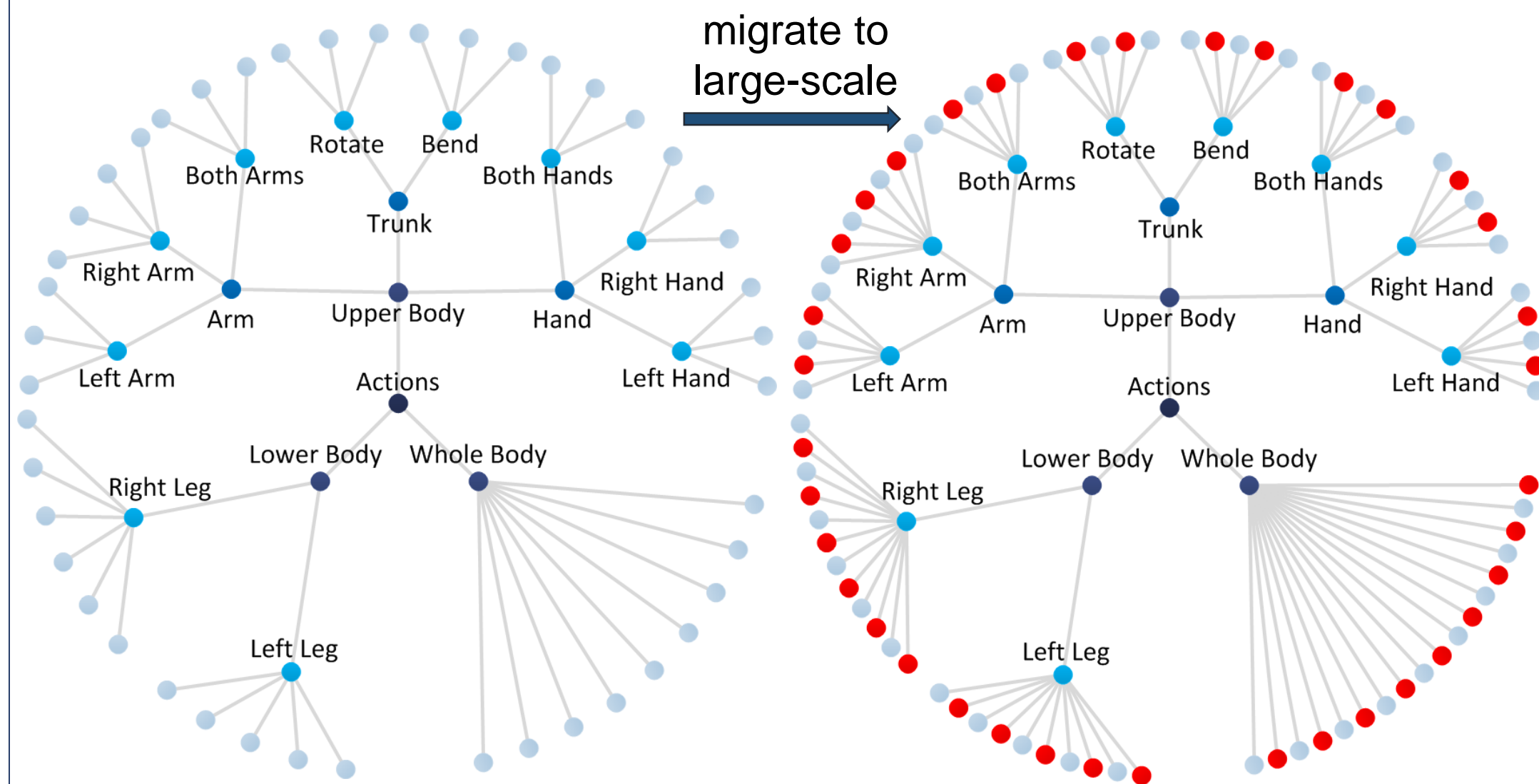


## ABSTRACT

We present the RNN Tree (RNN-T), an adaptive learning framework for skeleton based human action recognition. Our method categorizes action classes and uses multiple Recurrent Neural Networks (RNNs) in a tree-like hierarchy. The RNNs in RNN-T are co-trained with the action category hierarchy, which determines the structure of RNN-T. Actions in skeletal representations are recognized via a hierarchical inference process, during which individual RNNs differentiate finer-grained action classes with increasing confidence. Inference in RNN-T ends when any RNN in the tree recognizes the action with high confidence, or a leaf node is reached. RNN-T effectively addresses two main challenges of large-scale action recognition:

- (i) **able to distinguish fine-grained action classes that are intractable using a single network**, and
- (ii) **adaptive to new action classes by augmenting an existing model**.

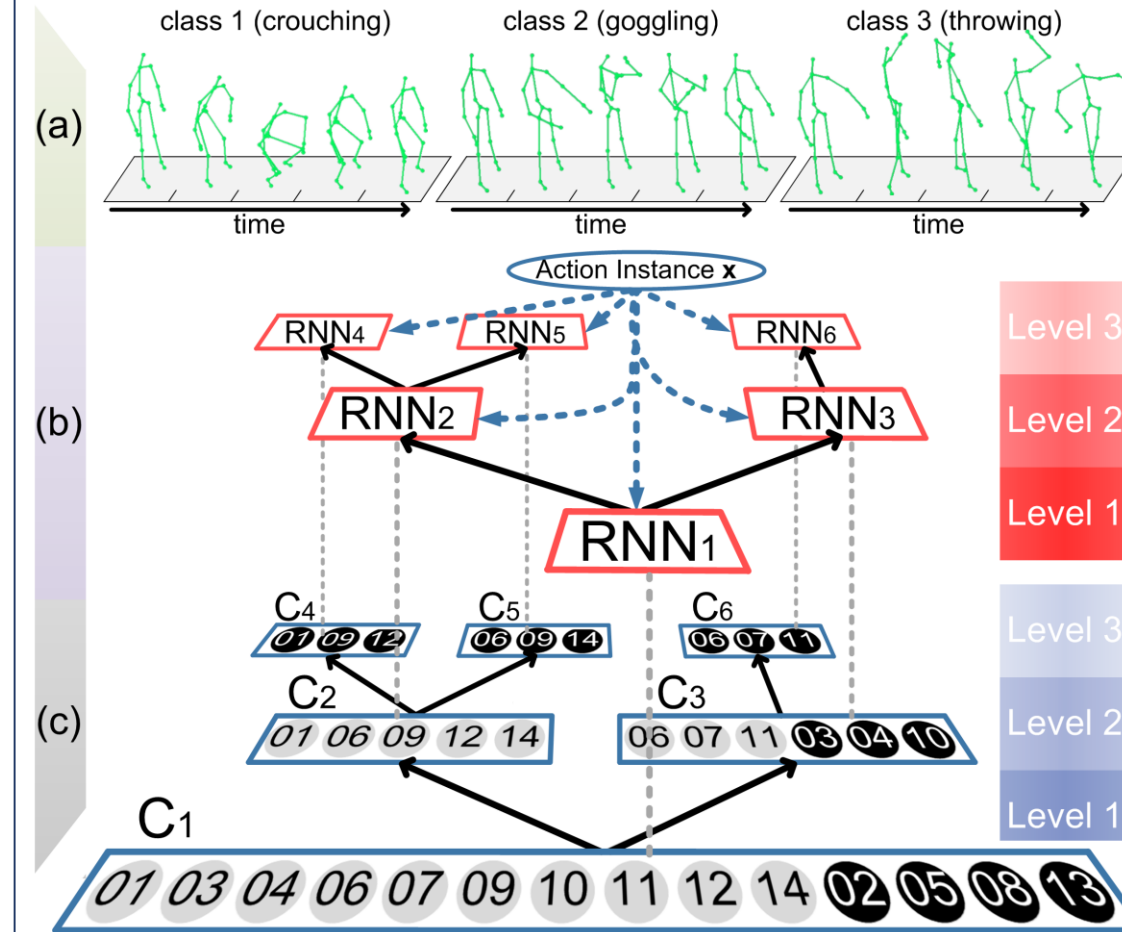
## PROBLEM



## CONTRIBUTIONS

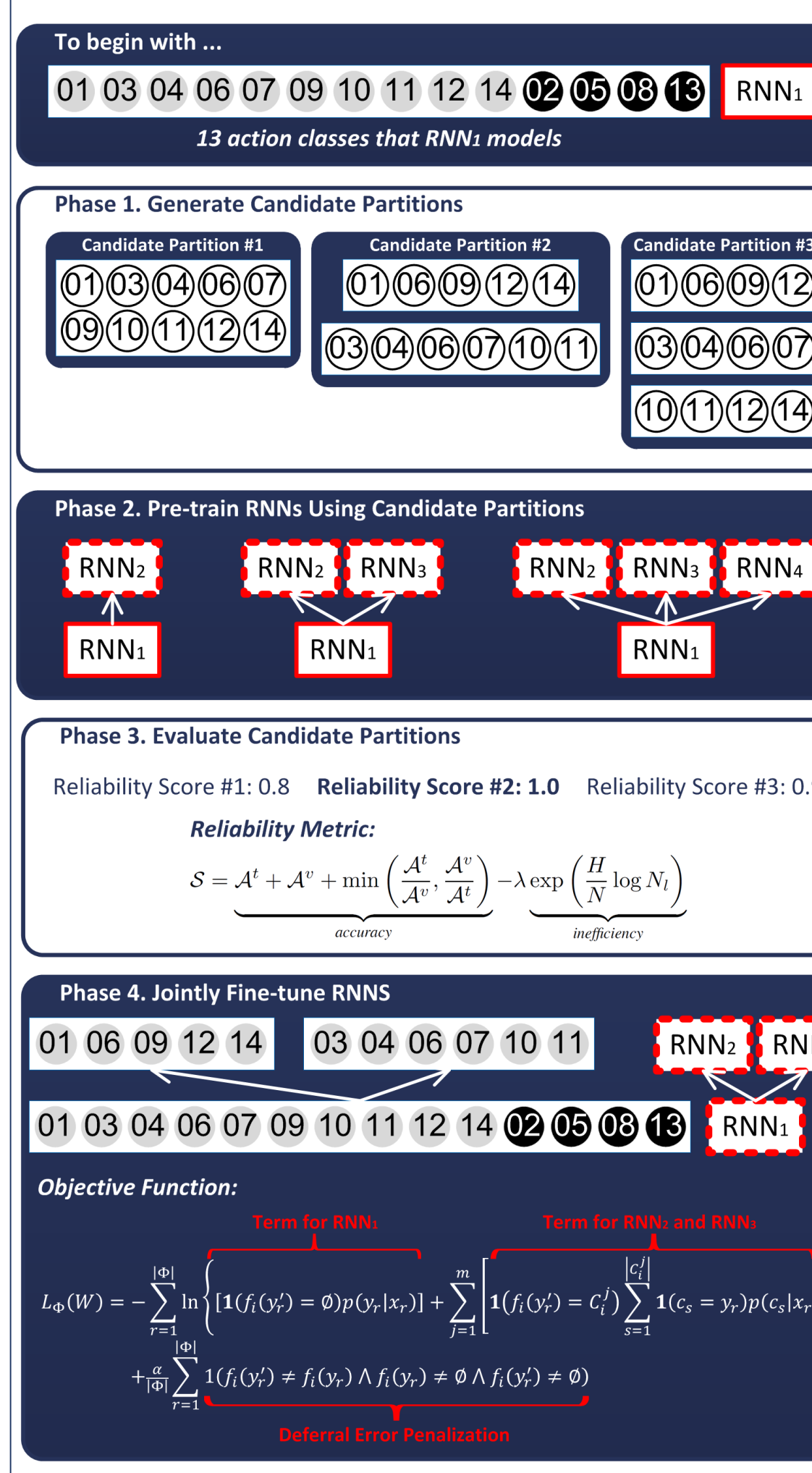
- Design an adaptive learning framework that aggregates multiple discriminative RNNs hierarchically for large-scale skeleton-based action recognition (SAR).
- Propose a novel, adaptive and hierarchical framework for fine-grained, large-scale SAR. Multiple RNNs are incorporated effectively in a tree-like hierarchy to mitigate the discriminative challenge using a divide-and-conquer strategy.
- Develop an effective learning procedure to build RNN-T to achieve high recognition accuracy and running efficiency.
- Design an incremental learning algorithm to make RNN-T adaptable to new classes and to significantly reduce the re-training time.
- Create a large-scale dataset, 3D-SAR-140, with the largest number of action classes to-date, and produce a benchmark to evaluate existing SAR methods and RNN-T based method.

## OVERALL APPROACH



- (a) Visualization of action instances from three action classes.
- (b) A three-level RNN Tree (RNN-T) associated with the learned Action Category Hierarchy (ACH) in (c).
- (c) Each circle represents an action class. Grey circles represent ambiguous classes, and black circles represent unambiguous ones. Action classes in the same box form one action category.

## TRAINING

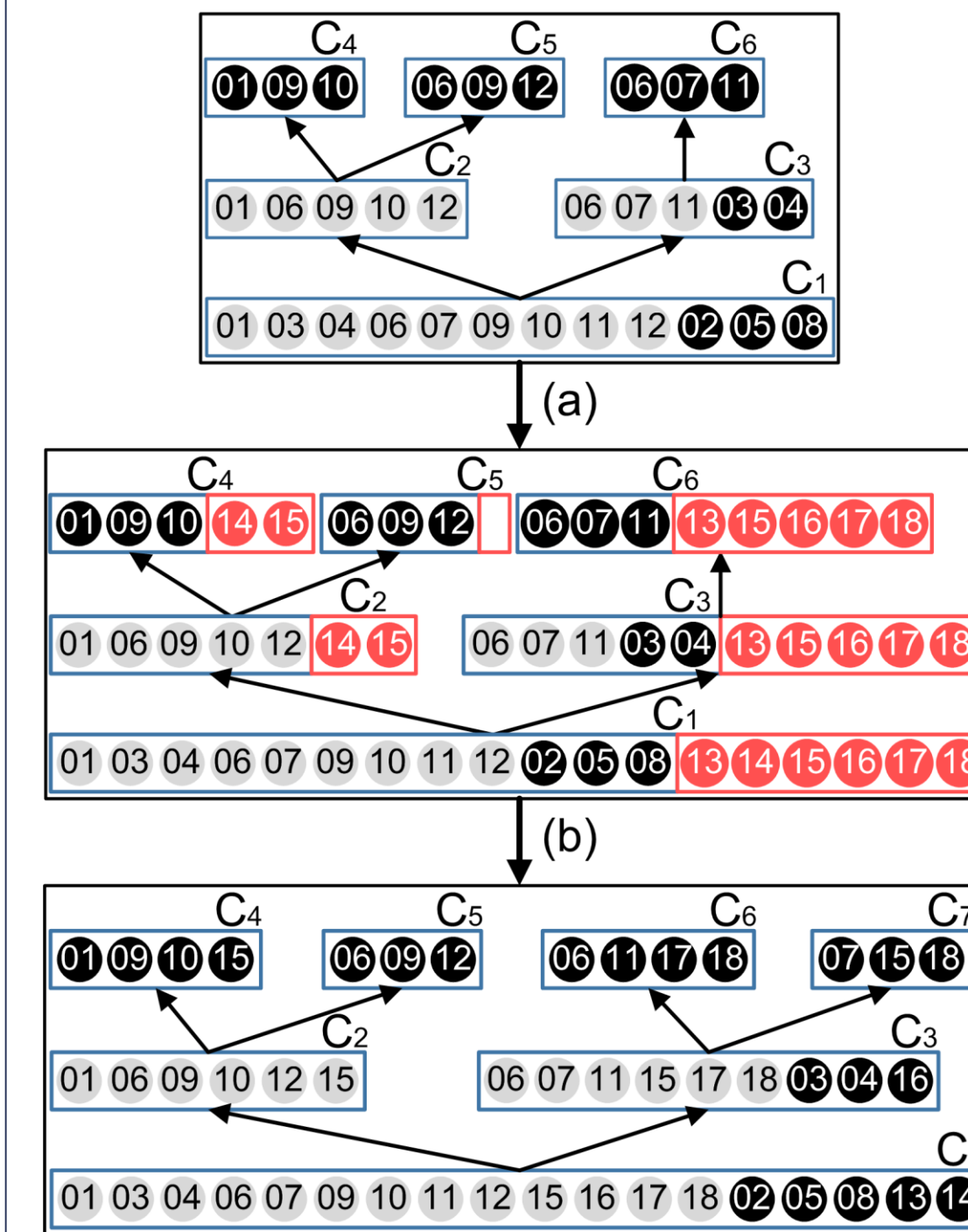


- **To begin with**, we have 13 classes, and train RNN<sub>1</sub> for them.
- **Phase 1.** We identify ambiguous classes, whose labels can not be confidently determined with RNN<sub>1</sub>. These classes are divided into sub-categories to form new categories of the next level. Instead of using a fixed partition, we generate multiple candidate partitions by repeatedly running a spectral clustering algorithm.
- **Phase 2.** For each candidate partition, a set of RNNs are pre-trained independently.
- **Phase 3.** The optimal partition is determined based on a reliability metric, which captures the recognition accuracy for training and validation splits, and penalizes the inefficiency of the tree structure.
- **Phase 4.** RNNs corresponding to the newly generated categories are fine tuned jointly.  $f_i(\cdot)$  is the lookup table of RNN<sub>i</sub> for deferral.  $C_i^j$  is the j-th child category of the i-th category.  $\Phi$  represents the training dataset.

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## INCREMENTAL LEARNING



- An example of ACH after each incremental learning procedure. Red circles represent new action classes.
- (a) Insert new classes: All action categories accommodate new classes except C<sub>5</sub>, which does not contain similar classes to the new ones.
  - (b) Update ACH and RNN-T: Minor changes occur in C<sub>1</sub>, C<sub>2</sub>, and C<sub>4</sub>, and their corresponding RNNs are incrementally updated. The sub-tree starting from C<sub>3</sub> is rebuilt due to drastic changes.

## EXPERIMENTS

We create a new dataset with 140 diverse action classes by aggregating all distinct classes from 10 existing datasets, which we name 3D-SAR-140. The 10 existing datasets are CMU Mocap [3] (23), ChaLearn Italian [6] (20), MSR-12 Gesture [7] (12), MSR Action3D [16] (20), HDM05 [18] (65), Kintense [19] (10), Berkeley MHAD [20] (12), MSR Daily Activity 3D [29] (13), UTKinect-Action [32] (10), and ORGBD [34] (7), where the number of classes are shown in the parentheses. The number of sequences per class is 28 on average, and the frame rate is normalized to 20 frames-per-second (FPS), and the human skeleton is represented by 20 skeletal joints. We partition 60% of the 3D-SAR-140 as the training set, 20% as the validation set, and the remaining 20% as the testing set.

Table 1. Recognition results on 3D-SAR-140.

Methods	Accur.	Our Methods	Accur.
URNN	0.296	URNN-T	0.539
URNN-L	0.665	URNN-L-T	0.743
BRNN	0.643	BRNN-T	0.705
BRNN-L	0.672	BRNN-L-T	0.751
URNN-2L	0.866	URNN-2L-T	<b>0.892</b>
RR [28]	0.723	HBRNN-L-T (4 levels)	0.756
HBRNN-L [5]	0.604	HBRNN-L-T (3 levels)	0.750
CHARM [14]	0.618	HBRNN-L-T (2 levels)	0.735
DBN-HMM [31]	0.601	HBRNN-L-T (1 level)	0.604
Lie-group [27]	0.745	HBRNN-L-T w/o EJR	0.700
HOD [8]	0.657	HBRNN-L-T w/o IP	0.697
MP [35]	0.203	HBRNN-L-T w/o FT	0.733
SSS [36]	0.253		

Figure 1. Incremental learning recognition results on 3D-SAR-140.

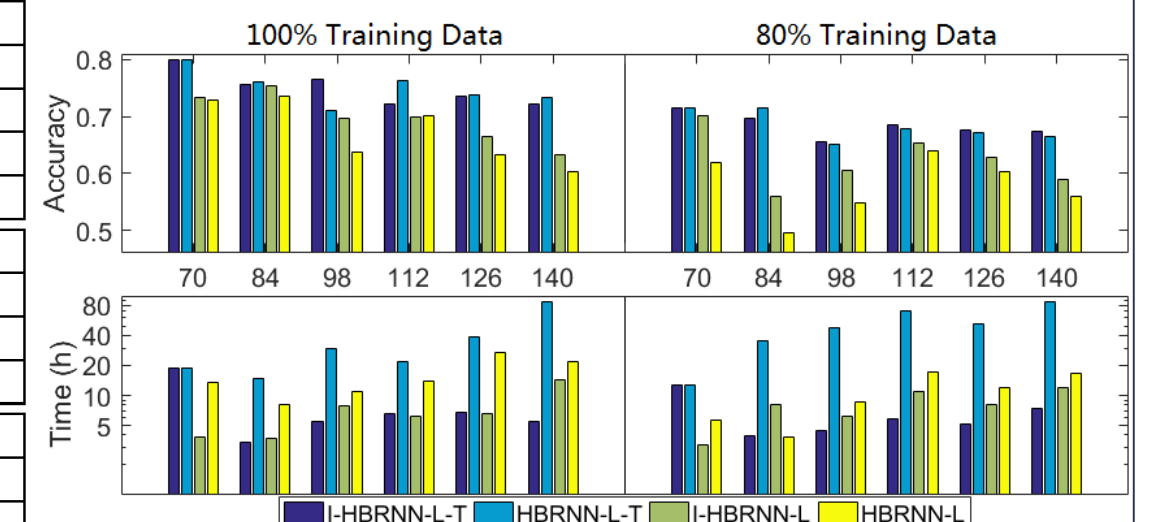


Figure 2. Recognition results on 10 existing datasets.

