous experiment revealed some shortcomings, so we have de-
52 signed new Android mutation operators based on common faults in Android
53 apps, conducted additional empirical studies with new real-world apps, col-
54 lected results and carried out a thorough analysis, and compared executions
55 between emulators and real devices, as well as different runtime systems.
56 This paper also studies eight apps, compared with only one in the previous
57 paper [9].

58 This paper is organized as follows. Section 2 describes how Android apps
59 are programmed, including some of the unique aspects of programming in the
60 framework, and introduces how mutation analysis works. Section 3 defines
61 eleven novel mutation operators that mutate new programming features such

62 as the *Intent* and *event handlers*. Section 4 outlines how mutation analysis
63 is applied in the Android framework, which is quite different from traditional
64 languages such as Java. Section 5 presents the Android apps we study, shows
65 how mutation analysis can be used to test them, and describes results for
66 the empirical study. The paper concludes with an overview of the related
67 research in Section 6, and a discussion of our planned future work in Section
68 7.

69 2. Background

70 Android apps are built differently from traditional software, and use new
71 structures and new control and data connections. This research project is
72 applying an existing testing technique, mutation testing, to a new type of
73 software, mobile apps. So before going into our research, we need to provide a
74 brief overview of how Android app works, followed by an overview of mutation
75 testing.

76 2.1. Programming Android Applications

77 Android comes with a development environment called the Android Ap-
78 plication Development Framework (ADF). Android ADF provides an API to
79 help build apps, create GUIs, and access data on devices. Android includes
80 an operating system based on Linux, including middleware, pre-installed ap-
81 plications, and system libraries. Android used the Dalvik Virtual Machine
82 [10] to execute Java programs before the version of 4.4 (KitKat). The most
83 recent release, Android 5.0 (Lollipop), replaced Dalvik with Android Run-
84 time (ART). However, as stated by Google, most apps developed for Dalvik
85 should work without any changes under ART [11]. The change does not
86 affect the general structure or programming methodology of Android apps.
87 Android apps can also *publish* their features for other apps to use, subject
88 to certain constraints.

89 Android apps are built according to a novel structure with a mandatory
90 *manifest* file and four types of components. Manifest files are written in
91 eXtensible Markup Language (XML) and provide information about the app
92 to the ADF, including configuration information and descriptions of the apps'
93 components.

94 Android apps have four types of components: *Activities*, *Services*, *Broad-*
95 *cast Receivers*, and *Content Providers*. An *Activity* presents a screen to the

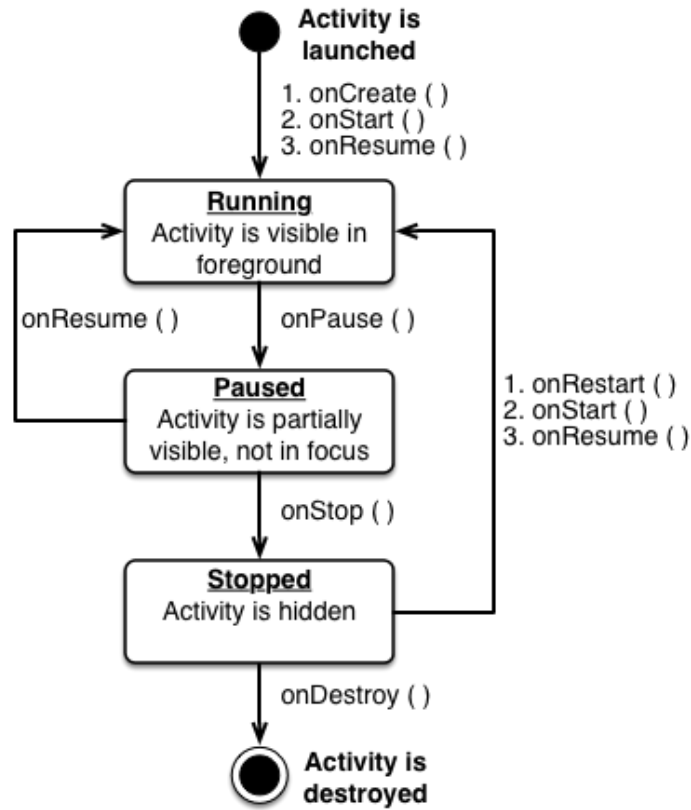


Figure 1: Activity lifecycle in Android apps

96 user based on one or more layout designs. These layouts can include differ-
 97 ent configurations for different sized screens. The layouts define *view widgets*,
 98 which are GUI controls. A configuration file in XML describes the controls
 99 and how they are laid out with a unique identifier for each widget. *Service*
 100 components run on the device in the background. They perform tasks that
 101 do not require interaction with the user such as counting steps, monitoring
 102 set alarms, and playing music. Services do not interact with the screen,
 103 although they may interact with an Activity, which in turn interacts with
 104 the screen. A *Content Provider* stores and provides access to structured
 105 data stored in the file system, including calendar, photographs, contacts,
 106 and stored music. Finally, a *Broadcast Receiver* handles messages that are
 107 announced system-wide such as low battery.

108 An Android component is activated by using an *Intent* message, which
109 includes an action that the component should carry out, and data that the
110 component needs. Android supports run-time binding of *Intent* messages.
111 This is enabled by having calls go through the Android messaging service,
112 rather than being explicitly present in the app.

113 Android requires all major components such as Services and Activities to
114 behave according to a pre-specified lifecycle [12]. The ADF manages these
115 behaviors. Figure 1 shows the lifecycle of an Activity as a collection of events
116 and states. The states are *Running*, *Paused*, and *Stopped*. The *Running* state
117 is reached after events *onCreate()*, *onStart()*, and *onResume()*. *onPause()*
118 sends the Activity to the *Paused* state, then *onStop()* sends it to *Stopped* and
119 *onResume()* sends it back to *Running*. From *Stopped*, the Activity can go to
120 *Running* with *onRestart()*, *onStart()*, or *onResume()*, or it can exit with an
121 *onDestroy()* event. ADF calls lifecycle event handlers and are integral to our
122 research, as explained later.

123 2.2. Mutation Analysis

124 This paper proposes the use of mutation to design effective tests for An-
125 droid app components. Mutation testing modifies a software artifact such
126 as a program, requirements specification, or a configuration file, to create
127 new versions called *mutants* [13]. The mutants are usually intended to be
128 faulty versions and are created by applying rules for changing the syntax of
129 the software artifact. These rules are called *mutation operators*. The tester
130 then creates tests that cause the original and each mutated version to exhibit
131 different behaviors, called *killing* the mutant. For example, the *ROR* oper-
132 ator for traditional programming languages replaces every instance of every
133 relational operator (for example, \leq) with all other relational operators ($<$,
134 $==$, $>$, \geq , $!=$) plus *trueOp* and *falseOp*, which set the condition to true
135 and false [14]. Mutation operators sometimes create changes that are similar
136 to programmer mistakes, and sometimes introduce changes that force testers
137 to design test inputs that are likely to find faults.

138 Each mutant is run against the tests in a test suite to measure the per-
139 centage of mutants the tests kill. This is called the *mutation adequacy score*.
140 Mutation testing has consistently been found to usually be stronger than
141 other test criteria. One source of that strength is that it does more than
142 just apply local requirements, such as reach a statement or tour a subpath
143 in the control flow graph (*reachability*), but it also requires that the mutated
144 statement result in an error in the program’s execution state (*infection*), and

145 that erroneous state propagate to incorrect external behavior of the mutated
146 program (*propagation*) [14, 15, 16].

147 Some mutants have the same behavior as the original program on every
148 input, so cannot be killed. These mutants are called *equivalent*. Identifying
149 and eliminating equivalent mutants from consideration is a major cost of
150 mutation testing. Some mutants do not compile and become *stillborn* [14, 17,
151 18, 19] because the change makes the program syntactically incorrect. While
152 these *stillborn* mutants can usually be avoided if the mutation operators
153 are well designed and properly implemented, some do occur. A mutation
154 system must be prepared to recognize stillborn mutants and remove them
155 from consideration.

156 Mutation operators have been created for many different languages, in-
157 cluding C, Java, and Fortran [20, 21, 22, 23]. Mutation operators for Android
158 apps focus on the novel features of Android, including the manifest file, ac-
159 tivities, and services.

160 3. Android Mutation Operators

161 Mutation analysis relies on mutation operators, which are syntactic rules
162 for changing the program or artifact. Good mutation operators can lead
163 to very effective tests, but poor mutation operators can lead to ineffective
164 tests or large numbers of redundant tests. Mutation operators are usually
165 defined using one of two approaches. When available, mutation operators
166 are defined from fault models where each type of fault is used to design a
167 mutation operator that creates instances of those faults. The muJava class-
168 level operators [24, 25] were based on a previous fault model by Alexander
169 [26]. Another approach is to analyze every syntactic element of the language
170 being mutated, and design mutants to modify the syntax in ways that typical
171 programmers might make mistakes.

172 We have defined five categories of mutation operators, four of which are
173 based on the Android app elements they cover (Intent, Activity lifecycle,
174 event handler, and XML). The fifth is based on common faults that app
175 programmers make. Every Android app must have at least one Activity [27],
176 making it crucial for testing.

177 Google’s “Activity Testing: What To Test” [28] document lists *Intent*
178 and *lifecycle events* as two essential elements to test. Android apps are
179 event-driven GUI programs [8, 29, 30], thus we designed operators that mu-
180 tate event handlers. Because aspects of Android apps are defined in XML

Original Type	Default Value
int, short, long, float, double, char	0
boolean	true / false
String	"" / (String) null
Array	(Array) null
Others	(Others) null

Table 1: IPR default values

181 configuration files, we also designed operators to mutate the XML files.

182 Android apps often have faults based on null values and the orientation
 183 of the screen [31]. Thus, we have designed two mutation operators based on
 184 those faults.

185 We have designed eleven mutation operators within these five categories.
 186 The following subsections define each operator in turn, organized by the
 187 categories.

188 3.1. Intent Mutation Operators

189 As described in Section 2, an Intent is an abstraction of an operation to
 190 be performed among Android components [32]. They are usually used to
 191 launch an activity or transmit data or messages between activities.

192 3.1.1. Intent Payload Replacement (**IPR**)

193 An Intent can carry different types of data (called payload) as key-value
 194 pairs. The *putExtra()* method takes the key name as the first parameter, and
 195 the value as the second parameter. The IPR operator mutates the second
 196 parameter to a default value that depends on the underlying data type. These
 197 default values are listed in Table 1. Objects with primitive numeric types,
 198 such as int, short, long, etc., are replaced by the value zero, and boolean
 199 variables are replaced by both true and false. String objects are replaced by
 200 empty strings and null values. Array and other types of objects are replaced
 201 by null values cast into the appropriate types.

202 Figure 2 shows an example IPR mutant. The String object *message* is
 203 replaced with an empty String (the original and mutated statements are in
 204 bold face). IPR mutants challenge testers to design test cases to ensure the
 205 value passed by an Intent object is correct.


```

public void test (View view)
{
    Intent intent = new Intent (this, DisplayMessageActivity.class);
    EditText editText = (EditText) findViewById (R.id.edit_message);
    String message = editText.getText().toString();
    intent.putExtra (EXTRA_MESSAGE, message);
    startActivity (intent);
}

```

Original

```

public void test (View view)
{
    Intent intent = new Intent (this, DisplayMessageActivity.class);
    EditText editText = (EditText) findViewById (R.id.edit_message);
    String message = editText.getText().toString();
    intent.putExtra (EXTRA_MESSAGE, "");
    startActivity (intent);
}

```

Mutant

Figure 2: Intent Payload Replacement mutant example

206 3.1.2. Intent Target Replacement (**I***TR*)

207 Developers use an *explicit Intent* to specify which component should be
 208 started by declaring the Intent with the target component’s name within an
 209 app.

210 Figure 3 shows an Intent object that is declared with *ActivityB.class* as
 211 the target. The ITR operator first looks up all the classes within the same
 212 package of the current class, and then replaces the target of each Intent with
 213 all possible classes. This challenges the tester to design test cases that check
 214 that the target activity or service is launched successfully after the Intent is
 215 executed.

216 3.2. Activity Lifecycle Mutation Operator

217 Section 2 described the pre-specified lifecycle followed by major compo-
 218 nents, as illustrated in Figure 1. Components use seven methods to fulfill
 219 transitions among different states in the lifecycle. This operator modifies
 220 those methods.

221 3.2.1. Lifecycle Method Deletion (**M***DL*)

222 Developers override transition methods to define transitions among states.
 223 MDL deletes each overriding method to force Android to call the version in

```

public void startActivityB (View v)
{
    Intent intent = new Intent (ActivityA.this, ActivityB.class);
    startActivity (intent);
}

```

Original

```

public void startActivityB (View v)
{
    Intent intent = new Intent (ActivityA.this, ActivityC.class);
    startActivity (intent);
}

```

Mutant

Figure 3: Intent Target Replacement mutant example

224 the super class. This requires the tester to design tests that ensure the app is
 225 in the correct expected state. The MDL operator is similar to the Overriding
 226 Method Deletion mutation operator (IOD) in muJava [25], but only considers
 227 the methods related to the Activity lifecycle.

228 3.3. Event Handler Mutation Operators

229 Android apps are event-based, so event handlers are normally used to
 230 recognize and respond to events. Common user actions are clicking and
 231 touching, each of which generates an event. Thus, we define two mutation
 232 operators for event handlers, the OnClick Event Replacement (ECR) opera-
 233 tor, and the OnTouch Event Replacement (ETR) operator.

234 3.3.1. OnClick Event Replacement (**ECR**)

235 ECR first searches and stores all event handlers that respond to OnClick
 236 events in the current class. Then, it replaces each handler with every other
 237 compatible handler. Figure 4 shows an ECR mutant where the event handler
 238 for the button mPrepUp has been replaced by the event handler for the
 239 button mPrepDown. To kill ECR mutants, each widget’s OnClick event has
 240 to be executed by at least one test.

241 3.3.2. OnTouch Event Replacement (**ETR**)

242 This operator replaces the event handlers for each OnTouch event. It
 243 works exactly the same as the ECR mutation operator.

```

mPrepUp.setOnClickListener (new OnClickListener()
{
    public void onClick (View v) {
        incrementPrepTime();
    }
});
mPrepDown.setOnClickListener (new OnClickListener()
{
    public void onClick (View v) {
        decrementPrepTime();
    }
});

```

Original

```

mPrepUp.setOnClickListener (new OnClickListener()
{
    public void onClick (View v) {
        decrementPrepTime();
    }
});
mPrepDown.setOnClickListener (new OnClickListener()
{
    public void onClick (View v) {
        decrementPrepTime();
    }
});

```

Mutant

Figure 4: OnClickListener Event Replacement mutant example

244 3.4. XML Mutation Operators

245 Android uses many XML files, not just the manifest file. XML files are
246 used to define user interfaces, to store configuration data such as permissions,
247 to define the default launch activity, and more. These three operators are
248 unusual in that they do not modify executable code, but static XML.

249 3.4.1. Activity Permission Deletion (**APD**)

250 The Android operating system grants each app a set of permissions, such
251 as the ability to access cameras or load location data from GPS sensors.
252 These permissions are requested from the user when an app is first installed,
253 and stored in the app’s manifest file (*AndroidManifest.xml*). Some apps ag-
254 gressively request unnecessary, even irrelevant, permissions, and many users
255 click “OK” without paying attention to the details of these requested per-

256 missions when installing an app. This can create security and privacy vul-
257 nerabilities in Android systems.

258 APD mutants delete an app’s permissions from its AndroidManifest.xml
259 file, one at a time. If this mutant cannot be killed by any tests, it means
260 that the app asked for a permission it did not need. For example, in Fig-
261 ure 5, the original program requests four permissions: WRITE_SETTINGS,
262 WAKE_LOCK, MODIFY_AUDIO_SETTINGS, and VIBRATE. APD deletes
263 the VIBRATE permission in the example mutant. Then, the app is not al-
264 lowed to use the device’s vibrator. A test that kills this mutant must cause
265 the app to attempt to access the vibrator of the Android system.

```
<manifest xmlns:android="http://schemas.android.com/apk/res/android"
... ..
<uses-permission android:name="android.permission.WRITE_SETTINGS" />
<uses-permission android:name="android.permission.WAKE_LOCK" />
<uses-permission android:name="android.permission.MODIFY_AUDIO_SETTINGS" />
<uses-permission android:name="android.permission.VIBRATE" />
</uses-permission>
</manifest>
```

Original

```
<manifest xmlns:android="http://schemas.android.com/apk/res/android"
... ..
<uses-permission android:name="android.permission.WRITE_SETTINGS" />
<uses-permission android:name="android.permission.WAKE_LOCK" />
<uses-permission android:name="android.permission.MODIFY_AUDIO_SETTINGS" />
<!--
<uses-permission android:name="android.permission.VIBRATE" />
-->
</uses-permission>
</manifest>
```

Mutant

Figure 5: Activity Permission Deletion (APD) mutant example

266 3.4.2. Button Widget Deletion (*BWD*)

267 The button widget is used by nearly all Android apps in many ways.
268 BWD deletes one button at a time from the XML layout file of the UI.
269 Killing the BWD mutants requires tests that ensure that every button is
270 successfully displayed. Figure 6 shows an original screen on the left, and two
271 mutants on the right. The middle screen is a BWD mutant where the button

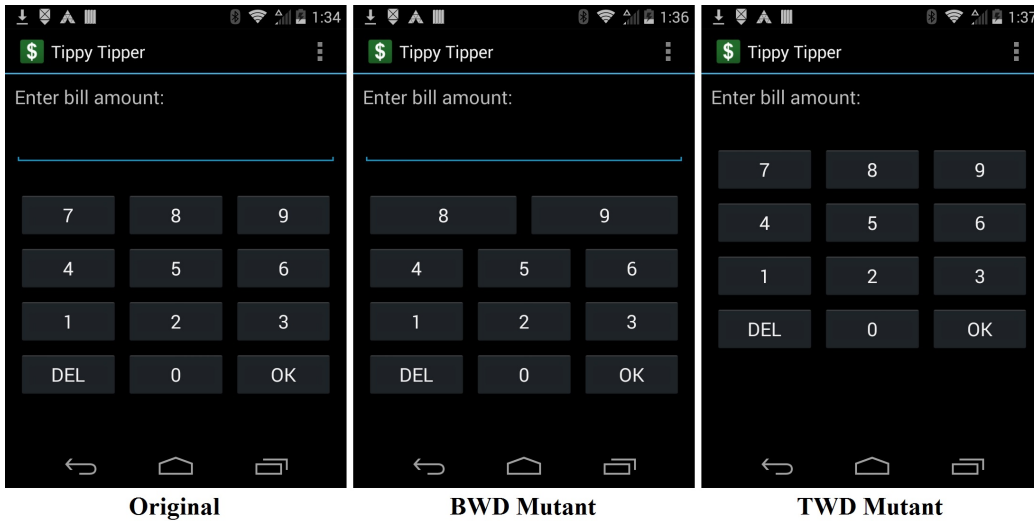


Figure 6: Button Widget Deletion (BWD) and EditText Widget Deletion (TWD) mutant examples

272 “7” is deleted from the UI. This mutation operator forces the tester to design
 273 tests that use each button in a way that affects the output behavior.

274 *3.4.3. EditText Widget Deletion (TWD)*

275 The EditText widget is used to display text to users. The TWD mutation
 276 operator removes each EditText widget, one at a time. The rightmost screen
 277 in Figure 6 shows an example TWD mutant where the bill amount cannot be
 278 displayed. To kill this mutant, a test must use the bill amount.

279 *3.4.4. Button Widget Switch (BWS)*

280 It is common for testers to design test cases to ensure an app works as
 281 expected with respect to its functional requirements, and evaluate the GUI
 282 structure as a secondary issue. However, Android apps are event-based,
 283 which means it is essential to display the GUI structure appropriately, as
 284 well as handling user events. Unlike BWD, BWS does not remove a button
 285 widget, but switches the locations of two buttons on the same screen. In
 286 this way, the function of a button is unaffected, but the GUI layout looks
 287 different from the original version. BWS requires the tester to design tests
 288 that deliberately check the location (either relative or absolute) of a button
 289 widget. Figure 7 illustrates an example of BWS mutant. The mutant on the
 290 right side switches the locations of button “7” and “OK.”

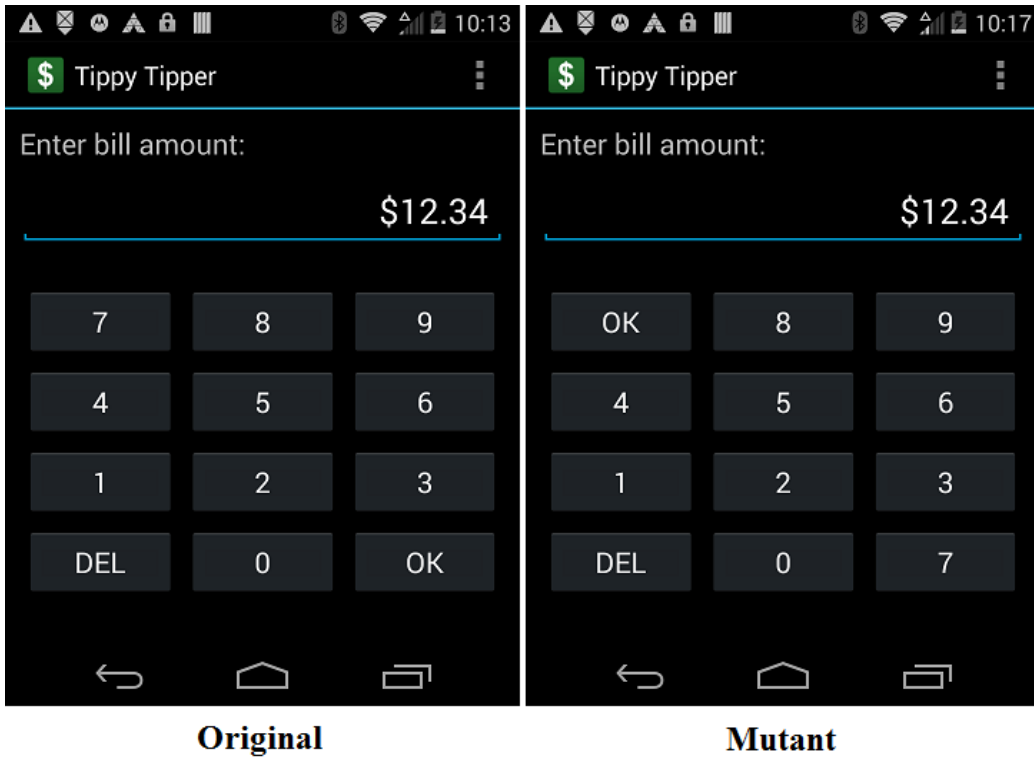


Figure 7: Button Widget Switch mutant example

291 BWS mutants require tests to check the location of a widget. Testers
 292 need to design tests that load the location of a widget, and compare it with
 293 an expected value, or other widgets' location, to ensure its correct location.
 294 For example, the code snippet in Figure 8 loads and compares the locations
 295 of two button widgets to ensure the OK button is displayed on the left of the
 296 Cancel button.

297 3.5. Mutation Operators Based on Common Faults

298 We started our efforts to design mutation operators by investigating bug
 299 reports and code change history logs on GitHub repositories. With the anal-
 300 ysis on the repositories of open source Android apps, including DAVdroid
 301 [33], CosyDVR [34], URL evaluator for Android [35], and oandbackup [36],
 302 we observed several types of faults that were common across different apps.
 303 To cover these, we designed and implemented novel fault-based operators.

```

Button okButton = (Button) solo.getView (R.id.ok);
Button cancelButton = (Button) solo.getView (R.id.cancel);
int [] locationOfOK = new int [2];
int [] locationOfCancel = new int [2];
okButton.getLocationInWindow (locationOfOK);
cancelButton.getLocationInWindow (locationOfCancel);
assertTrue ("OK button is on the left of Cancel", locationOfOK [0] < locationOfCancel [0]);

```

Figure 8: Test code to kill a Button Widget Switch mutant

304 3.5.1. Fail on Null (**FON**)

305 According to Arlt et al. [37], *NullPointerException* is one of the most
306 common exceptions thrown in programs. A common cause is that developers
307 forget to check if an object is null before accessing it. In our initial study
308 on GitHub repositories, we found 80 corrections to one app, of which 52
309 were patching null-checking statements. FON mutants add a “fail on null”
310 statement before each object is referenced. For String objects, FON also
311 adds a “fail on empty” statement before objects are accessed. Figure 9 shows
312 an example of an FON mutant. The mutated statement is inserted before
313 accessing *members*. FON mutants are used to encourage the tester to design
314 tests that make *members* null and trigger the “fail on null” statement.

```

List<ResourceType> res = new LinkedList<> ();
List<Member> members = collection.getMembers ();

for (WebDavResource member : members)
    res.add (newResource (member.getName (), member.getETag ()));
return res.toArray (new Resource[0]);

```

Original

```

List<ResourceType> res = new LinkedList<> ();
List<Member> members = collection.getMembers ();
failOnNull (members);
for (WebDavResource member : members)
    res.add (newResource (member.getName (), member.getETag ()));
return res.toArray (new Resource[0]);

```

Mutant

Figure 9: Fail on Null mutant example

315 3.5.2. Orientation Lock (**ORL**)

316 Mobile devices such as smartphones and tablets have the unique feature
317 of being able to change the screen orientation. Thus, many apps change

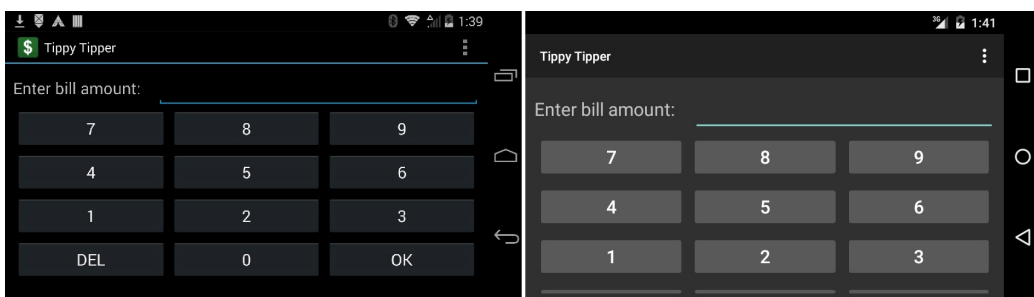


Figure 10: Fault in landscape orientation

318 the layout of the GUI when the orientation changes. For example, YouTube
 319 automatically switches to play video in full screen when the orientation is
 320 changed from portrait to landscape. However, Android devices are man-
 321 ufactured by different factories with various hardware specifications, using
 322 different screen sizes and resolutions. This makes switching the orientation
 323 difficult for the developers, in turn leading to many faults in Android apps.

324 Figure 10 shows a correct and a faulty version of TippyTipper with dif-
 325 ferent orientations. Even though both devices properly display the GUI in
 326 portrait orientation, when switching to landscape orientation, as shown in
 327 Figure 10, the user is not able to see or click the button at the bottom or
 328 scroll down the screen.

329 ORL mutants freeze the orientation of an activity to be in portrait or
 330 landscape, by inserting a special *locking* statement into the source code.
 331 Only test cases that explicitly changes the orientation and checks whether
 332 the GUI structure is displayed as expected in both orientations can kill these
 333 mutants.

334 3.6. Mutation Operator Summary

335 These eleven mutation operators are defined on several unique and novel
 336 aspects of Android apps. These mutation operators cover many of Android
 337 app’s novel features and allow a feasibility study.

338 Previous research efforts that defined new mutation operators have found
 339 that an initial set of operators can often be improved [16, 24, 38, 39, 40].
 340 Improvements include adding additional operators that improve the fault
 341 detection ability of the resulting tests, eliminating redundant operators, and
 342 modifying operators to generate more, fewer, or better mutants. This will
 343 require experimental evaluations to identify mutation operators that do not

344 lead to useful tests or that are redundant, as well as to identify additional
345 useful operators.

346 4. Mutating Android Applications

347 Mutation analysis cannot be performed the same way for Android apps
348 as for traditional Java programs. First, whereas Java mutation analysis tools
349 mutate only Java files, Android operators also mutate XML layout and con-
350 figuration files. Second, Android apps require additional processing before
351 being deployed. Java mutation engines usually either mutate the source, then
352 compile to bytecode class files, or compile to bytecode, then mutate the byte-
353 code. The Java bytecode files are then dynamically linked by the language
354 system during execution. Android apps have the additional requirement that
355 each Android mutant must be compiled as an Android application package
356 (APK) file so that it can be installed and executed on mobile devices and
357 emulators. This significantly impacts the design of mutation analysis tools.

358 Figure 11 illustrates how our mutation analysis engine works. Below are
359 the steps for conducting mutation analysis on Android apps. Note that steps
360 3, 4, 6, and 7 are different from traditional mutation testing processes.

- 361 1. First, the tester selects which mutation operators should be used. In
362 addition to the eleven new Android operators defined in Section 3, we
363 reuse 15 method level operators from muJava [25], and four deletion
364 operators [41, 42]. The Android mutation analysis tool uses part of the
365 muJava [25] mutant generation engine to implement these mutation
366 operators¹.
- 367 2. For the operators that mutate Java code (both our new Android and
368 the traditional muJava operators), the system modifies the original
369 Java source code, and compiles them to bytecode class files.
- 370 3. XML mutation operators are applied directly to the XML file, creating
371 a new copy of the file for each mutant. They are swapped into place
372 for dynamic binding when the APK file is created.
- 373 4. For each mutated Java bytecode class file and XML file, the mutation
374 system generates a mutated APK file by including the mutated source
375 and other project files. Some mutants might cause compilation errors

¹muJava is now open source on GitHub (<https://github.com/jeffoffutt/muJava>).

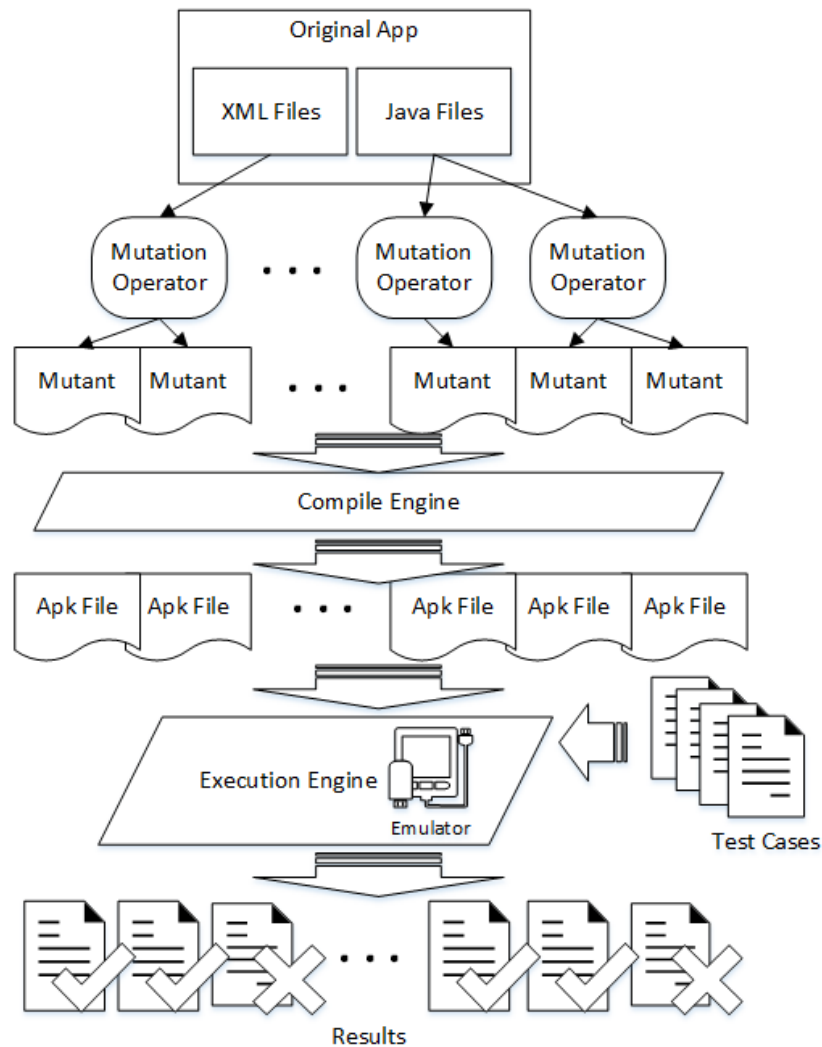


Figure 11: Performing mutation analysis on Android apps

376 (stillborn), which are discarded immediately and not used in the final
 377 results.

- 378 5. The Android testing framework extends JUnit [43] to support the test-
 379 ing of different types of Android components [44]. In addition, testers
 380 can write test cases with the support of external Android test automa-
 381 tion frameworks, such as Robotium [45]. Our Android mutation anal-
 382 ysis tool is implemented to run both kinds of test cases above. Tests

383 are either designed by the tester to target mutants, or an externally
384 created set of pre-existing tests can be used. Each test is imported and
385 compiled as an APK test file.

- 386 6. After generating mutants and compiling them to APK files, the system
387 loads the original (non-mutated) version of the app under test into an
388 emulator or onto a mobile device. Then the system executes all test
389 cases on the original app and records the outputs as *expected* results.
390 The results of the mutant executions are compared with the results of
391 the original app to determine which mutants are killed.
- 392 7. Then, each mutant is loaded into an emulator or onto a mobile device.
393 The mutation system executes all the test cases against the mutants
394 and stores the outputs as the *actual results*. With the current tool,
395 running Robotium test cases is very time-consuming. According to
396 the Robotium developer, higher test execution speed may make the
397 execution unstable on emulators [46]. In the emulator, each test re-
398 quires hours to run against all mutants. In the future, we plan several
399 optimizations to reduce this cost.
- 400 8. After collecting all the results, the mutation system compares the ex-
401 pected results with the actual results. If the actual result on a test dif-
402 fers from the expected result on the same test, that mutant is marked
403 as having been killed by that test.
- 404 9. Finally, the mutation score is computed as a percentage of the mutants
405 killed by the tests. Currently, the tool does not implement any heuris-
406 tics to help identify equivalent mutants, so these must all be evalu-
407 ated manually. Encouragingly, based on the evidence in Section 5, the
408 Android mutation operators do not seem to create many equivalent
409 mutants.

410 5. Empirical Evaluation

411 To evaluate our proposed approach, we developed a new mutation analysis
412 tool that implements the mutation operators defined in Section 3. The tool
413 was then used to generate mutants, compile the APK files, install them
414 into emulators and Android devices, execute tests against the mutants, and
415 compute and report the final results.

416 Based on other uses of mutation testing, we expect mutation of Android
417 apps to be stronger than statement coverage, thus we use statement cover-
418 age as a comparison. Our empirical evaluation includes five phases: selecting

419 empirical subjects, designing test data with 100% statement coverage, gener-
420 ating mutants with muJava and Android mutation operators, executing tests
421 against mutants, and analyzing results.

422 Most testing is done using Android emulators, and most of our research
423 has followed that example. However, to extend our previous work, and to
424 check whether results are consistent between the emulator and hardware
425 devices, we also used two Motorola MOTO G Android smartphones, one
426 with the Dalvik Virtual Machine, and the other with ART. We executed
427 tests in developer mode.

428 5.1. Empirical Subjects

429 Eight Android apps were selected as empirical subjects. These eight apps
430 were used in previous papers [4, 8]. *TippyTipper* [47] is an Android app
431 that can calculate tips after taxes are added and split bills among several
432 customers. According to the Google Play store, the latest version 2.0 was
433 released in December 2013 and currently has a 4.6 star rating from 761 users.
434 We tested it by downloading the source from its homepage. *TippyTipper* has
435 five Activities: TippyTipper, SplitBill, Total, Settings, and About. It also
436 has one Service: TipCalculatorService. Figure 12 illustrates three Activities:
437 TippyTipper is on the left, SplitBill is in the middle, and Total is on the
438 right.

439 *PasswordMaker Pro* for Android [48] produces passwords for websites and
440 other apps. It accepts a “master password” from the user, combines the URL
441 or the name of the website requiring the password, and computes a unique
442 password with hash algorithms. It has 23 classes in three different packages.
443 On the Google Play store, the latest update was in January 2015, and it has
444 a 3.7 star rating from 64 users.

445 *MunchLife* [49] is a counter application for tracking levels achieved while
446 playing the card game Munchkin. Its latest version is 1.4.4, released in
447 February 2014, with a 4.3 star rating from 242 users. *JustSit* [50] is a timer
448 app with an alarm used for meditation. Its latest version is 0.3.3, released
449 in July 2010, with a 3.8 star rating from 145 users. *Tipster* [51] is an app
450 similar to TippyTipper that is used for splitting payment and calculating
451 tips. It is an example from Darwin’s book [52].

452 *K-9 Mail* [53] is an email client app with a rich set of useful features
453 that are not offered by similar email clients. On the Google Play store, it
454 has a 4.3 star rating from more than 155,000 reviewers, and several million
455 user installations. Unlike our other apps, which were developed by a small

456 number of programmers, K-9 Mail is developed and released by an open
457 source community with hundreds of contributors.

458 *Alarm Klock* [54] is an alarm clock app with advanced and customizable
459 features. It has a 4.5 star rating by 6291 reviews, and the Google Play store
460 shows that the number of user installations is between 500,000 and 1,000,000.

461 *Jamendo for Android* [55] is an app for searching, streaming, and down-
462 loading free online music. We obtained it from F-Droid [56], a repository
463 of free and open source Android apps. It is not currently available on the
464 Google Play store, so we do not have review or download data.

465 We used twelve classes along with their corresponding XML layout files,
466 and the AndroidManifest.xml files from the eight apps. TippyTipper, Munch-
467 LifeActivity, JustSit, PasswordMakerPro, TipsterActivity, ActivityAlarm-
468 Clock, and HomeActivity are the main Activity classes of their apps. Other
469 classes were chosen based on their features in the corresponding apps. For
470 example, in the *TippyTipper* app, we chose the Activities SplitBill and To-
471 tal because they provide features including splitting and calculating tips and
472 taxes, and generate a rich set of mutants. We did not use the Activity About
473 because it only displays information about the app without any additional
474 functions, so could not create mutants. In addition, ColorPickerDialog of *K-
475 9 Mail* is the only class that included event handlers for an OnTouch event.
476 We used it to ensure the ETR operator was used.

477 Details about the empirical subjects are in Table 2. The source lines of
478 code (SLOC) and executable lines of code (ELOC) for the Android classes
479 were calculated by Emma [57], and the LOCs for XML files were counted
480 within the Android IDE. We also used the XML Document Object Model
481 (DOM) parser to count the number of XML elements. We believe the number
482 of elements is a better way to measure size of XML files than the number of
483 lines.

484 The largest Java class is the main Activity of *PasswordMaker Pro*, Pass-
485 wordMakerPro, with 606 SLOC. The smallest is the setting Activity of
486 *MunchLife*, SettingsActivity, with 17 ELOC. The largest XML file is the
487 AndroidManifest.xml of *K-9 Mail*, with 214 SLOC and 124 nodes.

488 Table 2 also lists the number of lines of dead code manually identified
489 for each class. Our subjects had three types of dead code. First, if the
490 default case is included in a switch-case block, but can never be reached with
491 any user input, it is dead code. Second, if an event listener is designed for
492 handling menu clicks, but no menu is on the screen, the entire listener class
493 is dead code. Third, in a try-catch block, if it is impossible to throw and

App	File	SLOC	ELOC	Lines of Dead Code	XML Elements
TippyTipper	TippyTipper main.xml	239 93	103 93	1	20
	SplitBill SplitBill.xml	134 93	63 93	6	31
	Total (<i>a Java class</i>) Total.xml	279 139	133 139	2	44
	AndroidManifest.xml	32	32		16
MunchLife	MunchLifeActivity main.xml	384 58	144 58	10	12
	SettingsActivity preferences.xml	68 25	17 25	0	5
	AndroidManifest.xml	32	32		10
JustSit	JustSit main.xml	444 99	207 99	30	13
	JsSettings JsSettings.xml	61 52	22 52	0	6
	AndroidManifest.xml	23	23		14
PasswordMaker Pro	PasswordMakerPro main.xml	606 141	343 141	26	19
	AndroidManifest.xml	26	26		13
Tipster	TipsterActivity main.xml	297 177	115 177	0	30
	AndroidManifest.xml	23	23		7
K-9 Mail	ColorPickerDialog colorpicker_dialog.xml	199 59	93 59	0	7
	AndroidManifest.xml	214	214		124
Alarm Klock	ActivityAlarmClock alarm_list.xml	290 39	127 39	3	6
	AndroidManifest.xml	53	53		35
Jamendo	HomeActivity main.xml	441 66	132 66	10	10
	AndroidManifest.xml	146	146		93
Total		5032	3089	88	515

Table 2: Details of empirical subjects

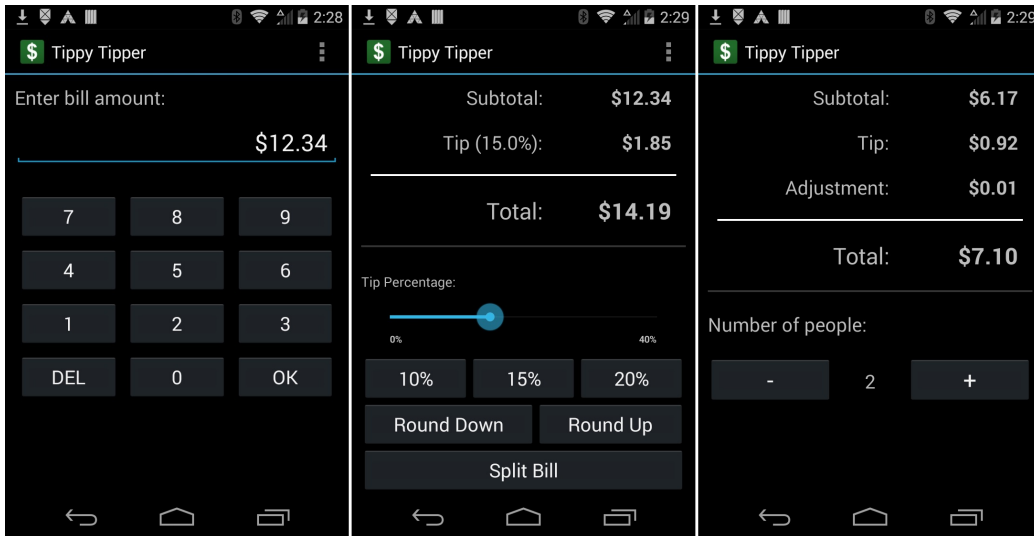


Figure 12: Three activities for TippyTipper

494 catch a required exception the entire catch block will be dead code.

495 5.2. Test Data Generation

496 We used pre-existing tests from our previous paper [9], and created new
 497 tests by hand. In our previous paper, EvoDroid [4], an evolutionary algorithm-
 498 based tool, generated 744 test cases for the main Activity of *TippyTipper*
 499 through multiple generations. We chose ten tests from the last generation,
 500 which covered 82% of the methods, 90% of the blocks, and 85% of the state-
 501 ments in the main Activity class, *TippyTipper*. We then added one additional
 502 test by hand to achieve full statement coverage.

503 For the other nine Android classes and their associated XML layout files,
 504 we manually designed test inputs to achieve 100% statement coverage (Table
 505 2), excluding the dead code. All available test sets designed for each app were
 506 executed against APD mutants of AndroidManifest.xml files. For example,
 507 the test set for AndroidManifest.xml of *TippyTipper* consists of all the test
 508 cases designed to test the Activities of *TippyTipper*, *SplitBill*, and *Total*.

509 Because mobile devices and emulators usually have relatively fewer comput-
 510 ation resources (e.g., less memory and lower CPU speed), sending test
 511 inputs directly to them without waiting for their responses to each user ac-
 512 tion is very likely to get inaccurate testing results. For example, if an action
 513 of clicking a button is sent before the button is completely rendered on the

514 screen, the test will fail due to the failure of finding the button. Thus, to get
 515 accurate empirical results, we added code to our tests to wait for two seconds
 516 after each user action and before executing assertion statements.

517 *5.3. Mutant Generation*

App	File	muJava Mutants	Android Mutants
TippyTipper	TippyTipper	105	195
	SplitBill	124	37
	Total	231	104
	AndroidManifest.xml	n/a	4
MunchLife	MunchLifeActivity	534	151
	SettingsActivity	47	7
	AndroidManifest.xml	n/a	1
JustSit	JustSit	415	241
	JsSettings	28	29
PasswordMakerPro	PasswordMakerPro	515	379
Tipster	TipsterActivity	327	118
K-9 Mail	ColorPickerDialog	551	60
	AndroidManifest.xml	n/a	17
Alarm Klock	ActivityAlarmClock	161	235
	AndroidManifest.xml	n/a	6
Jamendo	HomeActivity	237	115
	AndroidManifest.xml	n/a	7
Total		3275	1706

Table 3: Mutants generated

518 According to the design of applying mutation analysis in Android apps in
 519 Section 4, we used 19 method-level mutation operators borrowed from mu-
 520 Java [25], and eleven Android operators designed in our research to generate
 521 mutants, and compile them into installable APK files. Generating a mutant
 522 and compiling it as an APK file took up to two seconds on a MacBook Pro
 523 with a 2.6 GHz Intel i7 processor and 16 GB memory.

524 Table 3 lists the results of mutants generation. Our system generated a
 525 total of 3275 mutants from the 19 method-level operators. The number of mu-
 526 Java mutants ranged from 28 (in JsSettings of *JustSit*) to 551 (in ColorPicker-
 527 Dialog of *K-9 Mail*). The eleven new Android mutation operators generated
 528 1706 valid Android mutants for twelve Android classes along with their corre-
 529 sponding XML layout files, and five AndroidManifest.xml files (*TippyTipper*,
 530 *MunchLife*, *K-9 Mail*, *Alarm Klock*, and *Jamendo*). The number of Android

531 mutants ranged from seven (in SettingsActivity of *MunchLife*) to 379 (in
532 PasswordMakerPro of *PasswordMakerPro*), excluding AndroidManifest.xml
533 files.

534 As stated in Section 2, a mutant that cannot be compiled into an APK
535 file is called *stillborn*, and is not counted in the results. For example, the Ac-
536 tivity class TippyTipper has 110 stillborn mutants in addition to 105 muJava
537 and 195 Android mutants, for a total of 300 mutants. The entire TippyTip-
538 per app has $195+37+104+4 = 340$ Android mutants and $105+124+231 =$
539 460 muJava mutants (muJava does not generate any mutants for XML files).
540 The 110 stillborn mutants are comprised of 36 AOIS mutants, 2 LOI mu-
541 tants, 6 ITR mutants, and 66 ECR mutants. Some mutants are stillborn
542 because of incorrect syntax. Other mutants are stillborn because Android
543 apps use integers to identify pre-defined resources and values that are saved
544 in a separate file. Some mutation operators mutate the identification inte-
545 gers, making it impossible for Android to locate these pre-defined values. In
546 turn, this prevents APK files from being compiled.

547 Each Android app has an AndroidManifest.xml file, but three Android-
548 Manifest.xml files (in subjects *JustSit*, *PasswordMakerPro*, and *Tipster*) did
549 not have any mutants. Thus, they are not listed in Table 3.

550 5.4. Empirical results

551 We used our mutation analysis tool to load and execute 100% statement
552 coverage test sets against all mutants. Table 4 summarizes results from run-
553 ning both muJava and Android mutants. Across all subjects, 1778 of 3275
554 muJava mutants and 530 of 1706 Android mutants were killed by the state-
555 ment coverage test sets. Equivalent mutants were identified by hand analysis.
556 The MS columns in Table 4 show mutation scores *after* equivalent mutants
557 are filtered out. In other words, the percentages show how many mutants
558 are killed relative to how many can be killed. The mutation scores for the
559 muJava mutants ranged from 0.419 (in JustSit of *JustSit*) to 0.78 (in Tip-
560 sterActivity of *Tipster*), with a mean of 0.622 and a median of 0.644. For
561 Android mutants, the mutation scores ranged from 0.455 (in SplitBill of *Tip-*
562 *pyTipper*) to 0.885 (in HomeActivity of *Jamendo for Android*), with a mean
563 of 0.666 and a median of 0.674, excluding the three AndroidManifest.xml
564 files.

565 Table 5 shows results for each mutation operator. The first group contains
566 results from the muJava traditional mutants. Arithmetic Operator Replace-
567 ment (AORS) and Logical Operator Replacement (LOR) mutants have the

App	File	muJava Mutants				Android Mutants			
		Total	Killed	Equiv.	MS	Total	Killed	Equiv.	MS
TippyTipper	TippyTipper	105	71	4	0.703	195	85	41	0.552
	SplitBill	124	52	14	0.473	37	5	26	0.455
	Total	231	123	29	0.609	104	24	57	0.511
	AndroidManifest.xml	n/a				4	0	4	1.000
MunchLife	MunchLifeActivity	534	324	72	0.701	151	31	105	0.674
	SettingsActivity	47	19	8	0.487	7	2	3	0.500
	AndroidManifest.xml	n/a				1	1	0	1.000
JustSit	JustSit	415	153	50	0.419	241	59	174	0.881
	JsSettings	28	17	3	0.680	29	6	18	0.546
PasswordMakerPro	PasswordMakerPro	515	229	89	0.538	379	78	290	0.876
Tipster	TipsterActivity	327	234	27	0.780	118	22	88	0.733
K-9 Mail	ColorPickerDialog	551	271	56	0.547	60	13	45	0.867
	AndroidManifest.xml	n/a				17	3	0	0.176
Alarm Klock	ActivityAlarmClock	161	114	12	0.765	235	141	49	0.758
	AndroidManifest.xml	n/a				6	2	0	0.333
Jamendo	HomeActivity	237	171	13	0.763	115	54	54	0.885
	AndroidManifest.xml	n/a				7	4	0	0.571
Total		3275	1778	377	0.614	1706	530	954	0.705
Median		234	138	28	0.644	60	13	41	0.674
Mean		272.9	148.2	31.4	0.622	100.4	31.2	56.1	0.666

Table 4: Empirical results

568 lowest mutation scores of 0, meaning that none were killed by the statement
569 coverage test sets. These two operators only generated five mutants, so this
570 low percentage probably isn't meaningful. Among the Android mutation
571 operators, none of the Button Widget Switch (BWS) mutants were killed.
572 The highest mutation score among the traditional muJava mutants were for
573 Conditional Operator Deletion (COD), 0.857. All of the Android mutants
574 for OnTouch Event Replacement (ETR), Intent Payload Replacement (IPR),
575 and Button Widget Deletion (BWD) were killed.

576 To assess whether the emulator had any effect on our tests, we ran the
577 tests on different smartphones using Dalvik and ART. The mutation scores
578 were identical in all three environments. However, the emulator is much
579 slower than real devices, even with the Intel Hardware Accelerated Execution
580 Manager (HAXM) installed.

581 5.5. Discussion

582 The APD operator (permission deletion) only applies to AndroidMani-
583 fest.xml files. The *principle of least privilege* [58] requires that an app should
584 only request necessary permissions from the Android system. If an app still
585 works correctly after APD deletes its permissions (that is, the mutant is

Operator	Killed Mutants	Equivalent Mutants	Live Mutants	Total Mutants	Mutation Scores
Traditional Mutants					
AODU	3	0	1	4	0.750
AOIS	249	151	120	520	0.675
AOIU	253	17	112	382	0.693
AORB	113	4	71	188	0.614
AORS	0	0	1	1	0.000
CDL	22	9	18	49	0.550
COD	6	0	1	7	0.857
COI	70	4	55	129	0.560
COR	18	0	14	32	0.563
LOI	296	7	118	421	0.715
LOR	0	0	4	4	0.000
ODL	82	22	84	188	0.494
ROR	169	51	186	406	0.476
SDL	471	109	309	889	0.604
VDL	26	3	26	55	0.500
Subtotal	1778	377	1120	3275	0.614
Android Mutants					
APD	10	4	21	35	0.323
IPR	7	0	0	7	1.000
ITR	181	0	29	210	0.862
ECR	111	0	4	115	0.965
ETR	2	0	0	2	1.000
FON	146	949	25	1120	0.854
MDL	18	1	5	24	0.783
BWD	36	0	0	36	1.000
TWD	6	0	4	10	0.600
ORL	13	0	35	48	0.271
BWS	0	0	99	99	0.000
Subtotal	530	954	222	1706	0.705
Total	2308	1331	1342	4981	0.632

Table 5: Empirical results for each mutation operator

586 equivalent), the permission was unnecessary and granting it could create a
587 security or privacy threat.

588 In our empirical study, none of the four APD mutants of *TippyTipper*
589 were killed. We designed tests to cover only three out of five Activities in the
590 app, thus testing could not show whether those Activities needed the permis-
591 sions. To verify whether the permissions were needed, we conducted a de-
592 tailed hand analysis of the needs of all the Activities, finding that none of the
593 Activities used any of the four permissions requested (WRITE_SETTINGS,
594 WAKE_LOCK, MODIFY_AUDIO_SETTINGS, and VIBRATE), leading us
595 to conclude that *TippyTipper* does not need any of them. Thus we judged
596 them to be equivalent. Additionally, fourteen live APD mutants of *K-9 Mail*
597 were judged not equivalent after manual analysis.

598 In Table 5, 949 of 1120 (84.7%) FON mutants are equivalent, which is the
599 highest in all mutation operators. This is because many objects in an app
600 can never be null or empty. Thus, the “fail on null” statement is impossible
601 to trigger. Our tool cannot decide if an object can be null when generating
602 mutants. However, identifying and filtering these equivalent mutants by hand
603 is straightforward and not time-consuming.

604 All the 99 BWS mutants were still alive after testing. as the statement
605 coverage test sets could not ensure the locations (either relative, or absolute)
606 of any button widgets.

607 In our previous paper [9], once the test set was augmented to achieve
608 100% statement coverage, the mutation score on the Android mutants was
609 very high. Since mutation is usually much stronger than statement coverage,
610 we interpreted this to mean that the initial Android mutants were not strong
611 enough. The new operators used in this paper appear to have made this
612 testing much stronger. 222 Android mutants were not killed, with an overall
613 mutation score of 0.705. Additionally, the 100% statement coverage test sets
614 were only able to kill 61% of muJava mutants, and 71% of Android mutants.
615 This is more in line with previous mutation systems.

616 5.6. Threats to Validity

617 Our empirical evaluation has several threats to validity. First, dead code
618 and equivalent mutants were identified manually by one person. Second,
619 our implementation of the eleven proposed Android operators and Android
620 mutation tool may include faults. To ensure they work as expected, we
621 tested our tool constantly, and checked mutants generated by hand very
622 carefully. Third, like most software engineering experiments, it is not possible

623 to guarantee the representativeness of selected subjects. We tried to choose
624 apps with different sizes, from different sources, and used in various domains.
625 The fact that all the subjects were used by previous researchers provide
626 consistency across multiple studies.

627 **6. Related Work**

628 This section describes relevant research in three areas: Android testing,
629 mutation testing, and testing GUIs with mutation.

630 *6.1. Android Testing*

631 *Android's* development environment includes its own test framework [44],
632 which extends the ubiquitous JUnit. Additionally, several testing automation
633 frameworks are available to testers. Many testers use Robotium [45] for unit
634 testing, system testing, and user acceptance testing. It is also compatible
635 with other code coverage measurement tools, such as Emma and Cobertura.
636 Thanks to its APIs that directly interact with Android GUI components by
637 run-time binding, people with little knowledge of the implementation de-
638 tails can also write tests with Robotium. It is possible to test an app with
639 Robotium even if only its APK file is available. However, to maintain a stable
640 test execution on emulators and mobile devices, Robotium is set to run tests
641 at a relatively low speed. All test sets used in our empirical study are de-
642 signed with Robotium. Another framework for Android apps is Robolectric
643 [59], which runs on the Java VM, instead of Dalvik or ART. It splits tests
644 from the emulator, making it possible to run tests by directly referencing the
645 Android library files. In testing Android apps, one challenge is the variety
646 of hardware specifications, e.g., different screen sizes and resolutions. Selen-
647 droid [60] enables testers to distribute their tests across multiple emulators
648 with different configurations. All these frameworks automate execution, but
649 none supports test value generation, test criteria, or any other type of test
650 design.

651 Several research papers have been based on random test value creation.
652 Amalfitano et al. [5, 6] presented an approach that starts with random inputs,
653 then uses a code-crawling algorithm to generate test cases. Hu and Neamtiiu
654 [61] generated GUI test inputs randomly and executed them with Android
655 Monkey. They also collected and categorized faults from ten open source
656 Android apps, and categorized Android faults into eight types: *activity error*,
657 *event error*, *dynamic type error*, *unhandled exceptions*, *API error*, *I/O error*,

658 *concurrency error*, and *others*. These categories are too general for use in
659 mutation analysis.

660 The tool Dynodroid [8] creates random values and sequences of events,
661 and uses heuristics to increase the speed of Android Monkey.

662 Some researchers use model-based approaches to generate tests for An-
663 droid apps. By employing Android Monkey, *TEMA* [62] uses state machines
664 (labeled state transition systems) to generate test sequences. However, two
665 levels of state machines (action machine and refinement machine) need to be
666 created by hand. *MobiGUITAR* [29] automates GUI-driven testing of An-
667 droid apps by extracting run-time states of GUI widgets, and generates tests
668 with abstraction of models. Compared with Android Monkey and Dynodroid,
669 *MobiGUITAR* was reported to detect more faults. *ORBIT* [30] creates a GUI
670 model of the app and then generates tests. *A³E* [7] uses static taint analysis
671 algorithms to build a model of the app, which is then used to automatically
672 explore the Activities in the app. These papers focus on constructing models
673 from which tests can be designed, as opposed to applying a test criterion
674 such as mutation.

675 Some papers explore and extend symbolic execution into testing Android
676 apps. Mirzaei et al. [63] created stubs and mock classes to make Android
677 apps run on Java PathFinder (JPF) [64]. Merwe et al. [65, 66] developed
678 JPF-Android by extending JPF to verify Android apps, but the state ex-
679 plosion problem made it difficult to generate complex test inputs. Jensen et
680 al. [67] combined symbolic execution with test sequence generation to sup-
681 port system testing. Their goal was to find valid sequences and inputs that
682 would reach locations in the code. Our research tries to maximize test case
683 effectiveness through mutation testing, an exceptionally strong coverage cri-
684 terion. Anand et al. [68] used dynamic symbolic execution [69, 70] in the
685 form of concolic testing [71] to test an Android library. Their testing used
686 pixel coordinates to identify valid GUI events.

687 Finally, several papers applied evolutionary algorithms [4, 72] to test An-
688 droid apps. They focused on generating inputs for GUI testing of Android
689 apps, instead of using test criteria.

690 6.2. Mutation Testing

691 Mutation testing has been applied to many languages, including Fortran
692 77 [16, 21], C [73], Java [25, 74], Javascript [75], AspectJ [76], and web ap-
693 plications [39]. Several papers also extend mutation analysis to model-level,
694 such as Finite State Machines [77, 78], statecharts [79], Petri nets [80], timed

695 automata [81], and Aspect-oriented models [82]. For GUI-based applications,
696 a specific set of mutation operators [83] are also proposed. However, to our
697 knowledge, mutation testing has not previously been applied to mobile apps.

698 To test messages transmitted between web components, Lee and Offutt
699 applied mutation testing to XML data by defining mutation operators to
700 mutate the interaction recorded in XML files [84]. Test cases are designed to
701 detect the changes made to XML messages. Offutt and Xu approached the
702 problem of input data validation for web services by designing mutation op-
703 erators that modified XML schemas [85]. The approach was verified through
704 experiments on web service applications. The paper used the term *pertur-*
705 *bation* instead of mutation to emphasize that the mutation operators were
706 *perturbing* the input space. Our approach is slightly different. We mutate
707 XML files, but the XML files we mutate do not define input data, they help
708 configure the app.

709 Mutation testing subsumes other test criteria by incorporating appropri-
710 ate mutation operators. Designing effective mutation operators is the most
711 important task when applying mutation to new technology, because the op-
712 erators directly determine the strength of the resulting tests.

713 The cost of mutation testing is very high, as it has the largest number
714 of test requirements among all of test coverage criteria. To reduce this cost,
715 three types of approaches are used: *do-fewer*, *do-smarter*, and *do-faster* [86,
716 87]. As a *do-fewer* approach, *selective mutation* was proposed by Wong and
717 Mathur by only choosing a subset of mutation operators [88, 89]. The muJava
718 tool selects 15 operators to preserve almost the same test coverage as non-
719 selected mutation [25]. Additionally, empirical studies in both Java and C
720 show that Statement Deletion mutation operator (SDL) is able to result in
721 very effective tests with much cheaper cost [41, 42]. Deletion operators are
722 also included in the empirical study of this paper.

723 6.3. Testing GUIs with Mutation

724 The first research paper to use mutation to test GUIs was by Oliveira et
725 al. in 2015 [83]. The paper introduced a way to design comprehensive tests
726 for GUI-related programs, and also defined several challenges with respect
727 to how to killing mutants. In practice, testers usually design tests to check
728 the presence of GUI widgets. For example, with the help of Robotium, the
729 statement

```
730 assertTrue(solo.searchButton("OK"));
```

731 checks whether a button widget with text “OK” is displayed on the screen.

732 To kill deletion-related GUI mutants, such as BWD mutants, testers need to
733 design test code similar to the one above to ensure all widgets are correctly
734 displayed, regardless of their locations.

735 Furthermore, computer vision techniques and graphical test oracles have
736 been used to test GUI and Android apps [90, 91, 92]. These techniques can
737 also be used to kill GUI-related mutants, though they require more empirical
738 studies to validate their value. We can imagine that these killing tests could
739 be especially useful in regression testing, such as when features are added,
740 removed, or adjusted.

741 **7. Conclusions and Future Work**

742 This paper proposes an innovative approach to test Android apps by
743 using mutation analysis. We defined new mutation operators specific to An-
744 droid apps, implemented them in a mutation analysis tool, and conducted
745 an experiment with eight Android apps. The results show that mutation
746 testing can be extended to accommodate program structures novel to An-
747 droid development. Our approach provides more comprehensive testing for
748 Android apps by considering not only Java characteristics, but also XML
749 layout, configuration information, and other Android characteristics.

750 In comparison with our previous paper [9], this paper contributes three
751 additional novel Android mutation operators (FON, BWS, and ORL). We
752 also extended the empirical study from one Android app to eight. In addition
753 to using an Android emulator, we ran our tests on two Android devices with
754 different runtime systems (Dalvik and ART). The tests behaved identically
755 on 100% statement coverage tests in all the three environments.

756 While promising, several research questions remain unanswered. An im-
757 portant evaluation, currently being planned, is to do a full fault study. We
758 will generate tests to kill all non-equivalent mutants, then evaluate those
759 tests to determine how many faults the tests detect, and compare with tests
760 generated for other criteria (possibly statement or branch coverage).

761 As mentioned in Section 6, Hu and Neamtiu [61] categorized Android
762 faults into eight types. However, these categories appear to be too general to
763 be applied in mutation analysis. Instead, we defined new Android mutation
764 operators based on the unique characteristics of Android applications.

765 A well defined Android fault model could improve the power of our mu-
766 tation operators by providing a reference against which to evaluate mutation

767 operators. We are currently developing an Android fault model by investi-
768 gating actual faults in open source repositories.

769 This paper defined eleven Android mutation operators that mutate Java
770 source code, XML layout files, and Android permissions. However, we have
771 not yet considered all aspects of Android apps. For instance, one important
772 distinct characteristic of mobile apps is that they are context-aware. Context-
773 aware apps behave differently when the phone is moving in a vehicle and
774 sitting at a desk. This difference in behavior is not reflected directly in
775 the app code; rather the difference is in how often the app receives an event
776 notification about location. In a sense, location event notifications are inputs
777 that should be modeled as part of the test. We plan additional mutation
778 operators to test context-aware behaviors.

779 We are still improving our Android mutation tool. In particular, we
780 need to make our tool generate fewer stillborn mutants, fewer mutants that
781 immediately crash, and more hard to kill mutants. Also, we hope to employ
782 external well-established frameworks, such as Xposed [93], which has the
783 potential to speed up mutation analysis.

784 The cost of mutation testing Android apps is especially expensive due to
785 the slow speed of Android test execution with Robotium. A single iteration of
786 an experiment required more than 20 hours. Performance could be improved
787 by evaluating mutants in parallel, finding or building a faster test framework,
788 or using fewer mutants. Work in general program mutation suggests that
789 only a small number of generated mutants are necessary [40]; this result
790 may extend to Android mutation as well. We are currently evaluating these
791 approaches.

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