# **Cyber-Physical Systems**

#### Deadline based Scheduling UNIVERSITY State yersity of New York

#### ICEN 553/453– Fall 2020

#### Prof. Dola Saha



# **Real-Time Systems**

The operating system, and in particular the scheduler, is perhaps the most  $\geq$ important component



- Control of laboratory experiments
- Process control in industrial plants
- Robotics
- Air traffic control
- Telecommunications
- Military command and control systems
- Correctness of the system depends not only on the logical result of the computation but also on the time at which the results are produced
- Tasks attempt to react to events that take place in the outside world  $\geq$
- These events occur in "real time" and tasks must be able to keep up with them



#### Hard and Soft Real-Time Tasks

#### ≻ Hard

- One that must meet its deadline
- Otherwise it will cause unacceptable damage or a fatal error to the system

#### ≻ Soft

- Has an associated deadline that is desirable but not mandatory
- It still makes sense to schedule and complete the task even if it has passed its deadline



## **Periodic and Aperiodic Tasks**

#### Periodic tasks

- Requirement may be stated as:
  - $\circ$  Once per period *T*
  - $\circ$  Exactly *T* units apart

#### > Aperiodic tasks

- Has a deadline by which it must finish or start
- May have a constraint on both start and finish time



#### **Characteristics of Real Time Systems**

# Real-time operating systems have requirements in five general areas:

#### Determinism

Responsiveness

User control

Reliability

Fail-soft operation



- Concerned with how long an operating system delays before acknowledging an interrupt
- > Operations are performed at fixed, predetermined times or within predetermined time intervals
  - When multiple processes are competing for resources and processor time, no system will be fully deterministic

The extent to which an operating system can deterministically satisfy requests depends on:

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The speed with which it can respond to interrupts Whether the system has sufficient capacity to handle all requests within the required time

- Together with determinism make up the response time to external events
  - Critical for real-time systems that must meet timing requirements imposed by individuals, devices, and data flows external to the system
- Concerned with how long, after acknowledgment, it takes an operating system to service the interrupt

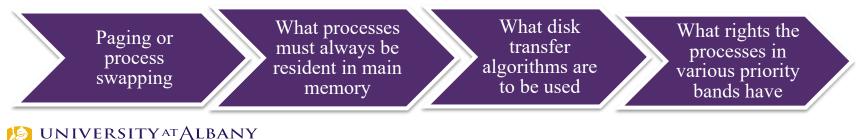
#### Responsiveness includes:

- Amount of time required to initially handle the interrupt and begin execution of the interrupt service routine
- Amount of time required to perform the ISR
- Effect of interrupt nesting

#### **User Control**

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- Generally much broader in a real-time operating system than in ordinary operating systems
- It is essential to allow the user fine-grained control over task priority
- User should be able to distinguish between hard and soft tasks and to specify relative priorities within each class
- > May allow user to specify such characteristics as:



# Reliability

- More important for real-time systems than nonreal time systems
- Real-time systems respond to and control events in real time so loss or degradation of performance may have catastrophic consequences such as:
  - Financial loss
  - Major equipment damage
  - $\circ$  Loss of life



- A characteristic that refers to the ability of a system to fail in such a way as to preserve as much capability and data as possible
- > Important aspect is stability
  - A real-time system is stable if the system will meet the deadlines of its most critical, highest-priority tasks even if some less critical task deadlines are not always met



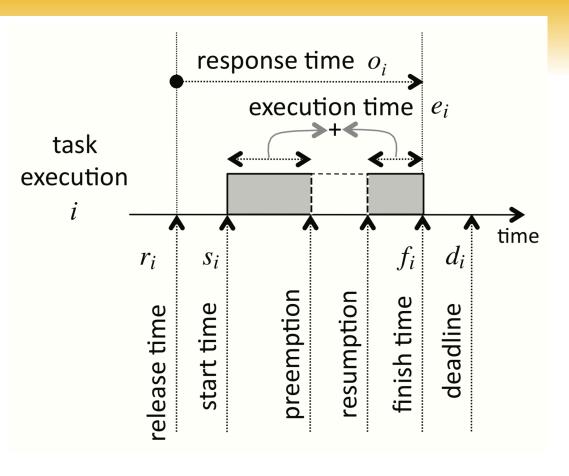
#### **Features common to Most RTOSs**

- A stricter use of priorities than in an ordinary OS, with preemptive scheduling that is designed to meet real-time requirements
- Interrupt latency is bounded and relatively short
- More precise and predictable timing characteristics than general purpose OSs



#### **Task Model**

 $s_i \ge r_i$  $f_i \ge s_i$  $o_i = f_i - r_i$ 





## **Scheduling Strategies**

Goal: all task executions meet their deadlines

$$f_i \leq d_i$$

- A schedule that accomplishes this is called a feasible schedule.
- A scheduler that yields a feasible schedule for any task set is said to be optimal with respect to feasibility.



## **Criteria or Metrices**

- $\succ$  Processor Utilization  $\mu$
- Maximum Lateness

$$L_{\max} = \max_{i \in T} (f_i - d_i)$$

- > Total Completion Time or Makespan  $M = \max_{i \in T} f_i - \min_{i \in T} r_i$
- > Average Response Time

$$\overline{t_r} = \frac{1}{n} \sum_{i=1}^n (f_i - a_i)$$



## **Rate Monotonic Scheduling**

- Simple process model: n tasks invoked periodically with:
  - periods T1, ..., Tn, which equal the deadlines
  - known worst-case execution times (WCET) C1, ..., Cn
    - $\circ$  no mutexes, semaphores, or blocking I/O
  - independent tasks, no precedence constraints
  - fixed priorities
  - preemptive scheduling
- Rate Monotonic Scheduling (RMS): priorities ordered by period (smallest period has the highest priority)

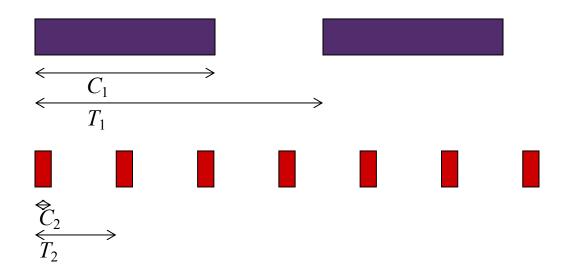


## **Feasibility for RMS**

- Feasibility is defined for RMS to mean that every task executes to completion once within its designated period.
- Theorem: Under the simple process model, if any priority assignment yields a feasible schedule, then RMS also yields a feasible schedule.
- > RMS is optimal in the sense of feasibility.

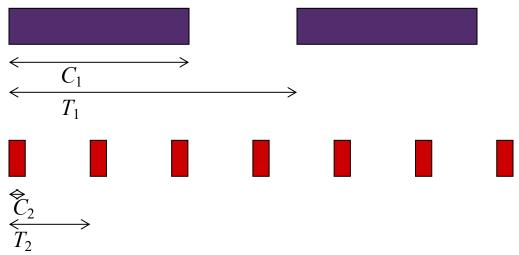
Liu and Layland, "Scheduling algorithms for multiprogramming in a hard-real-time environment," J. ACM, 1973.

- > Consider two tasks with different periods.
- > Is a non-preemptive schedule feasible?



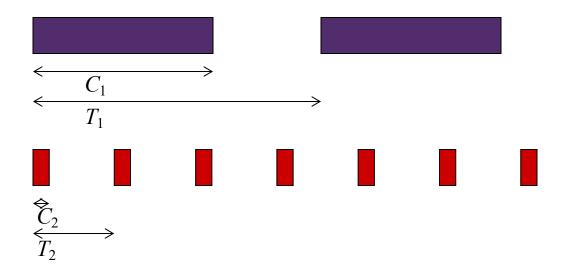


Non-preemptive schedule is not feasible. Some instance of the Red Task (2) will not finish within its period if we do non-preemptive scheduling.



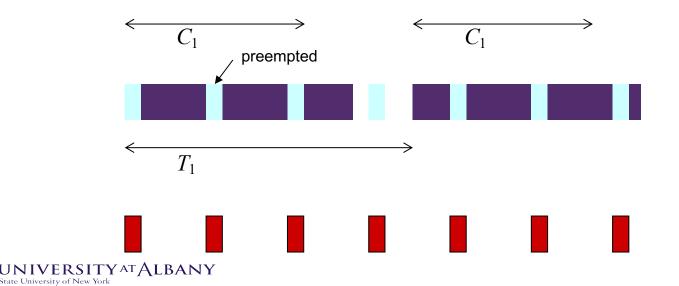


#### What if we had a preemptive scheduling with higher priority for red task?





Preemptive schedule with the red task having higher priority is feasible. Note that preemption of the purple task extends its completion time.



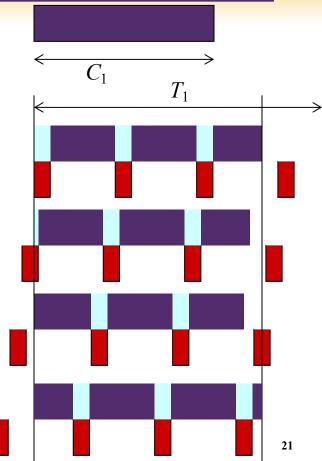
## **Alignment of tasks**

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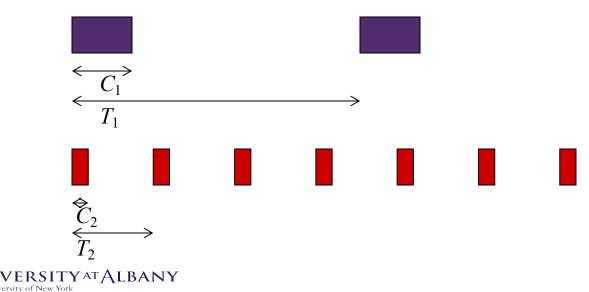
Completion time of the lower priority task is worst when its *starting phase* matches that of higher priority tasks.

Thus, when checking schedule feasibility, it is sufficient to consider only the worst case: All tasks start their cycles at the same time.



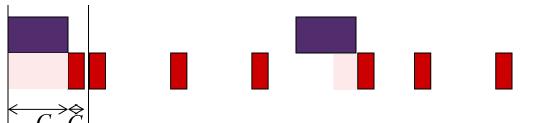
# **Showing Optimality of RMS: (two tasks)**

- It is sufficient to show that if a non-RMS schedule is feasible, then the RMS schedule is feasible.
- Consider two tasks as follows:



# **Showing Optimality of RMS: (two tasks)**

The non-RMS, fixed priority schedule looks like this:



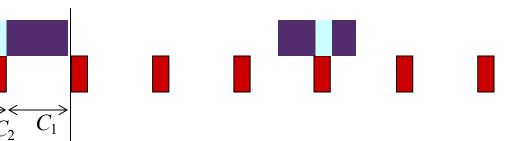
From this, we can see that the non-RMS schedule is feasible if and only if  $C_1 + C_2 \le T_2$ 

We can then show that this condition implies that the RMS schedule is feasible.



# **Showing Optimality of RMS: (two tasks)**

The RMS schedule looks like this: (task with smaller period moves earlier)



The condition for the non-RMS schedule feasibility:  $C_1 + C_2 \leq T_2$ 

is clearly sufficient (though not necessary) for feasibility of the RMS schedule.



 $\overline{T_2}$ 

#### Comments

- This proof can be extended to an arbitrary number of tasks (though it gets much more tedious).
- > This proof gives optimality only w.r.t. feasibility.
- > Practical implementation:
  - Timer interrupt at greatest common divisor of the periods.
  - Multiple timers



# **RM Scheduler: Processor Utilization** $\mu = \sum_{i=1}^{n} \frac{e_i}{p_i}$

- If μ > 1 for any task set, then that task set has no feasible schedule
- > Utilization Bound: RMS is feasible  $\mu \leq n(2^{1/n} 1)$
- > As n gets large,  $\lim_{n \to \infty} n(2^{1/n} 1) = \ln(2) \approx 0.693.$
- If a task set with any number of tasks does not attempt to use more than 69.3% of the available processor time, then the RM schedule will meet all deadlines.

Liu and Layland, "Scheduling algorithms for multiprogramming in a hard-real-time environment," J. ACM, 1973. INIVERSITY AT ALBANY State University of New York
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# Jackson's Algorithm: EDD (1955)

- Solution Given n independent one-time tasks with deadlines  $d_1, \ldots, d_n, \text{ schedule them to minimize the maximum lateness, defined as}$   $L_{\max} = \max_{1 \le i \le n} \{f_i d_i\}$
- > where  $f_i$  is the finishing time of task *i*. Note that this is negative iff all deadlines are met.
- Earliest Due Date (EDD) algorithm: Execute them in order of non-decreasing deadlines.
- > Note that this does not require preemption.



#### **EDD** is Optimal

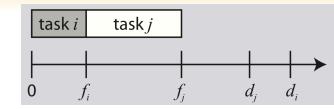
- Optimal in the Sense of Minimizing Maximum Lateness
  - To prove, use an interchange argument. Given a schedule S that is not EDD, there must be tasks a and b where a immediately precedes b in the schedule but
    - $d_a > d_b$ . Why?
  - We can prove that this schedule can be improved by interchanging a and b. Thus, no non-EDD schedule is achieves smaller max lateness than EDD, so the EDD schedule must be optimal.



#### **Maximum Lateness**

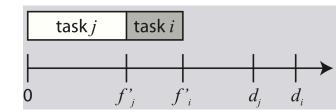
First Schedule (non-EDD)  

$$L_{\max} = \max(f_i - d_i, f_j - d_j) = f_j - d_j$$



• where  $f_i \leq f_j$  and  $d_j < d_i$ 

Second Schedule (EDD)  
$$L'_{\max} = \max(f'_i - d_i, f'_j - d_j)$$





#### **Consider Cases**

Case 1: 
$$L'_{\max} = f'_i - d_i$$
  
 $f'_i = f_j \ d_j < d_i$   
 $L'_{\max} = f_j - d_i \le f_j - d_j$   
Hence,  $L'_{\max} \le L_{\max}$   
Case 2:  $L'_{\max} = f'_j - d_j$   
 $f'_j \le f_j$   
 $L'_{\max} \le f_j - d_j$   
 $L'_{\max} \le f_j - d_j$ 

Hence,  $L'_{\max} \leq L_{\max}$ 

In both cases, the second schedule has a maximum lateness no greater than that of the first schedule. EDD minimizes maximum lateness.

task j

task i

 $f'_i$ 

f'

 $d_i$ 

## Horn's algorithm: EDF (1974)

- Extend EDD by allowing tasks to "arrive" (become ready) at any time.
- Earliest deadline first (EDF): Given a set of n independent tasks with *arbitrary arrival times*, any algorithm that at any instant executes the task with the earliest absolute deadline among all arrived tasks is optimal w.r.t. minimizing the maximum lateness.
- > Proof uses a similar interchange argument.



# **Using EDF for Periodic Tasks**

- The EDF algorithm can be applied to periodic tasks as well as aperiodic tasks.
  - Simplest use: Deadline is the end of the period.
  - Alternative use: Separately specify deadline (relative to the period start time) and period.



#### **RMS vs. EDF? Which one is better?**

> What are the pros and cons of each?



#### **Comparison of EDF and RMS**

#### Favoring RMS

 Scheduling decisions are simpler (fixed priorities vs. the dynamic priorities required by EDF. EDF scheduler must maintain a list of ready tasks that is sorted by priority.)



# **Comparison of EDF and RMS**

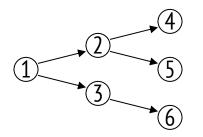
#### Favoring EDF

- Since EDF is optimal w.r.t. maximum lateness, it is also optimal w.r.t. feasibility. RMS is only optimal w.r.t. feasibility.
- For infeasible schedules, RMS completely blocks lower priority tasks, resulting in unbounded maximum lateness.
- EDF can achieve full utilization where RMS fails to do that.
- EDF results in fewer preemptions in practice, and hence less overhead for context switching.

Deadlines can be different from the period.
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#### **Precedence Constraints**

A directed acyclic graph (DAG) shows precedences, which indicate which tasks must complete before other tasks start.

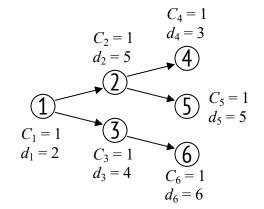


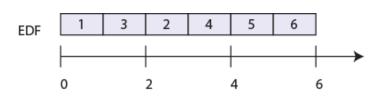
DAG, showing that task 1 must complete before tasks 2 and 3 can be started, etc.



### **Example: EDF Schedule**

#### > Is this feasible?

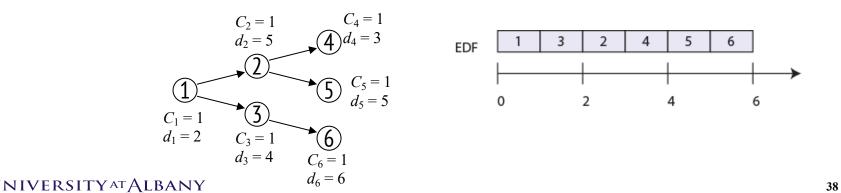




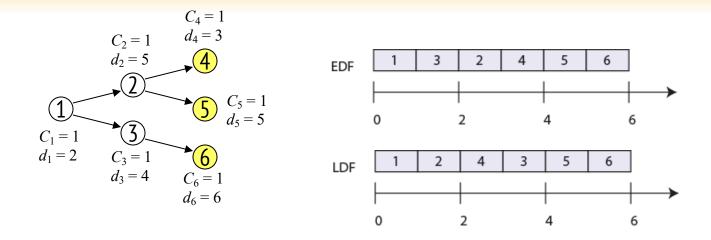


#### **EDF** is not optimal under precedence constraints

The EDF schedule chooses task 3 at time 1 because it has an earlier deadline. This choice results in task 4 missing its deadline.

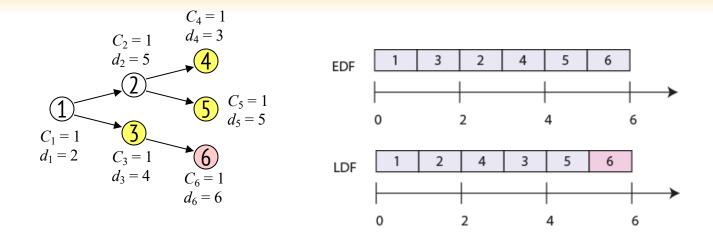


# Latest Deadline First (LDF) Lawler 1973

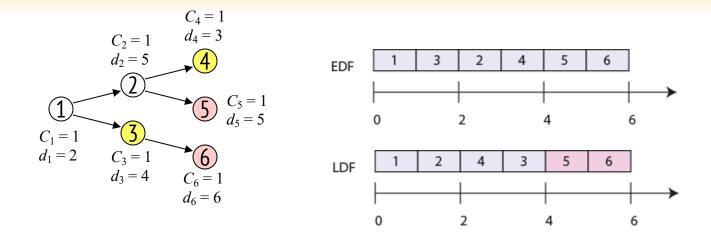


The LDF scheduling strategy builds a schedule backwards. Given a DAG, choose the leaf node with the latest deadline to be scheduled last, and work backwards.

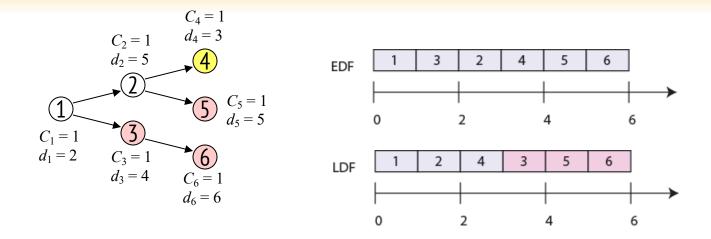
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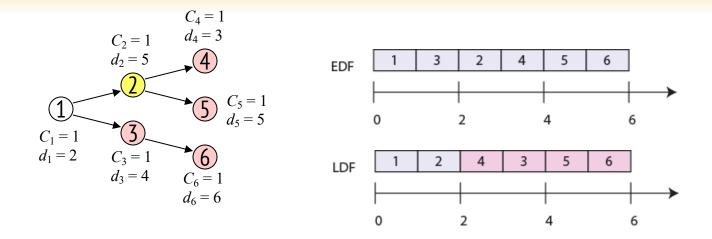
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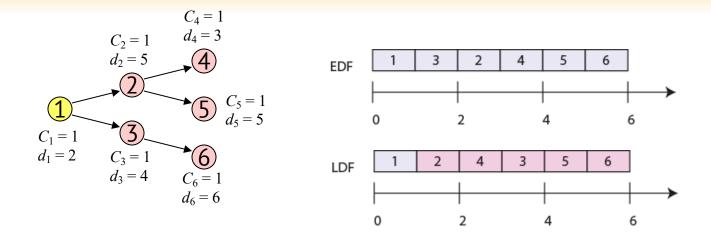
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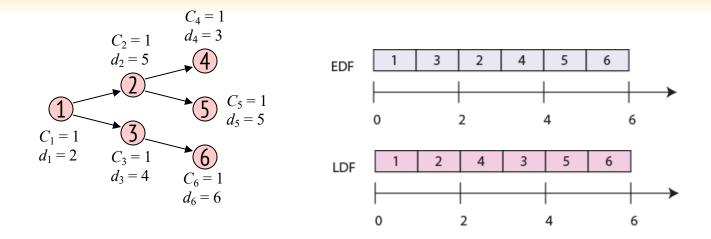
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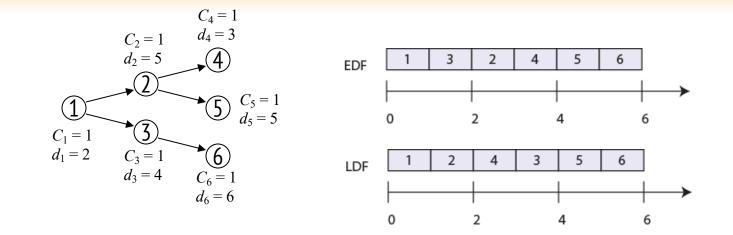
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#### LDF is optimal for precedence constraints



The LDF schedule shown at the bottom respects all precedences and meets all deadlines.

> Also minimizes maximum lateness



LDF is optimal in the sense that it minimizes the maximum lateness.

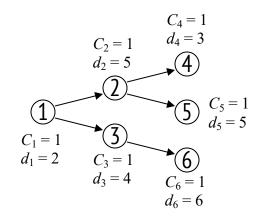
It does not require preemption. (We'll see that EDF can be made to work with preemption.)

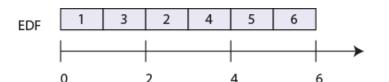
However, it requires that all tasks be available and their precedences known before any task is executed.



#### **EDF with Precedences or EDF\***

With a preemptive scheduler, EDF can be modified to account for precedences and to allow tasks to arrive at arbitrary times. Simply adjust the deadlines and arrival times according to the precedences.



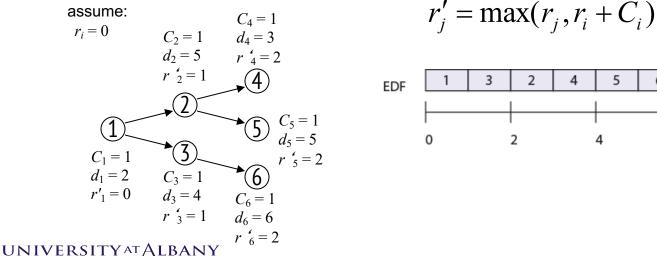


Recall that for the tasks at the left, EDF yields the schedule above, where task 4 misses its deadline.



#### **EDF with Precedences: Modifying Release Times**

#### Given *n* tasks with precedences and release times *r<sub>i</sub>*, if task *i* immediately precedes task *j*, then modify the release times as follows:



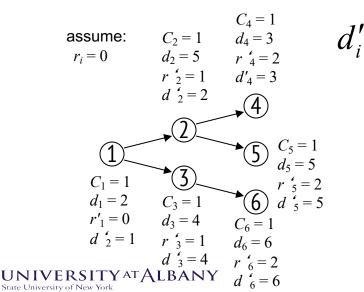
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#### **EDF with Precedences: Modifying Deadlines**

Given *n* tasks with precedences and deadlines d<sub>i</sub>, if task *i* immediately precedes task *j*, then modify the deadlines as follows:



Using the revised release times and deadlines, the above EDF schedule is optimal and meets all deadlines.

# **Optimality**

Generalized modified deadline

$$d'_i = \min(d_i, \min_{j \in D(i)} (d'_j - e_j))$$

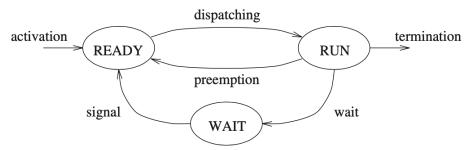
EDF with precedences is optimal in the sense of minimizing the maximum lateness.



# **Scheduling in Shared Resource**

# concurrent tasks use shared resources in exclusive mode

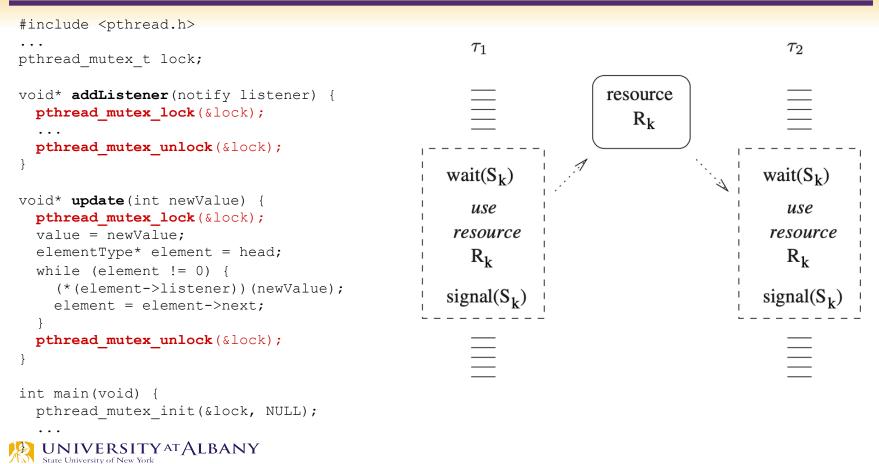
> Recall: critical section and mutexes/semaphores



A task waiting for an exclusive resource is said to be *blocked* on that resource

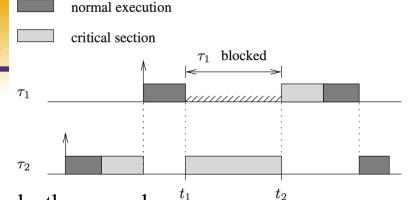
Giorgio C. Buttazzo, *Hard Real-Time Computing Systems*, Springer, 2004. UNIVERSITY AT ALBANY

## Two tasks sharing exclusive resources



# **Blocking on critical section**

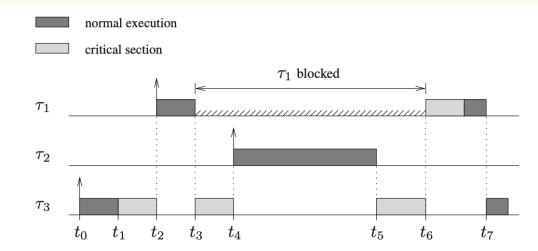
- >  $\tau_1$  has a higher priority than  $\tau_2$
- >  $\tau_2$  is activated first



- > While  $\tau_2$  is executing the critical section
  - task  $\tau_1$  arrives, and it preempts  $\tau_2$  as it has higher priority and starts executing.
- > At  $t_1$ ,  $\tau_1$  is blocked on the semaphore, so  $\tau_2$  resumes
- > At  $t_2$ ,  $\tau_2$  releases the critical section
- Maximum blocking time of τ<sub>1</sub> is equal to the time needed by τ<sub>2</sub> to execute its critical section.



# **Priority Inversion with Mutex**



A priority inversion is said to occur in the interval [t<sub>3</sub>, t<sub>6</sub>], since the highest-priority task τ<sub>1</sub> waits for the execution of lower-priority tasks (τ<sub>2</sub> and τ<sub>3</sub>).



# **Priority Inversion: Why is it a problem?**

- > Maximum blocking time of  $\tau_1$  depends on
  - the length of the critical section executed by  $\tau_3$
  - the worst-case execution time of  $\tau_2$
- Can lead to uncontrolled blocking (with multiple medium priority tasks)
  - can cause critical deadlines to be missed
- > The duration of priority inversion is unbounded



## **Resource Access Protocols to avoid PI**

- Non-Preemptive Protocol (NPP)
- > Highest Locker Priority (HLP) or Immediate Priority Ceiling (IPC)
- > Priority Inheritance Protocol (PIP)
- > Priority Ceiling Protocol (PCP)
- Stack Resource Policy (SRP)



# Terminology

- > n periodic tasks,  $\tau_1, \tau_2, ..., \tau_n$
- > m shared resources,  $R_1, R_2, ..., R_m$
- Each task is characterized by
  - a period T<sub>i</sub>
  - a worst-case computation time C<sub>i</sub>
- > Each resource  $R_k$  is guarded by a distinct semaphore  $S_k$
- each task is characterized by
  - a fixed *nominal* priority P<sub>i</sub> (assigned by the algorithm) and
  - an *active* priority  $p_i$  ( $p_i \ge P_i$ ), which is dynamic and initially set to  $P_i$



# Terminology

 $B_i$  denotes the maximum blocking time task  $\tau_i$  can experience.

 $z_{i,k}$  denotes a generic critical section of task  $\tau_i$  guarded by semaphore  $S_k$ .

 $Z_{i,k}$  denotes the longest critical section of task  $\tau_i$  guarded by semaphore  $S_k$ .  $\delta_{i,k}$  denotes the duration of  $Z_{i,k}$ .

 $z_{i,h} \subset z_{i,k}$  indicates that  $z_{i,h}$  is entirely contained in  $z_{i,k}$ .

 $\sigma_i$  denotes the set of semaphores used by  $\tau_i$ .

 $\sigma_{i,j}$  denotes the set of semaphores that can block  $\tau_i$ , used by the lower-priority task  $\tau_j$ .



# Terminology

 $\gamma_{i,j}$  denotes the set of the longest critical sections that can block  $\tau_i$ , accessed by the lower priority task  $\tau_j$ . That is,

$$\gamma_{i,j} = \{ Z_{j,k} \mid (P_j < P_i) \text{ and } (S_k \in \sigma_{i,j}) \}$$

$$(7.1)$$

 $\gamma_i$  denotes the set of all the longest critical sections that can block  $\tau_i$ . That is,

$$\gamma_i = \bigcup_{j:P_j < P_i} \gamma_{i,j} \tag{7.2}$$



## Assumptions

- > Priorities:
  - Tasks  $\tau_1$ ,  $\tau_2$ , ...,  $\tau_n$  have different priorities
  - They are listed in descending order of nominal priority
  - τ<sub>1</sub> has the highest nominal priority
- > Tasks do not suspend themselves on I/O
- > The critical sections used by any task are *properly* nested
  - given any pair  $z_{i,h}$  and  $z_{i,k}$

either  $z_{i,h} \subset z_{i,k}, z_{i,k} \subset z_{i,h}$ , or  $z_{i,h} \cap z_{i,k} = \emptyset$ 

Critical sections are guarded by binary semaphores



# **Non-Preemptive Protocol**

- Disallow preemption during the execution of any critical section
- Raise the priority of a task to the highest priority level whenever it enters a shared resource

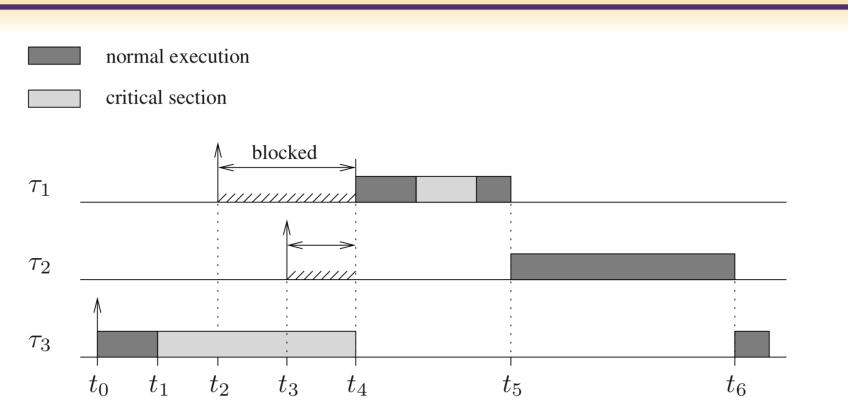
as a task  $\tau_i$  enters a resource  $R_k$ , its dynamic priority is raised to the level:

$$p_i(R_k) = \max_h \{P_h\}.$$

> The dynamic priority is then reset to the nominal value  $P_i$  when the task exits the critical section



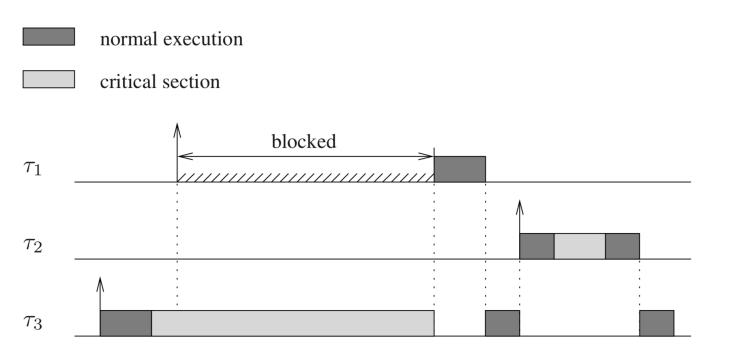
#### **Example (NPP preventing priority inversion)**





# NPP causes unnecessary blocking

 $au_1$  is the highest-priority task that does not use any resource





# **Blocking Time Computation (NPP)**

> task  $\tau_i$  cannot preempt a lower priority task  $\tau_j$  if  $\tau_j$  is inside a critical section

$$\gamma_i = \{ Z_{j,k} \mid P_j < P_i, \ k = 1, \dots, m \}$$

- a task inside a resource R cannot be preempted, only one resource can be locked at any time t
- > a task  $\tau_i$  can be blocked at most for the length of a single critical section belonging to lower priority tasks
- maximum blocking time τ<sub>i</sub> is the duration of the longest critical section of lower priority tasks

$$B_i = \max_{j,k} \{ \delta_{j,k} - 1 \mid Z_{j,k} \in \gamma_i \}$$

> one unit of time is subtracted from  $\delta_{j,k}$  since  $Z_{j,k}$  must start before the arrival of  $\tau_i$  to block it



# **Highest Locker Priority (HLP)**

- Raises the priority of a task that enters a resource R<sub>k</sub> to the highest priority among the tasks sharing that resource
- as soon as a task τ<sub>i</sub> enters a resource R<sub>k</sub>, its dynamic priority is raised to the level

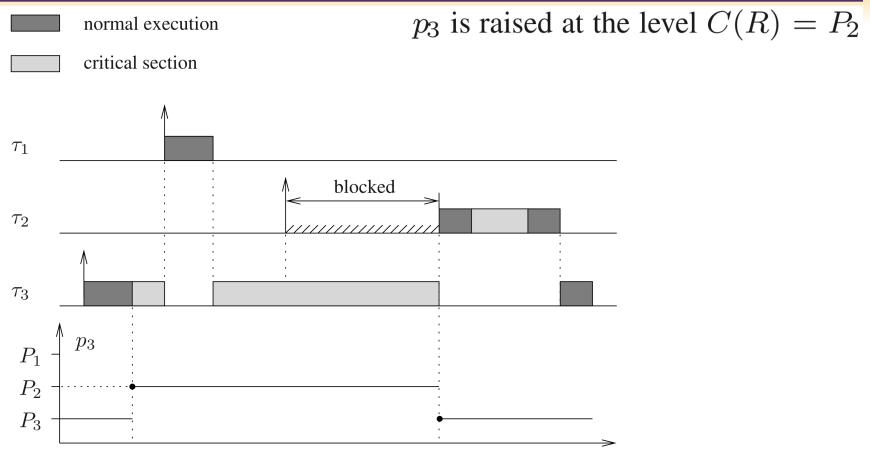
$$p_i(R_k) = \max_h \{ P_h \mid \tau_h \text{ uses } R_k \}$$

each resource R<sub>k</sub> is assigned a priority ceiling C(R<sub>k</sub>)
 (computed off-line) equal to the maximum priority of the tasks sharing R<sub>k</sub>

$$C(R_k) \stackrel{\text{def}}{=} \max_h \{ P_h \mid \tau_h \text{ uses } R_k \}$$

Also termed Immediate Priority Ceiling
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### **HLP Example**



# **Blocking Time (HLP)**

- a task τ<sub>i</sub> can only be blocked by critical sections belonging to lower priority tasks with a resource ceiling higher than or equal to P<sub>i</sub>
- > a task can be blocked at most once (Proof in the book)
- be the maximum blocking time of τ<sub>i</sub> is given by the duration of the longest critical section among those that can block τ<sub>i</sub>

$$B_i = \max_{j,k} \{ \delta_{j,k} - 1 \mid Z_{j,k} \in \gamma_i \}$$



# **Priority Inheritance Protocol (PIP)**

- When a task τ<sub>i</sub> blocks one or more higher-priority tasks, it temporarily assumes (*inherits*) the highest priority of the blocked tasks
- > When a task  $\tau_i$  is blocked on a semaphore, it transmits its active priority to the task  $\tau_j$ , that holds that semaphore
- >  $\tau_j$  executes the rest of its critical section with a priority  $p_j = p_i$ .  $p_j(R_k) = \max\{P_j, \max_h \{P_h | \tau_h \text{ is blocked on } R_k\}\}$
- > When  $\tau_j$  exits a critical section the active priority of  $\tau_j$  is updated
  - if no other tasks are blocked by  $\tau_j$ ,  $p_j$  is set to  $P_j$
  - otherwise it is set to the highest priority of the tasks blocked by  $\tau_j$
- Priority inheritance is transitive
  - if a task τ<sub>3</sub> blocks a task τ<sub>2</sub>, and τ<sub>2</sub> blocks a task τ<sub>1</sub>, then τ<sub>3</sub> inherits the priority of τ<sub>1</sub> via τ<sub>2</sub>



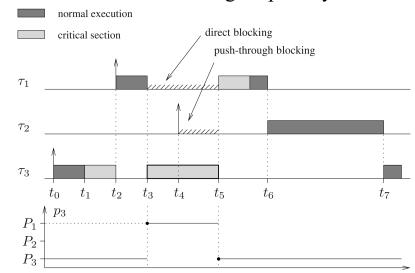
# **Types of Blocking in PIP**

> Direct

- a higher-priority task tries to acquire a resource held by a lower-priority task
- Required to ensure consistency of shared resource
- Push-through

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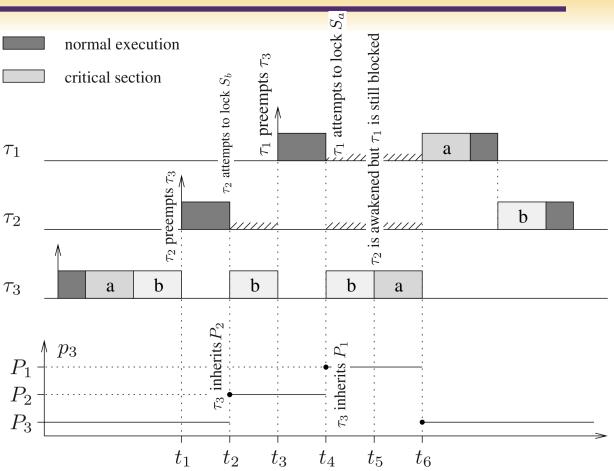
- a medium-priority task is blocked by a low-priority task that has inherited a higher priority from a task it directly blocks
- Required to void unbounded priority inversion



# **Nested Critical Section (PIP)**

- task τ<sub>1</sub> uses a resource R<sub>a</sub> guarded by a semaphore S<sub>a</sub>,
- task τ<sub>2</sub> uses a resource R<sub>b</sub> guarded by a semaphore S<sub>b</sub>
- task τ<sub>3</sub> uses both resources in a nested fashion (S<sub>a</sub> is locked first)

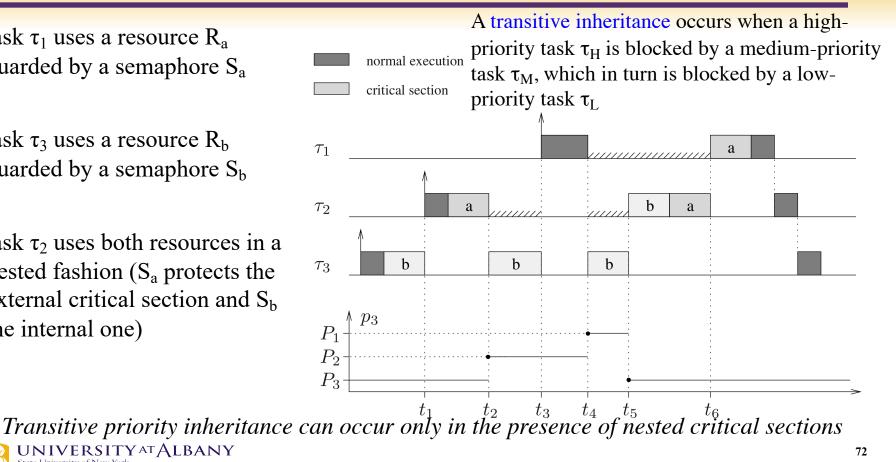
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# **Transitive Priority Inheritance**

- task  $\tau_1$  uses a resource  $R_a$ guarded by a semaphore S<sub>a</sub>
- task  $\tau_3$  uses a resource R<sub>b</sub> guarded by a semaphore S<sub>b</sub>
- task  $\tau_2$  uses both resources in a nested fashion ( $S_a$  protects the external critical section and S<sub>b</sub> the internal one)

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# **Blocking Time (PIP)**

 a task τ<sub>i</sub> can be blocked at most once for each of the l<sub>i</sub> lower priority tasks. Hence, for each lower priority task τ<sub>j</sub> that can block τ<sub>i</sub>, sum the duration of the longest critical section among those that can block τ<sub>i</sub>

$$B_i^l = \sum_{j:P_j < P_i} \max_k \{\delta_{j,k} - 1 \mid Z_{j,k} \in \gamma_i\}$$

a task τ<sub>i</sub> can be blocked at most once for each of the s<sub>i</sub> semaphores that can block τ<sub>i</sub>. Hence, for each semaphore S<sub>k</sub> that can block τ<sub>i</sub>, sum the duration of the longest critical section among those that can block τ<sub>i</sub>

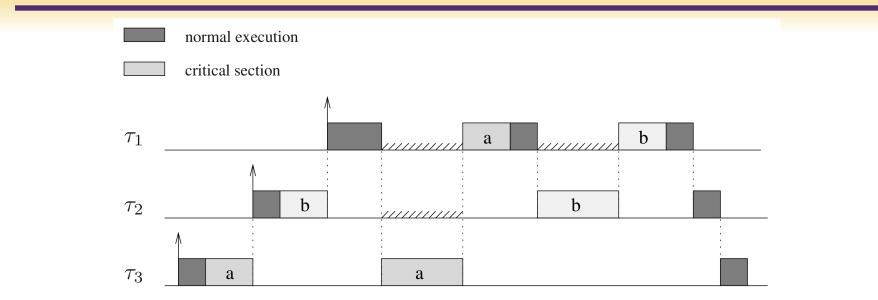
$$B_i^s = \sum_{k=1}^m \max_j \{\delta_{j,k} - 1 \mid Z_{j,k} \in \gamma_i\}$$

• a task  $\tau_i$  can be blocked for minimum of the critical sections

$$B_i = \min(B_i^l, B_i^s)$$



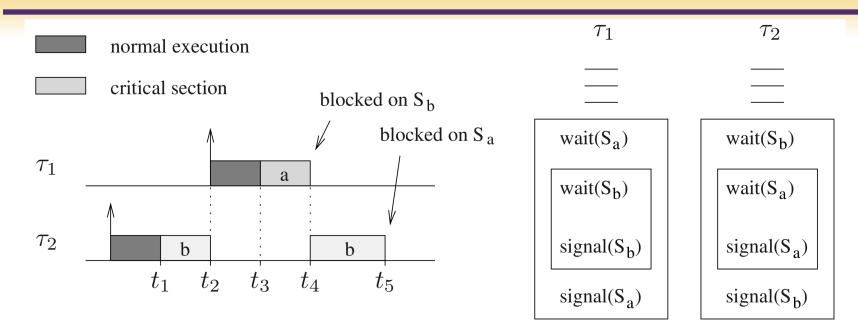
# **Chained Blocking**



- >  $\tau_1$  is blocked for the duration of two critical sections, once to wait for  $\tau_3$  to release  $S_a$  and then to wait for  $\tau_2$  to release  $S_b$
- In the worst case, if τ<sub>1</sub> accesses n distinct semaphores that have been locked by n lower-priority tasks, τ<sub>1</sub> will be blocked for the duration of n critical sections.



#### **Deadlock**



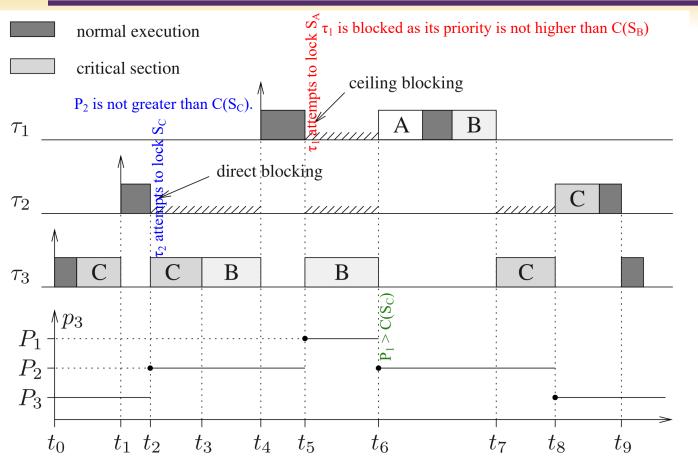
 the deadlock does not depend on the Priority Inheritance Protocol but is caused by an erroneous use of semaphores

# **Priority Ceiling Protocol (PCP)**

- > The Priority Ceiling Protocol (PCP)
  - bound the priority inversion phenomenon
  - prevent the formation of deadlocks and chained blocking
- Once a task enters its first critical section, it can never be blocked by lower-priority tasks until its completion
- Each semaphore is assigned a *priority ceiling* equal to the highest priority of the tasks that can lock it



# **Example Priority Ceiling Protocol**



 $\begin{cases} C(S_A) = P_1 \\ C(S_B) = P_1 \\ C(S_C) = P_2. \end{cases}$ 

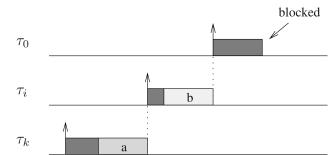
Ceiling Blocking is necessary for avoiding deadlock and chained blocking

## **Lemma and Proof**

If a task  $\tau_k$  is preempted within a critical section  $Z_a$  by a task  $\tau_i$  that enters a critical section  $Z_b$ , then, under the Priority Ceiling Protocol,  $\tau_k$  cannot inherit a priority higher than or equal to that of task  $\tau_i$  until  $\tau_i$  completes.

- ➢ If τ<sub>k</sub> inherits a priority higher than or equal to that of task τ<sub>i</sub> before τ<sub>i</sub> completes, there must exist a task τ<sub>0</sub> blocked by τ<sub>k</sub>, such that P<sub>0</sub> ≥ P<sub>i</sub>.
- > This leads to the contradiction that  $\tau_0$  cannot be blocked by  $\tau_k$ .
- Since τ<sub>i</sub> enters its critical section, its priority must be higher than the maximum ceiling C\* of the semaphores currently locked by all lower-priority tasks.
- ≻ Hence,  $P_0 \ge P_i > C^*$ .
- > But since  $P_0 > C^*$ ,  $\tau_0$  cannot be blocked by  $\tau_k$





### **Lemma and Proof**

The Priority Ceiling Protocol prevents transitive blocking

- Suppose that a transitive block occurs
  - that is, there exist three tasks  $\tau_1$ ,  $\tau_2$ , and  $\tau_3$ , with decreasing priorities, such that  $\tau_3$  blocks  $\tau_2$  and  $\tau_2$  blocks  $\tau_1$ .
- > By the transitivity of the protocol,  $\tau_3$  will inherit the priority of  $\tau_1$ .
- > This contradicts the Lemma, which shows that  $\tau_3$  cannot inherit a priority higher than or equal to P<sub>2</sub>.
- > Thus, PCP prevents transitive blocking.



#### **Lemma and Proof**

The Priority Ceiling Protocol prevents deadlocks

$$\xrightarrow{} \tau_1 \xrightarrow{} \tau_2 \xrightarrow{} \cdots \xrightarrow{} \tau_n$$

- Assume that a task cannot deadlock by itself, a deadlock can only be formed by a cycle of tasks waiting for each other
- > By the transitivity of the protocol, task  $\tau_n$  would inherit the priority of  $\tau_1$ , which is assumed to be higher than  $P_n$ .
- > This contradicts prior Lemma.
- ➢ Hence PCP prevents deadlock.



# **Blocking Time Computation**

A task  $\tau_i$  can only be blocked by critical sections belonging to lower priority tasks with a resource ceiling higher than or equal to  $P_i$ .

$$\gamma_i = \{Z_{j,k} \mid (P_j < P_i) \text{ and } C(R_k) \ge P_i\}$$

Since  $\tau_i$  can be blocked at most once, the maximum blocking time  $\tau_i$  can suffer is given by the duration of the longest critical section among those that can block  $\tau_i$ 

$$B_i = \max_{j,k} \{ \delta_{j,k} - 1 \mid Z_{j,k} \in \gamma_i \}$$

